

GOV#: 421-18

DATE: MAY 15, 2018

TO: GOVERNMENT SECURITIES DIVISION PARTICIPANTS

CATEGORY: GLOBAL BUSINESS CONTINUITY

SUBJECT: BUSINESS CONTINUTY AND DISASTER RECOVERY -

TESTING DATES

The Government Securities Division of the Fixed Income Clearing Corporation ("FICC/GSD") will conduct a test of its business continuity and disaster recovery plans in compliance with its obligations under Regulation Systems Compliance and Integrity.

Participants are advised that this testing is scheduled to take place on August 18, 2018 and October 13, 2018. Please note that August 18th is a pre-test date and optional for Participants. During these testing weekends, FICC's systems will be unavailable between the hours of 5:00AM (ET) Saturday and 6:00AM (ET) Sunday. Although this system testing may impact some processing schedules, regular processing and access will resume immediately following the exercise.

Only Participants that have been designated by FICC are required to participate in this testing. Such firms have been notified of their testing requirement.

These tests will continue to take place on an annual basis going forward. We will share details about 2019 scheduled testing as they become available.

If you have any questions, please contact your DTCC Relationship Manager.

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