



P&S #:	8144
A#:	8569
Date:	June 14, 2018
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Insurance Processing Manager, Mutual Fund Processing Manager
From:	NSCC Operations
Subject:	INDEPENDENCE DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM and all U.S. Federal Reserve Banks will be closed on Wednesday, July 4th in observance of Independence Day. The following changes in NSCC's operations and schedules will be in effect:

Trade Capture & Reporting

No UTC processing will take place on Wednesday, July 4th.

COMPARISON SYSTEMS:

Trade input for the Real Time Transaction System (RTTM) for Corporate, Municipals, and UITs (CMU) for trade date Tuesday, July 3rd will be accepted no later than 8:00pm on Tuesday, July 3rd.

CNS CONTINUOUS NET SETTLEMENT:

Seg. Exemptions and Priority Requests for settlement of Thursday, July 5th, will be accepted on Tuesday Evening, July 3th.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Independence Day processing to determine their schedules in effect

ENVELOPE SETTLEMENT SYSTEM (ESS):

No Deliver or Settlement Operations will be provided on Wednesday, July 4th.

INTER-CITY DELIVERIES:

Inter-City Deliveries will be accepted on Tuesday, July 3rd for settlement Thursday, July 5th.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

ACATS - AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICES:

No ACATS activity will be accepted on Wednesday, July 4th.

ACATS - FUND/SERV INTERFACE:

No ACATS-Fund/SERV Interface activity will be accepted on Wednesday, July 4th.

ACATS-IPS

No ACATS-IPS Interface activity will be accepted on Wednesday, July 4th.

OBLIGATION WAREHOUSE

OW will be closed for the business day Wednesday, July 4th. Input will be accepted, but not processed and no output generated for OW. Any submission after close of business Tuesday, July 3rd should be for the next business date. Normal processing will resume for business day Thursday, July 5th.

DTCC Payment aXis®,

No DTCC Payment aXis activity will be accepted on Wednesday, July 4th. There will be no money settlement.

FUND/SERV®, NETWORKING & OMNI/SERV®

No Fund/SERV, Networking or Omni/SERV activity will be accepted or distributed on Wednesday, July 4th. There will be no money settlement

MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPSI or MFPSII activity will be accepted on Wednesday, July 4th.

ALTERNATIVE INVESTMENT PRODUCTS

The AIP System will process transactions and create settlement data files. There will be no money settlement on Wednesday, July 4th.

INSURANCE PROCESSING:

IPS files received on Wednesday, July 4th will be processed. **POV & PRP files will be transmitted on** Wednesday, July 4th All other data and settlement output files will be produced and distributed on Thursday, July 5th.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or the DTCC Client Support Line at 888-382-2721 Option 5 then appropriate Product selection. Thank you.