Effective January 1, 2013, National Securities Clearing Corporation (NSCC) will implement changes to the Analytic Reporting Service as follows:

- As set forth in Rule Change Filing No. SR-NSCC-2011-06 [Release No. 34-65008] (see Important Notice A#7251, P&S#6821), NSCC will release Analytic Reporting for Annuities Version 2.0. The monthly fees applicable to Version 2.0, as of the effective date, will be as follows:
  
  - $3,000
    - for Carriers with $25 billion or more in assets, and
    - for Dealers with 10,000 or more financial advisors.
  - $2,250
    - for Carriers with $4 billion or more, but less than $25 billion in assets, and
    - for Dealers with 3,000 or more, but less than 10,000, financial advisors.
  - $1,500
    - for Carriers with less than $4 billion in assets, and
    - for Dealers with less than 3,000 financial advisors.
  - $5,000 for all Opt-Out Members.

Questions and comments regarding this Important Notice may be directed to Tom Pistole at 212-855-3664.

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