

AnnounceDirect FAQs

- ▶ When will the new portal be available in production?
 - ▶ The new portal will be available in 2026. DTC is actively engaging the industry with focused working group sessions and testing each sprint of development to initiate engagement and solicit feedback to drive the development of the new UI.
- ▶ Who will be able to create corporate action announcements in the new portal?
 - ▶ Issuers, agents, or trustees assigned to a CUSIP will be able to create or modify an announcement for that specific CUSIP.
- ▶ Who will be able to create corporate action announcements in the test environment?
 - ▶ Access will be limited to Redemption Agents for testing.
- ▶ Where will agents access the new portal?
 - ▶ The portal will be available on MyDTCC portal within a suite of Asset Services applications designed for agents, serving as a central location for all agent functions.
- ▶ Will there be a formal registration process to get access to the web application in DTCC's test region?
 - ▶ Interested parties should contact IAPTestingInquiries@dtcc.com to get access to the test environment.
- ▶ What event type will be eligible for submission in the test environment?
 - ▶ Agents will only be able to create and view full call announcements in the test region.
- ▶ What event type(s) will initially be eligible for submission when the portal goes live?
 - ▶ The new portal will initially be designed for Mandatory Redemption events. This includes full calls, partial calls, partial pre-refunding, full pre-refunding, partial defeasance, principal paydown, default and termination events. DTCC will provide additional information for any anticipated changes to the event types made eligible.
- ▶ Will there be an option to upload multiple CUSIPs / events at once?
 - ▶ Yes, when the portal goes live. A file upload feature will be added as a future enhancement to allow for bulk submission of CUSIPs representing multiple events. This new upload feature will be available to test in Q1 2025 and will ultimately replace the REDCAL Lite submission process.
- ▶ Will the submission process be standardized?
 - ▶ Yes, the user interface will provide efficient submission through standardized data elements and templates. The portal will also have API capability in its final stage which will allow for machine-to-machine processing and enhanced reporting.
- ▶ Is this new function synonymous with the Issuer Agent Portal (IAP)?
 - ▶ At the moment, no. The IAP is a standalone, public web interface for the sole purpose of submitting Legal, Tax, and Transfer Agent notices directly into LENS. The IAP and the direct sourced announcement function are not linked at this point. Future plans to connect the two applications may be considered.
- ▶ How do I submit questions and provide feedback on the new portal?
 - ▶ Please email IAPTestingInquiries@dtcc.com.