



IMPORTANT NOTICE

DTCC Deriv/SERV LLC

TIW#:	TIW#689
Date:	January 7 th , 2020
To:	Distribution
From:	Product Management
Subject:	Trade Information Warehouse Successor Event Processing for PRUDENTIAL PUBLIC LIMITED COMPANY

Please be advised that, as set forth in Section VII of the TIW Post-Trade Service Appendix to the DTCC Deriv/SERV LLC Operating Procedures, it has been requested that the Trade Information Warehouse (“TIW”) create a Successor Event for PRUDENTIAL PUBLIC LIMITED COMPANY

Following are the pertinent details of the Successor Event.

Senior Reference Obligation

Successor Event Name: PRUD JAN20
Old Reference Entity: PRUDENTIAL PUBLIC LIMITED COMPANY
Adherence Method: Auto-Auto adherence
Event Effective Date: December 16th, 2019

First New Reference Entity: PRUDENTIAL PUBLIC LIMITED COMPANY
First New RED code: 7B878PAD4
First New Reference Entity ISIN: XS0083544212

Second New Reference Entity: M&G PLC
Second New RED code: GM717YZZ0
Second New Reference Entity ISIN: XSNOREFOBL00
Event Processing Start Date: January 13th, 2020
Event Processing End Date: January 15th, 2020

Subordinated Reference Obligation

Successor Event Name: PRUD JAN20
Old Reference Entity: PRUDENTIAL PUBLIC LIMITED COMPANY
Adherence Method: Auto-Auto adherence
Event Effective Date: December 16th, 2019

First New Reference Entity: PRUDENTIAL PUBLIC LIMITED COMPANY
First New RED code: 7B878PAF9
First New Reference Entity ISIN: XS0140198044

Second New Reference Entity: M&G PLC
Second New RED code: GM717YAA2

Second New Reference Entity ISIN: XS1888930150
Event Processing Start Date: January 13th, 2020
Event Processing End Date: January 15th, 2020

Events that are set for Auto-Auto adherence will mean that the TIW will automatically adhere all transactions with the relevant reference entity name, regardless of the reference obligation used on that transaction.

If firms do not want transactions to be renamed in accordance with these events, firms must un-adhere those transactions. This un-adherence should be completed prior to January 13th, 2020, the Event Processing Start Date of these events. The TIW will only process Records that remain adhered by both parties. Additional information can be found in the Product Training and Support section of the TIW in a Self Study Guide: Practical Guide – Successor Events Processing.

Any questions or comments regarding this notice or the TIW in general should be directed to TIWSupport@dtcc.com.

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