



Ann E. Bergin

Managing Director, General Manager of Wealth Management Services

Ann Bergin is Managing Director, General Manager of Wealth Management Services for DTCC. She is responsible for a business portfolio that supports domestic and global mutual funds, insurance, and alternative investment products.

Ann has over 40 years' experience in the financial services industry. She joined DTCC's National Securities Clearing Corporation (NSCC) subsidiary in 1997. Prior to joining DTCC, Ann was Senior Vice President at Bisys Fund Services, Inc. She also spent four years with Concord Financial Group as Chief Operating Officer. Ann started her career with The Dreyfus Corporation.

In 2007, Ann was honored with a Fund Operations Award for Leadership by SourceMedia for bringing efficiencies and scalability to the managed solutions market and was similarly honored in 2003 for leadership to the mutual funds industry. In March 2004, she testified before the U.S. Senate Committee on Banking, Housing and Urban Affairs, giving valuable insight on NSCC's role in combating late trading of funds.

Ann is actively involved in numerous associations that represent the mutual funds industry, managed accounts and alternative investment product industries, including The Investment Company Institute (ICI), The Money Management Institute, the Securities Industry and Financial Markets Association (SIFMA). Ann was previously a member of the Joint NASD/Industry Task Force on Breakpoints and the NASD Omnibus Account Task Force.

She currently serves on the board for the International Securities Services Association and HEART9/11.

DTCC is the premier post-trade market infrastructure for the global financial services industry. DTCC, through its subsidiaries, automates, centralizes and standardizes the processing of financial transactions, mitigating risk, increasing transparency and driving efficiency. To learn more, visit www.dtcc.com.