



Important Notice
National Securities Clearing Corporation

A#:	8656
P&S #:	8231
Date:	12/14/2018
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, December 13, 2018

Electronic Transaction Clearing, Inc./Uniflip No. 3361

Last Trade Date, December 17, 2018

State Street Bank & Trust Company/Voya Institutional/Sodexo Inc. No. 9451

Last Trade Date, December 19, 2018

Schatz Enterprises LLC No. 1547

Last Trade Date, December 20, 2018

DC Industrial Liquidating Trust No. 9829

Last Trade Date, December 21, 2018

Matrix 360 Distributors, LLC/Nest Egg No. 5694

- The following firms will change their name only, as detailed below:

First Trade Date, December 17, 2018:

From: ZB, National Association No. 9037

To: Zions Bancorporation, National Association No. 9037

From: ZB, National Association No. 2104

To: Zions Bancorporation, National Association No. 2104

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Non-Confidential

From: ZB, National Association/Western National No. 2736
 To: Zions Bancorporation, National Association/Western National No. 2736

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:

First Trade Date, December 21, 2018:

Alerus Financial, N.A. No. 1381

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, December 17, 2018

Aesalon Capital Management LLC No. 3996

First Trade Date, December 18, 2018

Guterman Real Estate Partners-47, LLC No. 3994

First Trade Date, December 20, 2018

BGV VC Management LLC No. 4014

First Trade Date, December 13, 2018

Cortland Fund Services LL/Kovitz Diversified Real Estate Fund, LLC No. 4015

First Trade Date, December 18, 2018

North Capital Private Securities Corporation/Reitless Impact Income Strategies LLC No. 4013

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, December 10, 2018</u>							
Alerus Securities Corporation	RBCD	0235	FNBS	✓	✓	✓	✓
<u>Add First Trade Date, December 11, 2018</u>							
Precision Securities, LLC	ETCC	0873	PERO	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.