DTCC

ASIC Fee Structure Effective: 1 January 2025

Account Management Fee

All account holders (except View Only Access users) of DTCC Data Repository (Singapore) Pte. Ltd. ("DDRS") will be subject to a monthly account management fee of A$350.00 ("Account Management Fee"). This is billed on a monthly basis upon activation of an account for a reporting service to Australian Securities and Investments Commission ("ASIC"), regardless of whether there are open positions or not for a particular month.

There is no charge for the View Only Access to DDRS reporting service.

Monthly Open Position Maintenance Fees

All Users of DDRS (except a third party service provider which has signed a third party provider agreement with DDRS ("Third Party Service Provider")) will be charged variable monthly open position maintenance fees based on the number of open positions ("Monthly Open Position Maintenance Fees") in accordance with the table below:

Australia	
Number of Open Positions at Month End	Fee per Position (AUD)
0 – 100	$6.25
101 – 1,000	$3.75
1,001 – 10,000	$2.20
10,001 - 30,000	$1.00
30,001 - 100,000	$0.27
100,001 +	$0.12
Number of open positions across asset classes are counted on the last business day of each month.	

Third Party Service Providers will be charged Monthly Open Position Maintenance Fees in accordance with the chart below:

Australia	
Number of Open Positions at Month End	Fee per Position (AUD)
0 – 100	$ 6.25
101 – 1,000	$ 3.75
1,001 +	$ 2.20
Number of open positions across asset classes are counted on the last business day of each month.	

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Notes

- All amounts are expressed in Australian dollars and are exclusive of GST, which will be charged where applicable.

- Firms will be charged in proportion to the volume of trades reported with a reducing cost per position when volume increases.

- If there are zero open positions at end of business day of the month, Firms will only be charged with the Account Management fee.

Tax

Unless expressly stated, the fee charges are exclusive of Goods and Services Tax (GST). If GST is or becomes payable on any supply made, an additional amount is payable by the client equal to the amount of GST payable on that supply, as calculated in accordance with the GST Act in Australia. DDRS' right to receive an additional amount in respect of GST is subject to a valid tax invoice being issued to the client.

Example of how the pricing structure works

Client Monthly Usage Example: 1,000 positions.

	ASIC Fee Example (Users)	Tier(s)	Rate	Billable Units	Monthly Cost (AUD)
1	Account Management Fee	N/A	$350	1	$350
	Account Management Fee Total				**$350**
2	Monthly Open Position Maintenance Fees				
	First 100 positions are billed at $6.50 per position	1 - 100	$6.25	100	$625
	Next 900 positions are billed at $3.75 per position	101 - 1000	$3.75	900	$3,375
	Monthly Open Position Maintenance Fees Total (excl. GST)				**$4,000**
	Grand Total				**$4,350**

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Late Fee

In the event all or any undisputed portion of a DDRS User's invoice becomes ninety (90) days or more past due (the "Past Due Balance"), such DDRS User shall be subject to a late fee equal to 5% of the Past Due Balance (the "Late Fee"). The DDRS User will continue to be assessed the Late Fee on a monthly basis until the full amount of the Past Due Balance is paid.

Contacts

To discuss your ASIC regulatory requirements with our Global Trade Repository sales team please contact GTR-APAC@dtcc.com

For further details about this fee schedule and all other GTR Billing enquiries please contact GTRSupport@dtcc.com

For online registration to GTR please go to https://www.dtcc.com/forms/gtr-onboarding-questionnaire

Guidance

More guidance on GTR billing is available in the DTCC Learning Center:

https://dtcclearning.com/documents/repository-services/manage-services-3/sftr-1/3991-gtr-services-billing-online-help/file.html

and Section 8.1 of the GTR ASIC Rewrite: Functional Change Document:

https://dtcclearning.com/documents/repository-services/gtr-australia-asic-rewrite/gtr-asic-rewrite-upcoming-changes/4628-asic-rewrite-functional-changes-document-2.html