



IMPORTANT NOTICE

DTCC Deriv/SERV LLC

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| TIW#: | TIW#635 |
| Date: | September 24 th , 2018 |
| To: | Distribution |
| From: | Product Management |
| Subject: | Trade Information Warehouse Rename Event Processing for THE ADT CORPORATION |

Please be advised that, as set forth in Section VII of the TIW Post-Trade Service Appendix to the DTCC Deriv/SERV LLC Operating Procedures, it has been requested that the Trade Information Warehouse (“TIW”) create a Rename Event for THE ADT CORPORATION

Following are the pertinent details of the rename event:

Senior Reference Obligation

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|------------------------------|--------------------------------|
| Rename Event Name: | ADT OCT18 |
| Old Reference Entity: | THE ADT CORPORATION |
| New Reference Entity: | THE ADT SECURITY CORPORATION |
| New RED: | 0A119OAA1 |
| New Reference Entity ISIN : | US00101JAK25 |
| Event Processing Start Date: | October 1 st , 2018 |
| Event Processing End Date: | October 3 rd , 2018 |
| Adherence Method: | Auto Auto adherence |

Events that are set for Auto-Auto adherence will mean that the TIW will automatically adhere all transactions with the relevant reference entity name, regardless of the reference obligation used on that transaction.

If firms do not want transactions to be renamed in accordance with these events, firms must un-adhere those transactions. This un-adherence should be completed prior to October 1st, 2018, the Event Processing Start Date of these events. The TIW will only process Records that remain adhered by both parties. Additional information can be found in the Product Training and Support section of the TIW in a Self-Study Guide: Practical Guide – Successor Events Processing.

Any questions or comments regarding this notice or the Trade Information Warehouse in general should be directed to TIWSupport@dtcc.com.

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