

DTCC

CNS[®]

CNS EQUITY MASTER FILE - PRODUCTION AUTOROUTE ID: 02040290

VERSION 4.0
JULY 21, 2020

CNS® EQUITY MASTER FILE - PRODUCTION

AUTOROUTE ID: 02040290

Document Change History

The following table show the change history for this document:

Version	Date	Summary
1.0	September 9, 2014	First draft of the document.
2.0	January 7, 2015	<ul style="list-style-type: none"> • Added Security Trading Status. • Updated File delivery time.
3.0	September 28, 2018	Important Notice # Z0169 has been published. http://www.dtcc.com/~media/Files/pdf/2018/8/6/Z0169.pdf
4.0	June 3, 2020	DTC Eligibility Indicator is no longer published.

File Content

The Trading/Clearing Equity Master file contains all actively traded and suspended equities available in NSCC.

File Delivery

The file is available through the Autoroute Product ID 02040290. It is produced on the NSCC business day at approximately 5 P.M. and contains the snapshot of data as of 5 P.M. Eastern Time.

Record Layout

The following table shows the record layout for CNS Equity Master File - Production AutoRoute ID: 02040290:

AutoRoute ID 02040290					
Record Layout					
Record Length = 80					
Field Name	Length	Start	End	Type	Comments
CUSIP	9	1	9	A/N	Nine-character number and letter format as designated by the Committee on Uniform Securities Identification Procedures (CUSIP).
When Issued Indicator	1	10	10	A/N	Indicates if a security is When Issued or Regular Way. Values: <ul style="list-style-type: none"> 0 – Regular Way 1 – When Issued
Foreign Domestic Indicator	1	11	11	A/N	Indicates if a security is domestic or foreign. <ul style="list-style-type: none"> 0 – Domestic Default for all securities.
Exchange Indicator	1	12	12	A/N	Exchange on which a security is listed. Values: <ul style="list-style-type: none"> 0 – New York Stock Exchange 1 – NYSE Amex Equities Exchange 2 – OTC Exchange
Security Symbol	15	13	27	A/N	Abbreviation used to uniquely identify publicly traded shares of a particular stock on a particular stock market.
CNS Eligibility Indicator	1	28	28	A/N	Indicates if a security is eligible for CNS through NSCC. Values: <ul style="list-style-type: none"> 0 – Non-CNS Eligible 1 – CNS Eligible 2 – Suspended
DTC Eligibility Indicator	1	29	29	A/N	No longer published as of February 25, 2019. Spaces.
Special Trade Indicator	1	30	30	A/N	Indicates if there is any type of NSCC special processing required for this security. Values: <ul style="list-style-type: none"> 0 – No Special Trade Processing 1 – Foreign Security Netting 3 – NSCC Special Trade
Security Description	35	31	65	A/N	Security Short description

AutoRoute ID 02040290					
Record Layout					
Record Length = 80					
Field Name	Length	Start	End	Type	Comments
Trading DMM (Designated Market Maker)	4	66	69	A/N	A market maker that is obligated to maintain fair and orderly markets for an assigned set of listed firms. Blank – Per Important Notice # Z0169. <ul style="list-style-type: none"> For NYSE and AMEX Exchanges – Floor Clearing Number. For OTC Exchanges – Zeroes or spaces.
DMM Clearing Agent	4	70	73	A/N	NSCC Clearing Agent. Blank – Per Important Notice # Z0169. <ul style="list-style-type: none"> For NYSE and AMEX Exchanges – Specialist Number. For OTC Exchanges – Zeroes or spaces.
Post Number	2	74	75	A/N	Special Post Number. Blank – Per Important Notice # Z0169. <ul style="list-style-type: none"> For NYSE and AMEX Exchanges – Post Number. For OTC Exchanges – Spaces.
Panel ID	2	76	77	A/N	Panel ID. Blank – Per Important Notice # Z0169. <ul style="list-style-type: none"> For NYSE and AMEX Exchanges – Panel ID. For OTC Exchanges – Spaces.
Unit Of Trade	1	78	78	A/N	Minimum quantity of a security required for regular trading purposes. Values: <ul style="list-style-type: none"> 0 – 1-Share Round-Lot 1 – 10-Share Round-Lot 2 – 25-Share Round-Lot 3 – 50-Share Round-Lot 4 – 100-Share Round-Lot 5 – 1000-Share Round-Lot
Security Trading Status	1	79	79	A/N	Indicates if a security is eligible for Trading through NSCC. Values: <ul style="list-style-type: none"> 1 – Active 2 – Suspended
Filler	1	80	80	A/N	Reserved for future use.
END OF RECORD					

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Doc Info: July 21, 2020

Publication Code: CNS129

Service: CNS®

Title: CNS Equity Master File - Production AutoRoute ID: 02040290

FOR MORE INFORMATION

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