



Important Notice
National Securities Clearing Corporation

A#:	8576
P&S #:	8151
Date:	06/22/2018
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, June 21, 2018

Foreside Distribution Services, LP/Leader Short Term Bond Fund No. 5804

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing Fund/SERV, Networking, MF – Profile, Omni/SERV Activity & Position, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, June 22, 2018:

Northern Lights Distributors, LLC/Leader Funds No. 5804

Contact: Mike Nielsen

Phone: (402) 896-7267

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 22, 2018

Foreside Fund Services, LLC/Hamlin ICAV No. 3720

Last Trade Date, June 25, 2018

Quasar Distributors, LLC/Ivy Global Investors Fund No. 5729

Arbor Court Capital LLC/Avondale Funds No. 3163

Last Trade Date, June 22, 2018

Trustmark National Bank No. 2852

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following firm will no longer participate in the Fund/SERV and Networking services, as detailed below:

Last Trade Date, June 26, 2018:

SII Investments, Inc. No. 0640

- The following firm will begin participation in the Omni/SERV 529 Aggregation service, as detailed below:

First Trade Date, June 19, 2018:

Invesco Distributors Inc./529 CSP No. 9497

- The following firms will begin participation in the Omni/SERV Activity & Position services, as detailed below:

First Trade Date, June 20, 2018:

Invesco Distributors Inc./529 CSP No. 9497

First Trade Date, July 2, 2018:

Foreside Distribution Services, LP/Northern Trust Funds No. 6633

First Trade Date, August 1, 2018:

Lord Abbett Distributor LLC/Lord Abbett Passport Portfolios PLC No. 1330

Lord Abbett Distributor LLC/Lord Abbett Floating Rate Fund LTD No. 8173

- The following firm will begin participation in the Retirement Plan Reporting, as detailed below:

First Trade Date, June 25, 2018:

Commerce Bank No. 2170

- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:

First Trade Date, July 2, 2018:

Wells Fargo Bank, N.A./Wachovia No. 0929

- The following firms will begin participation in the Alternative Investment Products services, as detailed below:

First Trade Date, June 21, 2018:

Megatel Capital Investment, LLC No. 8853

First Trade Date, June 22, 2018:

HSBC Bank USA, National Association No. 3971

HSBC Bank USA, National Association No. 4743

HSBC Bank USA, National Association No. 4930

HSBC Bank USA, National Association No. 5283

First Trade Date, June 25, 2018:

SS&C Technologies, Inc No. 3804

First Trade Date, June 27, 2018:

Oppenheimerfunds Distributors, Inc. No. 3792

CNL Capital Markets, LLC No. 3072

CNL Capital Markets, LLC No. 3284

- The following additional number has been assigned to Alps Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, MF – Profile, DTCC Payment aXis and ACATS Fund/SERV services as detailed below:

First Trade Date, July 2, 2018:

Alps Distributors, Inc./Flat Rock No. 8849

Contact: Anita Rose

Phone: (816) 435-3781

- The following firm will begin participation in the Insurance Processing service, as detailed below:

First Trade Date, July 2, 2018:

Brighthouse Life Insurance Company/BPS No. 3418

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Delete Last Trade Date, June 19, 2018</u> W.R. Hambrecht + Co., LLC	RBCD	0235	HAMR	✓	✓	✓	✓
<u>Delete Last Trade Date, June 20, 2018</u> First Integrity Capital Partners Corp	SWST	0279	KACI	✓	✓	✓	✓
<u>Delete Last Trade Date, June 29, 2018</u> Hanson McClain	NFSC	0226	HMRN		✓	✓	✓
<u>Add First Trade Date, June 21, 2018</u> Linden Thomas And Company Securities, LLC	WRET	0141	LTCS			✓	

Add First Trade Date, June 22, 2018

Electronic Transaction Clearing, Inc.	ETCC	0873	ETFI	✓	
<u>Add First Trade Date, June 25, 2018</u>					
Linden Thomas And Company Securities, LLC	WRET	0141	LTCS	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.