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DATE:	OCTOBER 1, 2018
TO:	ALL PARTICIPANTS
ATTENTION:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER
FROM:	GENERAL COUNSEL'S OFFICE
SUBJECT:	NSCC PROPOSED RULE CHANGE FILING – AMENDMENTS TO CLEARING AGENCY CAPITAL REQUIREMENT POLICY AND CAPITAL REPLENISHMENT PLAN (SR-NSCC-2018-008)

On October 1, 2018, National Securities Clearing Corporation (“NSCC”) filed a proposed rule change (SR-NSCC-2018-008) (“Rule Filing”) with the Securities and Exchange Commission (“SEC”) proposing to amend the Clearing Agency Policy on Capital Requirements and the Clearing Agency Capital Replenishment Plan. The proposed amendments, which are described in the Rule Filing, were effective upon filing.

The full text of the Rule Filing may be obtained by visiting the DTCC website at www.dtcc.com. Written comments on the filing may be addressed to Jacqueline Chezar, Executive Director and Associate General Counsel, 55 Water Street, New York, New York 10041, and your comments will be forwarded to the SEC. You may also address your written comments to the Secretary of the Commission, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549-1090. We request that you provide NSCC with a copy of your comments.

Questions regarding the Rule Filing or this Important Notice may be addressed to Jacqueline Chezar, Executive Director and Associate General Counsel, at 212-855-3216.

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