



*Important Notice*  
**National Securities Clearing Corporation**

**A#:** 8577  
**P&S#:** 8152  
**DATE:** JUNE 28, 2018  
**TO:** ALL MEMBERS AND LIMITED MEMBERS  
**ATTENTION:** MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER,  
COMPLIANCE OFFICER  
**FROM:** GENERAL COUNSEL'S OFFICE  
**SUBJECT:** AMENDMENT NO. 1 TO NSCC PROPOSED RULE CHANGE AND  
ADVANCE NOTICE FILINGS – RECOVERY AND WIND-DOWN PLAN  
AND RELATED RULES (SR-NSCC-2017-017 AND SR-NSCC-2017-805)

On June 28, 2018, National Securities Clearing Corporation (“NSCC”) filed Amendment No. 1 to the proposed rule change filing (SR-NSCC-2017-017) and advance notice (SR-NSCC-2017-805) (collectively, the “Filings”) with the Securities and Exchange Commission and the Board of Governors of the Federal Reserve proposing to adopt the NSCC Recovery and Wind-down Plan and related Rules, as described therein. The Amendment No. 1 superseded and replaced the Filings in their entirety. The full text of the Filings, as amended, may be obtained by visiting the DTCC website at [www.dtcc.com/legal/sec-rule-filings.aspx](http://www.dtcc.com/legal/sec-rule-filings.aspx).

Written comments on the Filing, as amended, may be addressed to National Securities Clearing Corporation, c/o General Counsel’s Office, 55 Water Street, New York, New York 10041, Attention: Jacqueline Chezar, Executive Director and Associate General Counsel, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Numbers SR-NSCC-2017-017 AND SR-NSCC-2017-805 on the subject line. We request that you provide NSCC with a copy of your comments.

Questions regarding this Important Notice may be addressed to Jacqueline Chezar, Executive Director and Associate General Counsel, at 212-855-3216.

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