

# **Agent Reference Guide: Redemption Cash Events Template**

**Date:**

**5/21/2026**

## Overview

In connection with the November 6, 2026, launch of AnnounceDirect, DTC is introducing a new RedCal Lite template aligned to the platform's broader modernization efforts. AnnounceDirect represents a meaningful step forward in enhancing how corporate action events are managed, supporting greater visibility, improved efficiency, and more streamlined workflows for agents.

As part of this transition, the updated template, titled "Redemption Cash Events Template", has been designed to support expanded functionality and ensure alignment with AnnounceDirect capabilities. By consolidating and replacing both the "REDCAL LITE – Debt" and "REDCAL LITE – Equity" (xlsx) files, agents will benefit from a more consistent and simplified approach to submitting redemption cash event notifications. The template will be used for the following redemption cash event types: *full calls, partial calls, final paydowns, default final payments, and full pre-refundings*.

The template is being made available in advance to give agents the opportunity to become familiar with the updated structure and field requirements ahead of go-live. Early review and engagement will help support a smoother transition and allows agents to share feedback that may help shape ongoing refinements as AnnounceDirect is further developed. DTC values this collaboration and welcomes agent input as part of this journey.

Beginning **November 6, 2026**, DTC will transition to accepting the updated template from all agents. Submission requirements will vary based on each agent's AnnounceDirect onboarding status:

- **Onboarded agents** will submit completed templates directly into AnnounceDirect and manage all submissions within the platform.
- **Non-onboarded agents** will submit completed templates via email to [redemptionnotification@dtcc.com](mailto:redemptionnotification@dtcc.com), and DTC will communicate directly with agents to coordinate any required follow-up.

DTC has begun targeted outreach to select agents to initiate onboarding to AnnounceDirect. Additional agents will be onboarded throughout 2026 and beyond. As onboarding progresses, agents will have the opportunity to further leverage AnnounceDirect capabilities as part of their event management processes.

Please note, the current RedCal Lite files for cash events will be discontinued and will no longer be accepted effective **July 1, 2027**. There will be no changes to the Partial Pre-refunding/Partial Defeasance RedCal Lite file, BMA, or CCF at this time.

**Important:** While the template is being shared now to support early familiarization, agents should not use the updated template for production submissions prior to the November 6, 2026, go-live date.

The links to the (i) **Redemption Cash Events Template** and (ii) the **Agent Reference Guide**, can be found on DTCC's website at the links below:

- [AnnounceDirect | DTCC Asset Services](#)
- [Corporate Action Information for Agents | DTCC Corporate Action Events](#)

Further information about AnnounceDirect is available at [AnnounceDirect | DTCC Asset Services](#). For questions regarding this notice, agents may contact [AnnounceDirectInquiries@dtcc.com](mailto:AnnounceDirectInquiries@dtcc.com).

## DTC Agent File Detail Input

The spreadsheets have several mandatory and optional fields. The details for the fields are listed below for your reference. **This file must be saved in Excel Workbook Format (.xlsx) only.**

## Redemption Cash Events Template Structure

REDCAL Lite Field Name	Redemption Cash Events Template Field Name	Description	Key Note
n/a	Function	Indicates if this is a new announcement, or if it is an existing announcement that needs to be modified or canceled	<p>Required field</p> <p>Select N, M, or C from dropdown</p> <p>N - New Announcement M - Modify Announcement C - Cancel Event</p>
n/a	DTC Tracking ID	This field is only required if you are submitting a modification or cancellation for an existing event ID. When a new event announcement is submitted, a unique DTC Tracking ID will be created and will be visible in the event details within the platform	Required only for modifications and cancellations. Do not populate this field for NEW announcements.
CUSIP	CUSIP	Security identifier associated with the event	Required field
Call Type	Event Type DS	Indicates the type of event  <i>*DS indicates display name</i>	<p>Required field</p> <p>Select from dropdown:</p> <p>Full call Partial call Final paydown Default final payment</p>
n/a	Fully Pre-Refunded	Indicates if a full call event is fully pre-refunded	<p>Optional for full calls. Do not populate this field for other event types</p> <p>Y/N dropdown</p>
Redemption Date	Payable Date	Date of redemption payment	<p>Required field</p> <p>MM/DD/YYYY</p>
Publication Date	Publication Date	<p>Date that the event is published. Must be equal to or prior to the Payable Date</p> <p>DTC position capture is performed the night prior to the publication date. For Partial Calls, a lottery is run on the publication date for the amount of called securities.</p>	<p>Required field</p> <p>MM/DD/YYYY</p>
Principal Distribution Per 1000 / Principal Rate per Unit	Principal Cash Rate	<p>Principal cash rate per 1000 (for debt) or per unit (for equity)</p> <p>Up to four digits before the decimal, and up to six digits after the decimal.</p> <p>Example: 1234.123456</p>	Only one of these fields are required to be filled out. If both are left blank or if both are populated, the event will be rejected.

n/a	Principal TBD	If the Principal cash rate is TBD, select “TBD” from the drop down.	
n/a	Premium Cash Rate	Premium cash rate per 1000 (for debt) or per unit (for equity)  Up to four digits before the decimal, and up to six digits after the decimal.  Example: 1234.123456	Only one of these fields are required to be filled out. If both are left blank or if both are populated, the event will be rejected.
n/a	Premium TBD	If the Premium cash rate is TBD, select “TBD” from the drop down.	
Accrued Interest Per 1000	Accrued Interest / Dividend Cash Rate	Off cycle interest rate per 1000 (for debt) or per unit (for equity)  Up to four digits before the decimal, and up to six digits after the decimal.  Example: 1234.123456	
n/a	Accrued TBD	If the Accrued interest / dividend cash rate is TBD, select “TBD” from the drop down.	Only one of these fields are required to be filled out. If both are left blank or if both are populated, the event will be rejected.
Certificate Number	Certificate Number	Certificate number to be redeemed (if applicable)	Required for Partial Calls only on non-fast certificated issues  Free form text box; allows letters and/or numbers only, up to 10 total characters.
Called Amount	Security Called Amount	Position amount to be redeemed	Required for Partial Calls Optional for all other event types  No decimals allowed
n/a	Concurrent Call Indicator	Do not populate this field at this time – functionality for this field will be deployed at a future date and will be used to indicate if the event meets the criteria of a concurrent call.	Do not populate this field at this time
n/a	Lottery Security Feature	Do not populate this field at this time – functionality for this field will be deployed at a future date and will be used to indicate the type of partial redemption that is occurring.	Do not populate this field at this time
Conditional Indicator	Conditional Payment Applicable Flag	Indicates if the redemption event is conditional upon specific Issuer-stated terms	Optional field. Do not populate for Fully Pre-Refunded events  Y//N dropdown

Principal Rate		No longer required	
Agent #		No longer required	
Certificate Prefix		No longer required	
Revision Indicator		This field has been replaced by the Function field in the new template	