



**Important Notice**  
**National Securities Clearing Corporation**

<b>A#:</b>	8619
<b>P&amp;S #:</b>	8194
<b>Date:</b>	09/21/2018
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, September 24, 2018**

Quasar Distributors LLC/Oak Tree Funds	No. 3125
State Street Bank & Trust Co./FBO Qualified Plans	No. 5165

- The following firm will begin participation in the Envelope Settlement Service, as detailed below:

**First Trade Date, September 19, 2018:**

Barclays Bank PLC, New York Branch/Barclays Bank PLC-LNBR	No. 8455
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- The following firm will begin participation in the Networking service, as detailed below:

**First Trade Date, September 21, 2018:**

Pershing LLC/Sunday LLC	No. 3552
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, September 24, 2018**

Cache Private Capital Management, LLC	No. 3668
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**First Trade Date, September 25, 2018:**

Vantage Retirement Plans, LLC	No. 3733
SS&C Technologies, Inc.	No. 3917
SS&C Technologies, Inc.	No. 3918

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US Bancorp Fund Services LLC

No. 3919

- The following firm will begin participation in the Insurance Processing service, as detailed below:

**First Trade Date, September 27, 2018:**

EMoney Advisor, LLC

No. 3786

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking and MF – Daily Price & Rate services as detailed below:

**First Trade Date, September 21, 2018:**

Global Trust Company/SSB-MorganStanley

No. 3680

Contact: Frank Lallo

Phone: (781) 970-5027

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Clearing Broker Change, Effective First Trade Date, September 20, 2018</u></b>							
From: H.D. Vest Investments Securities Inc	WRET	0141	HDVS		✓	✓	✓
To: HD Vest Investment Services	NFSC	0226	HDVS		✓	✓	✓
<b><u>Add First Trade Date, September 19, 2018</u></b>							
The Benchmark Company, LLC	ETCC	0873	BNCO	✓			
<b><u>Add First Trade Date, October 01, 2018</u></b>							
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZF	✓			
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZD	✓			
Allaria Securities, LLC	PERS	0443	ALLS	✓		✓	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).