

## EMIR REPORTING SERVICE FEE SCHEDULE

### 1. DEFINITIONS AND GUIDANCE

- 1.1. The definitions listed in paragraph 10 shall apply in this Fee Schedule. Any capitalised terms not defined in paragraph 10 shall have the same meaning as in the Operating Procedures.
- 1.2. Further guidance on this Fee Schedule may be located in the GTR Clients' Learning Centre of the DTCC website located here: <https://dtcclearning.com/products-and-services/repository-services.html> (please login in order to be able to see the GTR Billing Information section – registration is free). The guidance and any examples provided in the GTR Client Learning Centre on this Fee Schedule are for assistance and information only and shall not form part of or be used in the interpretation of any of the User Agreement or Third Party Service Provider Agreement, Operating Procedures, Appendices or Schedules.

### 2. EFFECTIVE DATE

This Fee Schedule shall take effect on 01 January 2025.

### 3. ACCOUNT MANAGEMENT FEES

- 3.1. Each Principal User or Third Party Service Provider shall pay the following Annual Account Management Fee which is billable each year on 1 January and shall be pro-rated by month if a Principal User or Third Party Service Provider commences using the Service after the 31 January in a year and the pro-rata amount is billable in full in the first month access to the Service is provided. The Annual Account Management Fee is not refundable in the event of termination of a User Agreement or Third Party Service Provider Agreement during a year.
- 3.2. In addition to the Annual Account Management Fee, a Principal User or Third Party Service Provider which has a Family of Users with six or more combined Records in a month or part thereof shall pay the Monthly Account Management Fee for that month which is not refundable in the event of termination of a User Agreement or Third Party Service Provider Agreement during a month. In this case, the Monthly Account Management Fee will be billed along with the costs applicable to each Record.
- 3.3. If a Principal User or Third Party Service Provider alone or within its Family of Users has five or fewer Records in a month or part thereof, no Monthly Account Management Fee will be billed for that month.

#### Annual Account Management Fee

Fee Description	Fee Amount
Annual Account Management Fee	€435 per year

#### Monthly Account Management Fee

Fee Description	Fee Amount
Monthly Account Management Fee	€230 per month

#### **4. BILLING PROCESS AND PRECEDENCE**

- 4.1. There is no charge for the view only access to the DDRIE EMIR Reporting Service.
- 4.2. Submission of records of any type in the UAT environment will not constitute a Record for purposes of the Fee Schedule.
- 4.3. As set out in the Definitions below, Records are identified by the combination of the unique trade identifier and Reporting Counterparty LEI. Where DDRIE receives two submissions for the same unique trade identifier with different Reporting Counterparty LEIs, these will be treated as separate Records (to the extent that the other elements of the definition are met).
- 4.4. Individual Records are assigned and billed in the following order of precedence:
- The starting position is that the Record is assigned and billed to the Entity Responsible for Reporting if it is Directly Onboarded, including where the Record is reported by the Entity Responsible for Reporting on a mandatory delegated basis.
  - If no Entity Responsible for Reporting is included in the Record or the entity listed as Entity Responsible for Reporting is not Directly Onboarded, the Record is assigned and billed to the Reporting Counterparty.
  - If the Reporting Counterparty included in the Record is not Directly Onboarded, the Record is assigned and billed to the Execution Agent.
  - If no Execution Agent is included in the Record or the Execution Agent is not Directly Onboarded, the Record is assigned and billed to the Report Submitting Entity, including where the Report Submitting Entity is acting as a Third Party Service Provider.
- 4.5. The standard billing period is a calendar month. Invoices cover the full billing period or relevant part thereof if the Principal User or Third Party Service Provider joins or leaves the DDRIE EMIR Reporting Service during a billing period.

#### **5. OTC DERIVATIVES**

- 5.1. Each Principal User or Third Party Service Provider shall pay the following OTC Standard Derivative Fees and OTC High Frequency Derivative Fees for Direct Submissions and Delegated Submissions.
- 5.2. For each billing period, the OTC Derivative Fees are calculated and charged per relevant Record. In the event a User Agreement or Third Party Service Provider Agreement is terminated during a billing period, the Fees remain payable for that billing period.
- 5.3. For each Principal User or Third Party Service Provider, the OTC Derivative Fees (i.e. OTC Standard Derivative Fees and OTC High Frequency Derivative Fees together) shall be capped at €500,000 (EUR) in any period 1 January to 31 December unless the Principal User or Third Party Service Provider is a Large User.

## OTC Standard Derivatives Fees per month

Number of OTC Standard Derivative Records	Fees per OTC Standard Derivative Record
1 – 5,000	€0.500
5,001 – 50,000	€0.460
50,001 – 100,000	€0.400
100,001 – 250,000	€0.350
250,001 – 500,000	€0.250
500,001 – 1,000,000	€0.200
1,000,001 or more	€0.160

## OTC High Frequency Derivatives Fees per month

Number of OTC High Frequency Derivative Records	Fees per OTC High Frequency Derivative Record
1 – 5,000	€0.140
5,001 – 50,000	€0.085
50,001 – 100,000	€0.065
100,001 – 250,000	€0.054
250,001 – 500,000	€0.042
500,001 – 1,000,000	€0.036
1,000,001 or more	€0.032

## 6. ETDs

- 6.1. Each Principal User or Third Party Service Provider shall pay the following ETD Fees for Direct Submissions and Delegated Submissions.
- 6.2. For each billing period, the ETD Fees are calculated and charged per Record (which includes ported-in ETD positions). In the event a User Agreement or Third Party Service Provider Agreement is terminated during a billing period, the Fees remain payable for that billing period.
- 6.3. ETD Fees are calculated based on the number of ETDs reported by Direct Submissions and Delegated Submissions.
- 6.4. There are no ETD Fee charges in subsequent months for reported positions (which includes ported-in ETD positions) if the position remains open for more than one month in contrast to OTC Derivatives.
- 6.5. For each Principal User or Third Party Service Provider, the ETD Fees shall be capped at €200,000 (EUR) in any period 1 January to 31 December unless the Principal User or Third Party Service Provider is a Large User.

## ETD Fees per month

Number of ETD Records	Fees per ETD Record
1 – 5,000	€0.0330
5,001 – 400,000	€0.0280
400,001 – 1,500,000	€0.0160

1,500,001 – 3,500,000	€0.0100
3,500,001 – 6,000,000	€0.0065
6,000,001 or more	€0.0002

## 7. CRYPTO DERIVATIVES

- 7.1. Each Principal User or Third Party Service Provider shall pay the following Crypto Derivatives Fees for any Direct Submissions and Delegated Submissions of any Crypto Derivatives.
- 7.2. For each billing period, the Crypto Derivative Fees are calculated and charged per relevant Record. In the event a User Agreement or Third Party Service Provider Agreement is terminated during a billing period, the Fees remain payable for that billing period.
- 7.3. Where a Principal User or Third Party Service Provider has a Family of Users with 15 or fewer Records for Crypto Derivatives in a billing period, the total monthly cost for those derivatives is valued at less than 1c and will not be invoiced. However, even if individual Crypto Derivative Records are not invoiced, in circumstances where the Principal User or Third Party Service Provider has a Family of Users with six or more combined Records, the Monthly Account Management Fee will be charged. Where a Principal User or Third Party Service Provider has a Family of Users with 16 or more Records for Crypto Derivatives in a billing period, the total monthly cost for those Crypto Derivatives is billed.

### Crypto Derivative Fees per month

Number of Crypto Derivative Records	Fees per Crypto Derivative Record
1 or more	€0.00033

## 8. REMOTE DELEGATED REPORTING

- 8.1. Each Principal User or Third Party Service Provider shall pay the following Remote Delegated Reporting Fees for Remote Delegated Submissions.
- 8.2. For each billing period, the Remote Delegated Reporting Fees for OTC Derivatives are calculated and charged per relevant Record.
- 8.3. For each billing period, the Remote Delegated Reporting Fees for ETDs are calculated and charged per Record (which includes ported-in ETD positions). The Remote Delegated Reporting Fees for ETDs are calculated based on the number of ETDs reported. There are no fees charged in subsequent months for reported positions if the position remains open for more than one month in contrast to OTC Derivatives.
- 8.4. In the event a User Agreement or Third Party Service Provider Agreement is terminated during a billing period, the Fees remain payable for that billing period.

### Remote Delegated Reporting Fees for OTC Derivatives per month

Number of OTC Derivative Records	Fees per OTC Derivative Record
1 – 10,000	€1.430

10,001 – 50,000	€0.950
50,001 or more	€0.240

#### Remote Delegated Reporting Fees for ETDs per month

Number of ETD Records	Fees per ETD Record
1 or more	€0.140

### 9. MANDATORY DELEGATED REPORTING

9.1. Each Principal User shall pay the following Mandatory Delegated Reporting Fees for Mandatory Delegated Submissions.

9.2. For each billing period, the Mandatory Delegated Reporting Fees for OTC Derivatives are calculated and charged per Record.

9.3. In the event a User Agreement is terminated during a billing period, the Fees remain payable for that billing period.

#### Mandatory Delegated Reporting Fees for OTC Standard Derivatives per month

Number of OTC Standard Derivative Records	Fees per OTC Standard Derivative Record
1 or more	€0.24

#### Mandatory Delegated Reporting Fees for OTC High Frequency Derivatives per month

Number of OTC High Frequency Derivative Records	Fees per OTC High Frequency Derivative Record
1 or more	€0.13

### 10. INTERPRETATION

<b>Annual Account Management Fee</b>	shall have the meaning given in paragraph 3 above.
<b>Asset Class</b>	the following abbreviations when used to describe asset class shall mean: COMM: commodities and emission allowances; CRDT: credit; INTR: interest rates; EQUI: equities; CURR: currency FX.
<b>Contract Types</b>	the following abbreviations when used to describe Contract Types shall mean: CFDS: Financial contracts for difference; FRAS: Forward rate agreements; FUTR: Futures; FORW: Forwards; OPTN: Option; SPDB: Spreadbet; SWAP: Swap; SWPT: Swaption; OTHR: Other.
<b>Crypto Derivative</b>	shall mean any derivative where the field ‘Derivative based on crypto-assets’ is populated with ‘True’.
<b>DDRIE</b>	shall mean DTCC Data Repository (Ireland) plc.
<b>Delegated Submission</b>	shall mean a submission submitted by a User or Third Party Service Provider on behalf of another User which is not in the same Family of Users as the submitting User or Third Party Service Provider.

<b>Direct Submission</b>	shall mean a submission by or on behalf a User within the same Family of Users.
<b>Directly Onboarded</b>	shall mean a User which is part of a Family of Users.
<b>EMIR</b>	shall mean Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories as amended from time to time, together with all applicable subordinate legislation, decisions, guidance and other measures made under it.
<b>ETD</b>	shall mean a record or report of the trade execution or the resulting position once cleared (commonly referred to as 'listed cleared execution') reported to DDRIE as being exchange traded.
<b>Family of Users</b>	is a group of Directly Onboarded User or Third Party Service Provider accounts which is specified upon onboarding and accepted by DDRIE as a Family for billing purposes. Please refer to the Annex I SRF and contact the GTR Onboarding team ( <a href="mailto:gtr-onboarding@dtcc.com">gtr-onboarding@dtcc.com</a> ) / +44 (0)20 7136 6328 if you have a query as to whether an entity or fund is within your Family.
<b>Large User</b>	shall mean a User which maintained more than 300,000 derivative swap contracts in the trade repositories operated by DTCC Derivatives Repository Plc or its affiliates on 24 August 2012.
<b>Mandatory Delegated Submission</b>	shall mean a submission by or on behalf of a User on behalf of a counterparty which: (1) identifies, using the appropriate field(s), that it is reported on a mandatory delegated basis; and (2) is for a non-financial counterparty that does not meet the conditions referred to in the second subparagraph of Article 10(1) of EMIR.
<b>Monthly Account Management Fee</b>	shall have the meaning given in paragraph 3 above.
<b>OTC Derivative</b>	shall include all OTC High Frequency Derivatives and all OTC Standard Derivatives.
<b>OTC High Frequency Derivative</b>	shall include the following Asset Classes and Contract Types and using the Unique Product Identifier (UPI) or ISIN as set out below:

Asset Class	Contract Types	Unique Product Identifier (UPI) or ISIN
COMM	CFDS, FORW	Not applicable; all
CRDT	CFDS	Not applicable; all
CURR	CFDS, FORW, SWAP	Not applicable; all
EQUI	CFDS	Not applicable; all
EQUI	SWAP	Please see the separate table below.
INTR	CFDS	Not applicable; all

**OTC High Frequency Derivatives – Equity Swaps**

All equity swap derivatives determined by DDRIE using the following:

**UPI**

Asset Class	Instrument Type	Product Name
EQUI	SWAP	Portfolio_Swap
EQUI	SWAP	Portfolio_Swap_Other
EQUI	SWAP	Portfolio_Swap_Single_Name
EQUI	SWAP	Portfolio_Swap_Single_Index

EQUI	SWAP	Price_Return_Basic_Performance_Single_Name_CFD
EQUI	SWAP	Price_Return_Basic_Performance_Single_Index_CFD
EQUI	SWAP	Price_Return_Basic_Performance_Basket_CFD

**ISIN**

Asset Class	Instrument Type	Product Name
Equity	Swap	Portfolio_Swap
Equity	Swap	Portfolio_Swap_Single_Name
Equity	Swap	Portfolio_Swap_Single_Index
Equity	Swap	Price_Return_Basic_Performance_Single_Name_CFD
Equity	Swap	Price_Return_Basic_Performance_Single_Index_CFD
Equity	Swap	Price_Return_Basic_Performance_Basket_CFD

Where first submitted before 29 April 2024 with an ISDA Product ID and not updated or amended since, until 31 October 2024 the following Product IDs will be OTC High Frequency Derivatives:

- 1)Equity:PortfolioSwap:PriceReturnBasicPerformance:SingleName
- 2)Equity:PortfolioSwap:PriceReturnBasicPerformance:SingleIndex
- 3)Equity:PortfolioSwap:PriceReturnBasicPerformance:Basket
- 4)Equity:ContractForDifference:PriceReturnBasic Performance:SingleName

After 31 October 2024, only products or trades determined by the above UPIs and or ISINs (and not ISDA Product ID) shall be OTC High Frequency Derivatives.

**OTC Standard  
Derivative**

shall include the following Asset Classes and Contract Types and using the Unique Product Identifier (UPI) or ISIN as set out below:

Asset Class	Contract Type	Unique Product Identifier (UPI) or ISIN)
COMM	FRAS, FUTR, OPTN, SPDB, SWAP, SWPT, OTHR	Not applicable; all
CRDT	FRAS, FUTR, FORW, OPTN, SPDB, SWAP, SWPT, OTHR	Not applicable; all
CURR	FRAS, FUTR, OPTN, SPBD, SWPT, OTHR	Not applicable; all
EQUI	SWAP	All Equity Swaps which are not OTC High Frequency Derivatives as defined above.
EQUI	FRAS, FUTR, FORW, OPTN, SPDB, SWPT, OTHR	Not applicable; all
INTR	FRAS, FUTR, FORW, OPTN, SPDB, SWAP, SWTP, OTHR	Not applicable; all

<b>Principal User</b>	is the parent account being billed by DDRIE as further defined in paragraph 12 of the Operating Procedures.
<b>Record</b>	<p>shall mean any record following a submission to DDRIE which receives an ACK (Acknowledged) and is assessed as billable by DDRIE during a particular billing period in accordance with the following rules:</p> <ul style="list-style-type: none"><li>(a) the first submission for all ETDs, OTC Derivatives and Crypto Derivatives, as identified by the combination of the unique trade identifier and Reporting Counterparty LEI;</li><li>(b) all OTC Derivatives and Crypto Derivatives, including CCP-cleared derivatives, which are outstanding during the billing period, and</li><li>(c) any submission with an action type of “CORR”, “MODI” and “REVI” for OTC Derivatives and Crypto Derivatives which reached maturity or have been terminated, compressed or errored in any earlier billing period (these types of submissions will only constitute a Record if submitted for an OTC derivative or Crypto Derivative which has not been outstanding at any time in the same billing period).</li></ul>
<b>Remote Delegated Submission</b>	shall mean a submission by or on behalf of a User on behalf of a counterparty which is not Directly Onboarded except where that submission is a Mandatory Delegated Submission.
<b>Third Party Service Provider</b>	shall mean any party to an in-force Third Party Service Provider Agreement with DDRIE or User Agreement with DDRIE which has requested or required access to the Service as a Third Party Service Provider, whose only role is to act as Report Submitting Entity and which is permissioned for the Service during the month for which Fees are charged.



## FEE SCHEDULE EXPLANATORY NOTES

These are explanatory notes to the DTCC Data Repository (Ireland) plc EMIR Reporting Service Fee Schedule – EUR version. These notes contain a worked example to illustrate the calculation of fees under the Fee Schedule for a client billing scenario. It is not intended to alter the interpretation of, amend or vary the Fee Schedule and in the event of conflict between these explanatory notes and the Fee Schedule, the Fee Schedule shall prevail. Terms defined in the paragraph 10 of the Fee Schedule shall have the same meaning when used in the explanatory notes.

**CLIENT MONTHLY USAGE EXAMPLE:** Annual Account Management Fee; Monthly Account Management Fee, 8,000 OTC Standard positions; 55,000 OTC High Frequency positions; 36,000 ETD Transactions; 2,500 OTC Remote positions, 2,000 Mandatory Delegated positions, 50,000 Derivatives Based on Crypto Assets.

Total Fee Summary	Tier(s)	Fee	Billable Units	Monthly Cost	Annual Cost
<b>1 Annual Account Management Fee</b>		€435	1	n/a	€435
<b>Monthly Account Management Fee</b>		€230	1	€230	€2,760*
<i>Account Management Total</i>					<b>€3,195</b>
<b>2 OTC Standard Fee – 8,000 Records</b>					
First 5,000 Records billed at €0.50 each	1 – 5,000	€0.50	5,000	€2,500	
Next 3,000 Records billed at €0.46 each	5,001 – 50,000	€0.46	3,000	€1,380	
<i>OTC Standard Total</i>			<b>8,000</b>	<b>€3,880</b>	<b>€46,560</b>
<b>3 OTC High Frequency Fee – 55,000 Records</b>					
First 5,000 Records billed at €0.140 each	1 – 5,000	€0.140	5,000	€700	
Next 45,000 Records billed at €0.085 each	5,001 – 50,000	€0.085	45,000	€3,825	
Next 5,000 Records billed at €0.065 each	50,001 – 100,000	€0.065	5,000	€325	
<i>OTC High Frequency Total</i>			<b>55,000</b>	<b>€4,850</b>	<b>€58,200</b>
<b>4 ETD Fee – 36,000 Records</b>					
First 5,000 Records billed at €0.033 each	1 – 5,000	€0.033	5,000	€165	
Next 31,000 Records billed at €0.028 each	5,001 – 400,000	€0.028	31,000	€868	
<i>ETD Total</i>			<b>36,000</b>	<b>€1033</b>	<b>€12,396</b>
<b>5 OTC Remote Fee – 2,500 Records</b>					

2,500 OTC Remote Records billed at €1.43 each		1 – 10,000	€1.43	2,500	€3,575	
<b>OTC Remote Total</b>				<b>2,500</b>	<b>€3,575</b>	<b>€42,900</b>
<b>6</b>	<b>OTC Mandatory Delegated Fee – 2,000 Records</b>					
	600 OTC Standard Derivative Mandatory Delegated Records billed at €0.24 each	1 +	€0.24	600	€144	
	1,400 OTC High Frequency Derivative Mandatory Delegated Records billed at €0.13 each	1 +	€0.13	1,400	€182	
<b>OTC Mandatory Delegated Total</b>				<b>2,000</b>	<b>€326</b>	<b>€3,912</b>
<b>7</b>	<b>Crypto Derivatives – 50,000 Records</b>					
	50,000 Records billed at €0.00033 each	1+	€0.00033	50,000	€16.50	
<b>Crypto Derivatives Total</b>				<b>50,000</b>	<b>€16.50</b>	<b>€198</b>
<b>Total Fees</b>						<b>€167,361</b>

\* The Monthly Account Management Fee is only applicable when a User has six or more combined Records in a month. The annual cost example given here is based on the User having six or more combined Records each month throughout the calendar year.