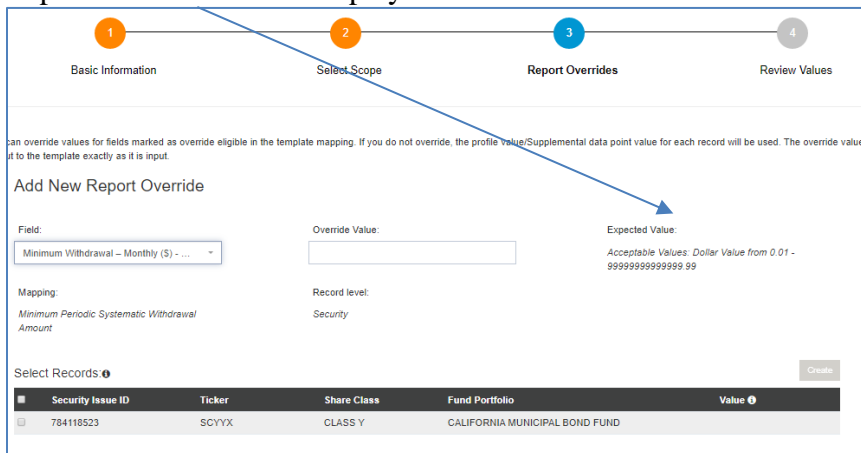


<b>A#:</b>	<b>8800</b>
<b>P&amp;S:</b>	<b>8373</b>
<b>Date:</b>	<b>January 15, 2020</b>
<b>To:</b>	<b>ALL MEMBERS AND LIMITED MEMBERS</b>
<b>From:</b>	<b>WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS</b>
<b>Attention:</b>	<b>MANAGER MUTUAL FUNDS OPERATIONS,  MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&amp;S  DEPARTMENT</b>
<b>Subject:</b>	<b>Mutual Fund Profile Service II – Profile Security Specification Reporting Tool</b>

**Effective Monday, January 27, 2020**, National Securities Clearing Corporation (NSCC) will implement enhancements to the Mutual Fund Profile Service II – Profile Security (MFPS II) - Specification Reporting Tool.

The following enhancements in this release include:

- **A new intermediary specification report.** Please access MFPS II for a complete list of available specification reports.
- **Additional guidance when populating Report Overrides and Supplemental data points:**
  - 1. Expected value will be provided on the Report Override screen.** Funds can override a value for a Specification Report without impacting the existing data in Profile Security or the Supplemental section of Profile Security. When selecting a data point to be overridden, the Expected Value will be displayed.



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- 2. Supplemental Data Point screen will include help text.** The Supplemental data point section provides Funds with the ability to perform data entry for commonly identified data points in Specification Reports that do not reside in Profile Security. A new ‘Help Text’ column has been added that provides definitions of the Supplemental data points.

Supplemental Data Points

Management Company: DEMO - DEMO FUNDS Edit

Supplemental Data Points Change History

Supplemental Data Points are data points that are not tracked in profile. The values provided here will be applied the respective template for all scope records.

Save as: [Excel](#)

Management Company	Data Point Name	Help Text	Value
DEMO - DEMO FUNDS	NSCC Networking (Level 4) allowed	Is Networking Level 4 allowed	Yes
DEMO - DEMO FUNDS	U.S. Residents Only	Is this Security Issue ID available for US residents only	Yes
DEMO - DEMO FUNDS	NSCC Networking Allowed	Is Networking Allowed	Yes
DEMO - DEMO FUNDS	Fund Inception Date	What is the inception date of the Fund	2012-05-09
DEMO - DEMO FUNDS	U.S. Citizens Only	Is this Security Issue ID available for US citizens Only	Yes
DEMO - DEMO FUNDS	Exchange Tracking Restriction Days	What is the time period for the exchange tracking restriction	N/A
DEMO - DEMO FUNDS	Direct Networking Eligible	Is the fund Eligible for Direct Networking	N/A
DEMO - DEMO FUNDS	Security Issue ID Effective Date	On what date is this Security Issue ID Effective	N/A
DEMO - DEMO FUNDS	Shares Classes Allowed for Exchanges	Which share classes are allowed in an exchange	N/A
DEMO - DEMO FUNDS	Number of Days for Restriction	How many days is the limit to restrict purchases and/or exchanges in due to recent purchases	N/A

There are no programming changes for clients to generate or receive the Specification Reports. DTCC will be expanding the Specification Reports available through additional phases. To access the Specification Reporting tool, please log in to Profile Security and select the Specification Report menu option.

**DOCUMENTATION**

User guide is available in the DTCC Learning Center (<https://dtcclearning.com/products-and-services/mutual-fund-services.html>).

**TESTING**

Effective, Friday, January 17, 2020, members can begin testing in the Participant Services Environment (PSE) region.

Clients are encouraged to test and must contact their Relationship Manager before testing begins for proper setup in the PSE region. Relationship Managers should be contacted at least five (5) days prior to testing.

Additional Questions and comments regarding this Important Notice may be directed to your Relationship Manager, Account Manager or Wealth Management Services at 212-855-8877.

Sharon Wilner  
 Product Manager  
 DTCC Wealth Management Services