



A#:	8644
P&S#	8219
Date:	November 26, 2018
To:	ALL MEMBERS AND LIMITED MEMBERS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUND SERVICES; INSURANCE & RETIREMENT SERVICES
Attention:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, MANAGER CASHIERS
Subject:	NSCC PROPOSED RULE CHANGE – AMEND CERTAIN FEES RELATING TO MUTUAL FUND SERVICES AND INSURANCE & RETIREMENT SERVICES (SR-NSCC-2018-012)

On **Monday, November 26, 2018**, National Securities Clearing Corporation (“NSCC”) filed a proposed rule change (File No. SR-NSCC-2018-012) (“Rule Filing”) for immediate effectiveness to the Securities and Exchange Commission (“SEC”) pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change would amend certain fees relating to Mutual Fund Services and Insurance & Retirement Services. The proposed rule change is expected to be implemented on January 1, 2019.

The full text of the Rule Filing may be obtained by visiting the DTCC website at www.dtcc.com/legal/sec-rule-filings.aspx. Written comments on the Rule Filing may be addressed to National Securities Clearing Corporation, c/o General Counsel’s Office, 18301 Bermuda Green Drive, Tampa, FL 33647, Attention: James Nygard, Director and Assistant General Counsel, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington DC 20549. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an e-mail to rulecomments@sec.gov. Please include File Number SR-NSCC-2018-012 on the subject line. We request that you provide NSCC with a copy of your comments.

Questions and comments regarding this Important Notice may be directed to James Nygard, Director and Assistant General Counsel, at 813-470-1898.

DTCC, on behalf of its subsidiaries, offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.