9.24 Redemption Call Processing (REDCAL) via CCF/CCF-II: Function User's Guide

The Depository Trust Company   **August 2012**

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Table of Contents

1   Objectives of This Chapter

The objectives of this chapter are to give information about:

- Describe the REDCAL function.
- Illustrate required file formats.

2   The REDCAL Function: Overview

Users who communicate with DTC via CCF should read the appropriate
CCF System User's Guide.

2.1 REDCAL Function

Allows agent banks to communicate redemption call notices to DTCC.

2.2 Modes of Transmission

NDM

2.3 Availability

FUNCTION	AVAILABILITY
REDCAL	Intra-day from 07:30 am thru 6:00 pm EST.

3   Record Information

REDCAL file is available via CCF.

- Users requesting the functions via CCF normally receive files consisting of a CCF Header record followed by the various functions detail records.

The CCF Header record, CCF-II Header and Trailer records, and the detail records are described on the following pages of this section.

3.1 CCF Header Record

The format of the Header record is shown below.

CCF HEADER RECORD FORMAT				
POSITION	LENGTH	FORMAT	FIELD NAME	FIELD DESCRIPTION
1	1	Character	Record ID	'H'
2	6	Character	Process Date	Date vendor provides file (mmddyy)
8	433	Character	Filler	

3.2 CCF Trailer Record

The format of the Trailer record is described below.

CCF TRAILER RECORD FORMAT				
POSITION	**LENGTH**	**FORMAT**	**FIELD NAME**	**FIELD DESCRIPTION**
1	1	Character	Record ID	'T'
2	1	Character	Record Type	'A'
3	4	Numeric	Total Notices	Total notices ('A' records) in the file.
7	1	Character	Record Type	'X'
8	4	Numeric	Total Notices	Total 'X' records in the file.
12	1	Character	Record Type	'Y'
13	4	Numeric	Total Notices	Total Agent records ('Y') in the file.
17	1	Character	Record Type	'Z'
18	4	Numeric	Total Notices	Total 'Z' records in the file.
22	1	Character	Record Type	'B'
23	7	Numeric	Total Notices	Total redemptions ('B' records) in the file.
30	1	Character	Record Type	'C'
31	7	Numeric	Total Notices	Total certificates ('C' records) in the file.
38	5	Character	Record Type	'TOTAL'
43	7	Numeric	Total Notices	Total redemption related records in the file. Excludes the header and trailer.

3.3 Notice Record

The format of the redemption Notice record is shown below.

Position	Length	Format	Field Name	Field Description
			Notice Record Format	
1	1	Character	Record ID	'A'
2	6	Date	Process Date	Date vendor provides the file (mmddyy)
8	64	Character	Issuer Name	Issue Name
72	64	Character	Issue Title	Issue Title
136	6	Character	Record Dated	Not currently used
142	11	Numeric	Original Amount Issued	Issue Amount
153	11	Numeric	Total Called Amount	Total Called Amount
164	6	Numeric	Redemption Date	Redemption Date
170	6	Numeric	Publication Date	Publication Date
176	6	Numeric	Vendor ID	Unique identifier from vendor
182	24	Character	Filler	
206	1	Character	Verification Level	1-High, 2-Med, 3-Low
207	1	Character	Pre-pub Indicator	'B'/before, 'A'/after
208	1	Character	Conditional Payment	Y/N
209	291	Character	Filler	

3.4 Agent Record

The format of the Agent record is shown below.

Agent Record Format				
Position	**Length**	**Format**	**Field Name**	**Field Description**
1	1	Character	Record ID	'Y'
2	9	Character	Pay Agent MICR	Not currently used
11	50	Character	Pay Agent Name	Pay Agent Name
61	40	Character	Agent Address	Agent Address
101	20	Character	City	City
121	2	Character	State	State
123	10	Character	Zip Code	Zip Code
133	12	Character	Contact Phone Number	Contact Phone Number
145	9	Character	Delivery Method	Delivery Method
154	8	Character	DTC Agent Number	DTC Agent Number
162	338	Character	Filler	

3.5 Redemption Record

The format of the Redemption record is shown below.

Redemption Record Format				
Position	Length	Format	Field Name	Field Description
1	1	Character	Record ID	'B'
2	9	Character	Security ID	CUSIP
11	6	Numeric	Interest Rate	Interest Rate
17	7	Numeric	Accrued Interest Rate	Accrued Interest Rate
24	6	Character	Maturity Date	Maturity Date (mmddyy)
30	1	Character	Issue Type	'M'/Muni, 'C'/Corporate
31	1	Character	Call Type	'F'/Full, 'P'/Partial, 'C'/CMO, 'U'/UIT
32	3	Numeric	Interest Days	Days to pay interest if applicable
35	9	Character	Old CUSIP	Not currently used
44	1	Character	Transaction Code	Not currently used
45	8	Numeric	Price in Percent	Price
53	11	Numeric	Price Called	Price Called
64	1	Character	Convert Ind	Not currently used
65	1	Character	Record Status	'A' – Add 'U' – Update 'D' – Delete
66	11	Numeric	Accrued Interest	Accrued Interest
77	423	Character	Filler	

3.6 Certificate Record

The format of the Certificate record is shown below.

Position	Length	Format	Field Name	Field Description
			Certificate Record Format	
1	1	Character	Record ID	'C'
2	4	Character	Certificate Prefix	Ex. 'Book', 'Fast'
6	10	Character	Certificate Number	Certificate Number
16	1	Character	Bond Type	'R' – Registered 'B' – Bearer
17	10	Numeric	Denomination	Denomination of the certificate
27	10	Numeric	Called Amount	Called Amount
37	10	Numeric	Outstanding After	Uncalled Amount
47	453	Character	Filler	