



Important Notice
National Securities Clearing Corporation

A#:	8579
P&S #:	8154
Date:	06/29/2018
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, June 28, 2018
Capstone Asset Planning Company/Capstone Church Bond Fund No. 5838

- The following firm will no longer participate in the Fund/SERV and Networking services, as detailed below:
Last Trade Date, June 28, 2018:
IFC Holdings, Inc./Invest Financial Corporation No. 0566

- The following firm will no longer participate in the Fund/SERV, Networking and MF – Profile services, as detailed below:
Last Trade Date, June 28, 2018:
National Planning Corporation No. 0668

- The following firm will change their name only, as detailed below:
First Trade Date, July 03, 2018:
From: Capstone Asset Planning Company No. 5801
To: Crossmark Distributors, Inc. No. 5801

- The following firms will begin participation in the MF – Profile service, as detailed below:
First Trade Date, July 2, 2018:

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Massachusetts Mutual Life Insurance Co/Fund Operations No. 4534

First Trade Date, July 6, 2018:

Foreside Distribution Services, L.P./Blackstone/GSO Floating Rate
Enhanced Income Fund No. 7586

- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:

First Trade Date, July 5, 2018:

Quasar Distributors, LLC/First State Investments Funds No. 3508

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, July 5, 2018:

Capstone Asset Planning Company No. 5801

- The following firm will begin participation in the OTC Comparison service, as detailed below:

First Trade Date, July 2, 2018:

Dealerweb Inc. No. 8445
OTC Alpha Identifier: DLTA

- The following firms will begin participation in the Alternative Investment Products services, as detailed below:

First Trade Date, June 27, 2018:

Blackrock Investments, LLC No. 2600
Michael J. Liccar & Company LLC No. 3093

First Trade Date, June 29, 2018:

Opus Fund Services (USA) LLC No. 3065
SS&C Technologies, Inc No. 2998
SS&C Technologies, Inc No. 3005
SS&C Technologies, Inc No. 3035
SS&C Technologies, Inc No. 3049

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<u>Clearing Broker Change, Effective First Trade Date, July 2, 2018</u>							
From: Vandham Securities Corp.	MLCO	0161	VNDM	✓	✓	✓	
To: Vandham Securities Corp.	PERS	0443	VNDM	✓			
<u>Clearing Broker Change, Effective First Trade Date, July 2, 2018</u>							
From: Dealerweb Inc.	MLCO	0161	DLTA	✓			
To: Dealerweb Inc.	DLTA	8445	DLTA	✓			
<u>Delete Last Trade Date, June 29, 2018</u>							
IFC Holdings, Inc.	NFSC	0226	ISFA		✓	✓	✓
National Planning Corporation	PERS	0443	JNFS		✓		✓
SII Investments, Inc.	PERS	0443	SIIW		✓	✓	✓
Laradorbecker Securities Corporation	NFSC	0226	LDSC		✓	✓	✓
Investment Centers Of America	PERS	0443	IAME		✓		✓
<u>Add First Trade Date, June 27, 2018</u>							
DRW Securities, L.L.C.	GSCO	0005	DRWM	✓	✓	✓	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.