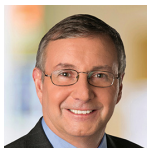


DTCC SPEAKER LINE UP

<https://www.sifma.org/event/ops/#sessions>

DTCC

Securing Today. Shaping Tomorrow.®



Michael C. Bodson

President & Chief Executive Officer

A CONVERSATION WITH MICHAEL C. BODSON, DTCC PRESIDENT & CHIEF EXECUTIVE OFFICER,

TUESDAY, MAY 8 | 9:45AM – 10:10AM

SIFMA's President & CEO, Ken Bentsen will interview Michael Bodson who will share his insights as CEO of the world's largest market infrastructure on the top trends reshaping the post-trade environment – from how new technologies will transform financial services to why he's disrupting DTCC by embracing the fintech revolution and the need to balance innovation and financial stability during this period of dynamic change.



Dan Thieke

Managing Director and General Manager, Settlement & Asset Services Business

BREAKOUT SESSION 3: CORPORATE ACTIONS,

TUESDAY, MAY 8 | 2:00PM – 3:00PM

Operations professionals continue to focus on the mitigation of risk and enhancement of the customer experience driven by the evolving complexity and regulatory requirements in the corporate actions space. This session will highlight how firms are looking to manage regulatory and operational risk with fintech opportunities in robotics and artificial intelligence introduced into tax processing and the corporate actions operations. Panelists will also explore efforts for a global standard in the announcement of events, as well as questions surrounding emerging trends, including the Issuers' use of cryptocurrency for dividends.



Michele Hillery

Executive Director, Equity Clearing Services, Fixed Income Product Management

BREAKOUT SESSION 5: BULK TRANSFER INITIATIVE,

TUESDAY, MAY 8 | 2:00PM – 3:00PM

The panel will discuss the industry Bulk Transfer initiative, a collaboration among regulators and the industry to create a common data and regulatory architecture for the efficient and speedy 'bulk transfer' of customer accounts from a carrying broker dealer experiencing financial difficulties to a new carrying broker dealer(s).



John Abel

Executive Director, Product Management, Settlement & Asset Services

Dan Thieke (moderator)

Managing Director and General Manager, Settlement & Asset Services

BREAKOUT SESSION: SETTLEMENT OPTIMIZATION,

TUESDAY, MAY 8 | 3:30PM – 4:30PM

Following the successful implementation of T+2, DTCC is looking to further modernize the settlement process in order to capture many of the benefits realized by compressing the settlement cycle. This panel will explore the concept of Settlement Optimization, which proposes a reengineering of DTC's night cycle to drive a higher rate of settlement earlier in the processing day and creates the possibility of a morning settlement slice.



Jennifer C. Peve

Managing Director, Fintech Strategy

**BREAKOUT SESSION 1: DISTRIBUTED LEDGER TECHNOLOGY,
TUESDAY, MAY 8 | 3:30PM – 4:30PM**

Blockchain holds great promise to help transform securities markets and processes – but what does actual implementation of this technology look like? This panel will explore how the industry is actually applying distributed ledger technologies, and what the path to production looks like. How are exchanges and utilities using blockchain, and what lessons can the industry learn about realizing the potential of this technology – from selecting the right use cases to implementation to understanding the regulatory context?

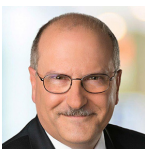


Bill J. Kapogiannis

*Executive Director, Product Management –
Equity Clearing*

**BREAKOUT SESSION 3: THE FUTURE OF ACATS: A VISIONARY LOOK,
TUESDAY, MAY 8 | 3:30PM – 4:30PM**

In a world of instantaneous information and high-speed transaction processing, the account transfer process – which moves accounts between brokerage firms and/or banks – must ensure that it takes the necessary steps to modernize its infrastructure from both operational efficiency and technology perspectives. This panel will review the ACATS evolution and the drive to improve the investor experience and reduce risk; the industry perspectives on the many upcoming ACATS process improvements; and the strategic view of the ACATS process and the multi-year roadmap of change and its impact on operations officers.



Murray Pozmanter

*Managing Director & Head of Clearing Agency Services &
Global Operations*

**GENERAL SESSION PANEL: LOOKING TO THE FUTURE OF FINANCIAL
SERVICES OPERATIONS, WEDNESDAY, MAY 9 | 9:00AM – 10:00AM**

The transformative impacts of emerging technologies are not limited to new ways of interacting with customers, but have the potential to reshape the operations, systems, and processes that drive the securities industry. This panel brings together operations

leaders to explore how new technologies and industry initiatives can drive greater efficiency and reduce risk, and how the industry and the role of the operations professional may evolve over the next five years.



Brian Disken

Executive Director, Fixed Income Product Management

**BREAKOUT SESSION 2: U.S. GOVERNMENT SECURITIES MARKET
(CLEARING AND TRI-PARTY), WEDNESDAY, MAY 9 | 12:00 PM – 1:00 PM**

The US Government Securities Market is going through many changes. The changes include post trade settlement, a greater need for clients to mobilize these high-quality assets around the globe and finally, the reversal of quantitative easing and the impact it will have on the secured financing market. The panel will discuss the changing landscape of the Government Securities Market and the Industry trends that result.



Michael W. McClain

Managing Director, General Manager, Equity Clearing

**BREAKOUT SESSION 3: MANAGING OPERATIONAL RISK,
WEDNESDAY, MAY 9 | 12:00 PM – 1:00 PM**

Managing operational risk is a critical responsibility for operations leaders. This expert panel will share how operational risk management is evolving in response to new technologies, changing processes, and changing regulations are reshaping the discipline of operational risk management. They will explore how operational risk fits into the broader enterprise and operations framework and share their perspectives on what makes risk management strategies effective.