

The Depository Trust & Clearing Corporation

SECURITY MASTER FILE DATA

A DTCC Data Services Offering

OVERVIEW

DTCC's **Security Master File Data** provides **up-to-date, comprehensive and reliable** information on the entire population of securities eligible for servicing at DTCC subsidiaries Depository Trust Company (DTC) or National Securities Clearing Corporation (NSCC).

DTCC's Security Master File Data can enrich your own securities master data, helping you settle trades on time and meet critical data quality requirements throughout the life cycle of a security.

DTCC's Security Master File Data contains the data DTCC utilizes in order to, through its subsidiaries, provide custody and service assets, clear trades and settle obligations. As new securities are brought to market, underwriters, agents, issuers and exchanges

send detailed information on the securities to DTC. Once DTC Underwriting performs due diligence and verifies an issue's eligibility for DTC or NSCC servicing, that security data is added to the Security Master Database. With the Security Master File Data, you can access this authoritative data on all securities eligible for post-trade processing, or an other servicing at DTC or NSCC—a total of more than 4 million across multiple asset classes. The Security Master Files Data Set, which is updated daily, can enhance trade-processing efficiencies by reducing exceptions and expediting straight-through processing. Accurate and comprehensive, Security Master File Data can also support periodic reconciliation and other post-trade activities.

BENEFITS

ACCURACY

Increase the precision of your trade processing and reporting by utilizing up-to-date source data.

FLEXIBILITY

Ensure the appropriate data package with a choice of multiple subscription options.

COMPREHENSIVE COVERAGE

Improve operating efficiency with consolidated data covering all securities across all asset classes serviced through DTC or NSCC.



DTCC Data Services offerings provide referential and activity-based data provisioning that delivers fixed and configurable data solutions sourced from DTCC's transaction, reference, position and asset servicing data covering all major asset classes. As the largest depository in the world and the primary infrastructure in the U.S. for clearing, settlement and asset servicing, DTCC is a leading service provider to the financial markets and a trusted primary source for post-trade data. For more information visit DTCCDATA.com

ALL SECURITIES ELIGIBLE AT DTC OR NSCC

DTCC's Security Master File Data includes the total population of securities eligible at DTC or NSCC—more than 4 million—and are updated on a daily basis. You can access the complete master files to support periodic reconciliation.

The service offers multiple types of subscriptions based on asset class. All include delivery of a daily update and an all-inclusive master file, but file options vary, see the following:

MASTER FILE SERVICE

Provides security master data for eligible securities with an expansive list of data elements and additional asset slices. You can choose from the following asset classes:

- Asset and Mortgage Backed
- Corporate Bond and Trusts
- Equity
- Government
- Municipals
- Money Market Instruments Intraday
 - Sub-issue types:*
 - Preferred Stock (PFD/CP) in CP-like mode
 - Municipal VRDO/CP
 - Municipal CP
 - Bankers' Acceptances (BA)
 - Institutional Certificate of Deposits (CD)
 - Corporate CP
 - Corporate VRDO/CP
 - Deposit Notes
 - Medium-Term Bank Notes
 - Medium-Term Notes
 - Short-Term Bank Notes
 - Discount Notes

HOW IT WORKS

- Each day DTC receives information on new securities coming to market from underwriters, agents, issuers and exchanges.
- DTC Underwriting performs due diligence on the information to vet an issue's eligibility for servicing by DTC or NSCC.
- Once verified as eligible, that security data is added to DTCC's Security Master Database.
- Daily update files are published at approximately 9:00PM ET and master files are made available on the last Saturday of the month. Money Market Instrument Intraday files are available at 10:00AM ET, 12:00PM ET, 1:00PM ET, 4:00PM ET, and 10:00PM ET.

DELIVERY

You can receive master file data via the following options:

- Secure File Transfer Protocol (SFTP) for on-demand access (pull) or push capability
- Network Data Mover (NDM) over DTCC SMART Circuit
- SWIFT MQ

FOR MORE INFORMATION

DTCC Data Services Sales and Support: **1-800-253-2488**

DTCC Data Services team: **dataservices@dtcc.com**

Visit **www.dtccdata.com** for additional product details and to learn more about the entire DTCC Data Services suite of offerings.