

THE VOICE OF THE BUY-SIDE

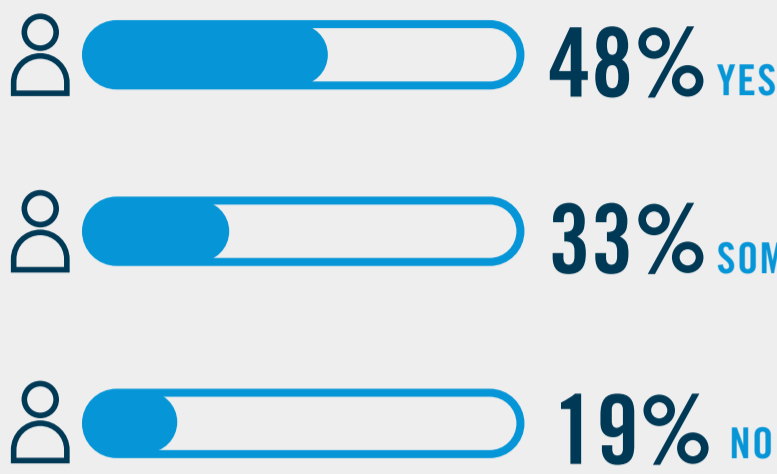
ASSESSING THE IMPACT OF NEW REGULATIONS

DTCC and DerivSource recently surveyed 300 cross-industry market participants (41% from the buy-side) to get the industry view on how compounding regulations will impact the buy-side.

THE COMPOUNDING IMPACT OF REGULATIONS



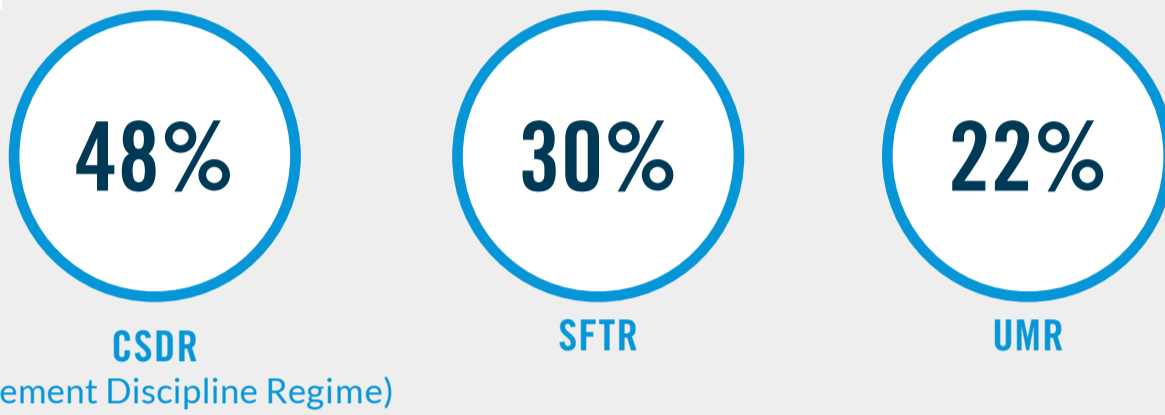
Is your firm currently looking at meeting the upcoming regulatory requirements under UMR, SFTR and CSDR holistically?



Buy-side firms are increasingly adopting holistic approaches to how they prepare and resource for compounding regulations.



Which of the following regulations do you believe will have the greatest impact on buy-side firms' operations?

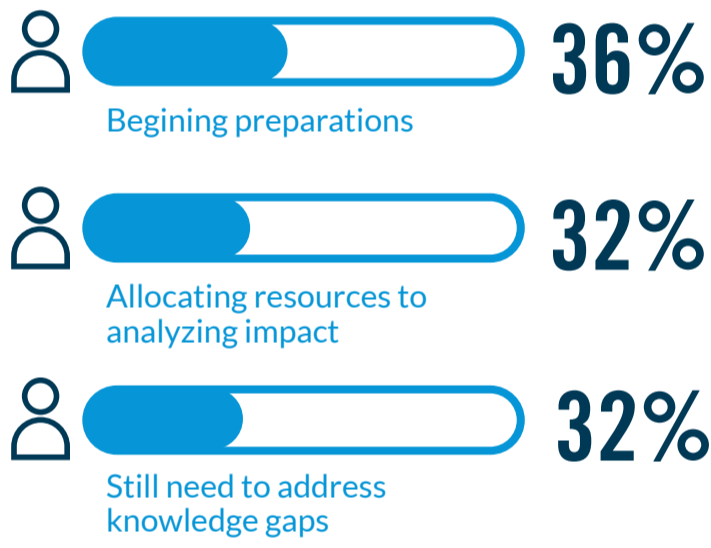


CSDR, SFTR and UMR will all impact the buy-side community. Firms must prepare now to manage the impact of compounding regulations.

CSDR



How prepared is your firm for the impact of CSDR's Settlement Discipline Regime?

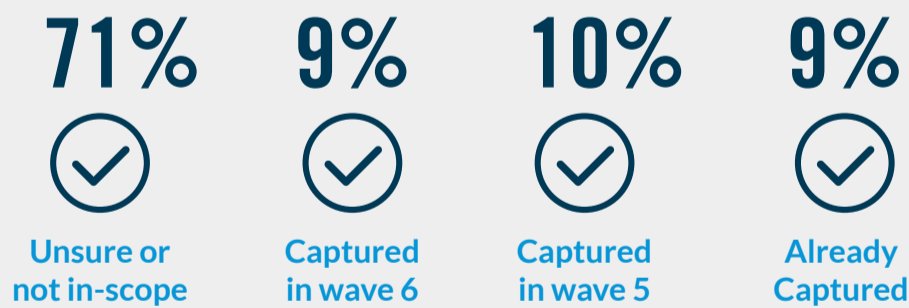


Learn how DTCC's Institutional Trade Processing (ITP) services can help clients to prepare for the impact of CSDR's Settlement Discipline Regime. Visit www.dtcc.com/csdr

UMR



How has your firm impacted under UMR?



Learn how DTCC's Margin Transit Utility (MTU) can improve settlement efficiency and reduce operational complexity and risk for collateral call processing. Visit www.dtcc.com/mtu

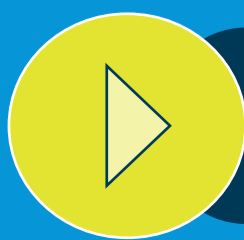
SFTR



As a buy-side firm do you plan to delegate your SFTR reporting to your counterparties?



Learn how DTCC will provide a seamless, comprehensive SFTR solution through GTR's registered, licensed trade repositories with optional pre- and post-trade reporting tools offered through the DTCC Report Hub. Visit www.dtcc.com/sftr



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