



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8745
<b>P&amp;S #:</b>	8320
<b>Date:</b>	09/13/2019
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, September 17, 2019:**

American Century Investment Services Inc./SICAV Funds	No.	3728
USAA Investment Management Company	No.	5935

- The following firm will no longer participate in ACATS service, as detailed below:

**Last Trade Date, September 19, 2019:**

William Blair & Company, L.L.C.	No.	0771
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- The following firms will change their name only, as detailed below:

**First Trade Date, September 13, 2019:**

From: Foreside Financial Services, LLC/Boston Trust & Investment Management Company	No.	6327
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To: Foreside Financial Services, LLC/Boston Trust Walden Company	No.	6327
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From: Foreside Financial Services, LLC/The Boston Trust & Walden Funds	No.	6622
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To: Foreside Financial Services, LLC/Boston Trust Walden Funds	No.	6622
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- The following firm will begin participation in the Retirement Plan Reporting service, as detailed below:

**First Trade Date, September 12, 2019:**

The Prudential Insurance Company of America/Pruco Securities LLC 2	No.	3071
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- The following firms will begin participation in MF Info Exchange services, as detailed below:

**First Trade Date, September 12, 2019:**

DWS Distributors, Inc.	No.	5503
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**First Trade Date, September 13, 2019:**

VOYA Investments Distributor, LLC No. 5734

- The following firms will begin participation in the Alternative Investment Products services, as detailed below:

**First Trade Date, September 12, 2019**

Clarion Partners, LLC No. 4297

Fairway America, LLC/Fairway America Value-Add Storage Fund LLC No. 4313

**First Trade Date, September 13, 2019**

CNL Capital Markets, LLC/CNL Strategic Capital LLC FA Shares IBD No. 4311

**First Trade Date, September 17, 2019**

Broadstone Asset Management LLC No. 4306

SS&C Technologies, Inc./Performance Direct Investment Fund IV, LP No. 4314

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, September 12, 2019:**

Quasar Distributors, LLC/Palm Valley Funds No. 4112

Contact: Casey Sauer

Phone: (414) 203-9122

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Clearing Broker and Name Change,</u></b> <b><u>Effective First Trade Date, September 16, 2019</u></b>							
From: Dealerweb Inc.	MLCO	0161	JJKE	✓	✓	✓	
To: Traderweb Direct LLC	NFSC	0226	JJKE		✓	✓	
<b><u>Delete Last Trade Date, October 09, 2019</u></b>							
Tribal Capital Markets, LLC	PERS	0443	TRIB	✓	✓	✓	✓
<b><u>Add First Trade Date, September 13, 2019</u></b>							
Simplex Trading, LLC	SHMR	0695	SPLX	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).