



Important Notice
National Securities Clearing Corporation

A#:	8727
P&S #:	8302
Date:	07/12/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 12, 2019:

Matrix 360 Distributors, LLC/Wellington Shields (CMA) No. 5843

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Arbor Court Capital LLC (#7023) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, July 15, 2019:

Arbor Court Capital LLC/Wellington Shields (CMA) No. 5843

Contact: Gregory B Getts

Phone: (440) 922-0066 (101)

- The following firms will retire from all services, as detailed below:

Last Trade Date, July 10, 2019:

Northern Lights Distributors, LLC/Vertical Capital Income Fund No. 3769

Last Trade Date, July 16, 2019:

Foreside Distribution Services, L.P./Wellington Management Funds (LUX) No. 1765

- The following firm will no longer participate in the Bond Comparison service, as detailed below:

Last Trade Date, July 11, 2019:

Citigroup Global Markets Inc. No. 0418

CORP Alpha Identifier: SBSH

MUNI Alpha Identifier: SBSH

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- The following firm will no longer participate in Omni/SERV and Transparency services, as detailed below:

Last Trade Date, July 16, 2019:

Alliancebernstein Inv Research & Mgt, Inc Intl DIV

No. 5809

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<u>Delete Last Trade Date, July 11, 2019</u>							
Kovack Securities Inc.	PERS	0443	KOVP	✓			
R.M. Stark & Co., Inc.	PERS	0443	RMST	✓			
<u>Add First Trade Date, July 12, 2019</u>							
Univest Securities, LLC	VSIN	0595	UNIS	✓	✓	✓	✓
<u>Add First Trade Date, July 12, 2019</u>							
IUR Securities LLC	IBKR	0017	IURS	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.