



DTCC Consulting Services

OUR EXPERTISE. YOUR ORGANIZATION.

For 50 years, clients have trusted DTCC to solve some of the biggest issues facing the global financial services industry.

This unique vantage point has enabled us, through our family of companies, to develop techniques and tools that can help firms drive innovation and transformation.

DTCC Consulting Services experts are uniquely qualified to provide firms with

KNOWLEDGE | EXPERIENCE | GUIDANCE

DTCC



Our Approach

No one knows the financial industry landscape and DTCC's solutions better than we do. Recognized for our contributions in leading industry change as well as building market solutions, we leverage our relationships and expertise to provide front-to-back consulting utilizing our diagnostic, design and deliver approach.

DTCC Consulting Services has the advantage of being able to leverage DTCC teams, products, and services, alongside our deep understanding of other third-party solutions and extensive industry network. Our multi-faceted approach enables us to deliver market insights, benchmarking, maturity assessments, target control frameworks and peer analysis, large-scale process improvement and change management that transform our clients' business processes.



Diagnostic

Root cause issue analysis, and remediation of gaps against best practice and regulatory requirements covering areas such as:

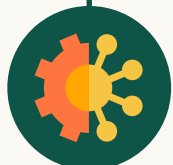
- Data quality reviews
- Regulatory change impact assessments
- Data integrity and lineage analysis
- Performance scorecards and industry benchmarking



Design

Definition of an enhanced operating model and technical architecture to align to best practice and changing regulations across post-trade operations and technology, including:

- Solution assessment, and business and data requirements definition
- Holistic operating model design
- Process and Control framework enhancement



Deliver

Industry experts with deep subject matter expertise will assist with implementation and project management for strategic and regulatory initiatives, enhanced by knowledge of DTCC products and systems, across the following:

- Bespoke test strategy creation
- End-to-end UAT execution and result tracking
- Issue remediation support
- Overall implementation roadmap, planning and project management support

Areas of Expertise

Our experts have decades of experience leading operations team within large Investment Banks, Asset Managers and Financial Market Infrastructure covering both Regulatory Reporting and Securities Services.



Regulatory Reporting

Regulatory Change Support

We can provide comprehensive regulatory change support across your end-to-end compliance journeys, from a detailed rule interpretation and impact assessment to identify gaps in your existing reporting model, through to bespoke testing and go-live support, leveraging DTCC Consulting Services' Test Packs where possible to ensure a smooth implementation.

Reporting & Control Optimization

Our industry leading subject matter experts can conduct detailed assessments of your reporting solutions and control frameworks, benchmarking them against broader industry standards and best practices, before providing recommendations and a detailed roadmap to achieve an enhanced target state for your reporting function.



Securities Services

Post Trade Efficiency

DTCC CS leverages our expertise and experience within the post-trade domain to provide DTCC clients with a service offering to address the global industry trends of reducing the settlement cycle and support clients in addressing obstacles to full Straight Through Processing (STP). From post execution through to settlement, we are equipped to support identification of latency within the settlement lifecycle and optimize our clients' operating models to ensure opportunities for acceleration, automation, and resiliency are identified and realized.

U.S. Treasury Clearing

Our capabilities in this space focus on interpretation of the new SEC rules relating to U.S. treasuries and support for our clients in assessing the scope and impact of the rule changes. We are uniquely equipped to help the market navigate the complexities of these upcoming changes in a practical and pragmatic way. Our services include, but not limited to, Legal Entity-specific scoping, assessment of impact, and Target Operating Model design and delivery.

Visit www.dtcc.com/consulting



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