



DTCC CONSULTING SERVICES

Our Expertise. Your Organization.

DON'T WAIT! GET READY FOR T+1 TODAY

Let us help you drive the optimization of your settlement processes

A T+1 settlement cycle may increase market efficiency and mitigate risk, particularly during times of extreme volatility, and could free liquidity—cash or shares—held to ensure the completion of trades. The transition to T+1 will require fundamental behavioral, technological, process, and regulatory changes. Firms will need to adapt to those changes and ensure their systems are fully optimized and ready to effectively operate in a T+1 environment.

Some areas of concern include post-trade processing, night batch processing, vendor systems, asset servicing, industry coordination, documentation, regulatory changes, trade allocation and affirmation, and more.

For over 45 years, our clients have trusted us to solve some of the biggest issues facing the global financial services industry. This unique vantage point has enabled us, through DTCC's family of companies, to develop techniques and tools that can help firms drive innovation and transformation.

[TALK TO US TODAY](#)

To learn more about how our experts can help your firm prepare for T+1 in the US.

HOW CAN WE HELP YOU PREPARE FOR T+1?

No one knows the post-trade landscape better than DTCC Consulting Services experts. That's because we built, operate, and continue to upgrade the systems that make the settlement of securities in the US financial markets possible. We also led the move from T+3 to T+2 in partnership with industry organizations.

Our adaptable consulting services model offers various ways to help address your specific objectives and your desired readiness.



DIAGNOSTIC

Let us evaluate the current state and conduct a gap analysis of your infrastructure that could be impacted by T+1.

- **DTCC data analysis** identifying where you or your counterparties are lagging or leading to inform gap assessment.
- **Impact assessment** of your current T+2 processes and potential T+1 requirements. Customized heatmap to help your firm understand anticipated impact areas and decide what to prioritize. Our assessment can span various processing systems, including trading, allocation, confirmation, affirmation, collateral, stock lending, settlement, finance, treasury.
- **Program Health Check / Validation Exercise** on your existing systems and processes to determine readiness and recommend specific improvements.

DESIGN

Once areas of improvement are agreed, we will design a solution planning map to deploy.

- **Readiness Framework** includes a customized list of prioritized changes that will drive your roadmap, plan and delivery tactics. This will cover certain processes that need to be automated or adapted; suggested controls that need to be upgraded; technology stack changes; team size, location and capacity needs; and more.
- **Industry Target Operating Model** will be compared to your system architecture to provide you with delivery goals and an illustration of an optimal target operating model.

DELIVERY

Get the support you need to efficiently deliver your plan. From leading change and IT governance expertise, to project management around implementing new processes and control framework enhancements, our experts can help you be ready ahead of the go-live date (which has not been determined yet).

- **Test Support** to help design and implement a framework for testing (covering internal, joint and/or industry testing) follow by test execution tracking to evaluate your operational readiness ahead of T+1 go-live.
- **Go Live Checklist** will leverage the insights from your impact assessment and readiness framework to assist you in ensuring you're robustly using your DTCC services in preparation for T+1.

For more information on DTCC Consulting Services, visit dtcc.com/consulting.



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