



**Important Notice**  
**National Securities Clearing Corporation**

<b>A#:</b>	8552
<b>P&amp;S #:</b>	8127
<b>Date:</b>	05/04/2018
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, May 7, 2018**

Quasar Distributors, LLC/Alpine Funds No. 5896

**Last Trade Date, May 8, 2018**

Great Lakes Fund Solutions, Inc. No. 0485  
Great Lakes Fund Solutions, Inc. No. 9318  
Great Lakes Fund Solutions, Inc. No. 9384  
Great Lakes Fund Solutions, Inc. No. 9385  
Great Lakes Fund Solutions, Inc. No. 9859

- The following firm will begin participation in the DTCC Payment aXis Service, as detailed below:

**First Trade Date, May 7, 2018:**

Blackrock Investments, LLC/Blackrock Liquidity Funds No. 5813

- The following firm will begin participation in the OTC Comparison service, as detailed below:

**First Trade Date, May 7, 2018:**

Wolverine Execution Services, LLC No. 7350  
OTC Alpha Identifier: WEXC

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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, May 4 2018:**

SS&C Technologies, Inc. No. 3475

**First Trade Date, May 7, 2018:**

SS&C Technologies, Inc. No. 6033  
 SS&C Technologies, Inc. No. 6414  
 Theorem Fund Services, LLC No. 3877  
 Theorem Fund Services, LLC No. 3878

- The following additional number has been assigned to State Street Bank & Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

**First Trade Date, May 7, 2018:**

State Street Bank & Trust Company/Voya Institutional Plan Services/Aetna No. 1411  
 Contact: Belynda Crick  
 Phone: (617) 662-6013

- The following additional number has been assigned to First Dominion Capital Corp (#5823) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis, DCC&S and ACATS Fund/SERV services, as detailed below:

**First Trade Date, May 8, 2018:**

First Dominion Capital Corp/Sim Funds No. 3876  
 Contact: Will Schaefer  
 Phone: (804) 323-3642

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Clearing Broker Change, Effective First Trade Date, May 4, 2018</u></b>							
From: Ustocktrade Securities, Inc.	ETDP	3361	USTT	✓			
To: Ustocktrade Securities, Inc.	ETCC	0873	USTT	✓			
<b><u>Executing Broker Name Change, Effective First Trade Date, May 7, 2018</u></b>							
From: Lightspeed Trading, LLC	WSGD	8199	LSPS	✓			
To: Lime Brokerage, LLC	WSGD	8199	LSPS	✓			

**Delete Last Trade Date, May 1, 2018**

Thomas P. Reynolds Securities Ltd.	RBCD	0235	REYN	✓	✓	✓	✓
Scarsdale Equities LLC	PERS	0443	SCAR	✓			

**Delete Last Trade Date, May 2, 2018**

Global Credit Partners, LLC	PERS	0443	GCPL		✓	✓	✓
Westport Resources Investment Services, Inc.	PERS	0443	WRIS		✓	✓	✓

**Delete Last Trade Date, May 3, 2018**

Harvestons Securities, Inc.	NFSC	0226	HARS		✓	✓	✓
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If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).