

Agent Announcement Portal (AAP): Proof of Concept FAQs

- α When will the Proof of Concept (PoC) be available for testing?
 - α DTC expects the Proof of Concept to be available for testing beginning on September 30, 2024.
- α When will the new portal be available in production?
 - α There is no timeframe for a go live date yet. The portal is in the beginning stages of development and a Proof of Concept is currently underway. DTCC will continue to engage the industry as the initiative progresses.
- α Who will be able to create corporate action announcements in the new portal?
 - α Issuers, Agents or Trustees assigned to a CUSIP will be able to create or modify an announcement for that specific CUSIP.
- α Who will be able to create corporate action announcements in the test environment for the PoC?
 - α For the Proof of Concept, access will be limited to Redemption Agents for testing.
- α Where will agents access the new portal for both the PoC and production?
 - α The portal will be available on the MyDTCC portal within a suite of Asset Services applications designed for agents, serving as a central location for all agent functions.
- α Will there be a formal registration process to get access to the PoC web application in DTCC's test region?
 - α Yes, and that process will be described on further working group calls.
- α What event type will be eligible for submission in the test environment for the PoC?
 - α Agents will only be able to create and view Full Call announcements in the PoC region.
- α What event type(s) will initially be eligible for submission when the portal goes live?
 - α Initially, the portal will be able to accept announcements of redemption events. In later phases of the project, the application will be further developed to accept announcements of P&I and Reorganization events. Note, the Proof of Concept will only include Full Call events.
- α Will there be an option to upload multiple CUSIPs / events at once?
 - α Yes, when the portal goes live. A file upload feature will be added as a future enhancement to allow for bulk submission of CUSIPs representing multiple events. This new upload feature will be available to test in Q1 2025, and will ultimately replace the REDCAL Lite submission process. Note, the PoC will not have this feature.
- α Will the submission process be standardized?
 - α Yes, the user interface will provide efficient submission through standardized data elements and templates. The portal will also have API capability in its final stage which will allow for machine-to-machine processing and enhanced reporting. Note, the PoC will not have API capability or enhanced reporting.
- α Is this new function synonymous with the Issuer Agent Portal (IAP)?
 - α At the moment, no. The IAP is a standalone, public web interface for the sole purpose of submitting investor communications directly into LENS. The IAP and the direct sourced announcement function are not linked at this point. Future plans to connect the two applications may be considered.
- α How do I submit questions and provide feedback on the new portal?
 - α Please email IAPTestingInquiries@dtcc.com.