

DDRL UK EMIR Reporting Service Fee Schedule – Introductory Service

Access Fee Billed monthly		
Total End Clients the Introducer Represents	Fee per End Client, Standard Access Fee (USD)	Fee per End Client, Value Access Fee (USD)
0 – 200	\$3,000.00 annum / \$250 month	\$360 annum / \$30 month
201+	\$1,800 annum / \$150 month	\$360 annum / \$30 month

OTC Derivative and ETD Fees Billed monthly and payable for all submissions on behalf of an End Client		
OTC Derivatives / OTC FX and Forwards		
Number of positions	OTC Derivatives Fee per position per month (USD)	OTC FX and Forwards Fee per position per month (USD)
1+	\$0.50	\$0.140
ETD		
Number of transactions	Fee per transaction per month (USD)	
1+	\$0.032	

European and Middle East: Email: GTR-EMEA@dtcc.com Tel: +44 207 650 1545

Online registration: <http://www.dtclearning.com/learning/gtr/>

Onboarding: Tel: +44 207 136 6328, Option 1, 1

DDRL UK EMIR Reporting Service Fee Schedule – Introductory Service

The Introducer is responsible for paying all fees incurred by their End Clients.

The services and functionality available to the End Client are limited as set out in the Introducer Service Functional Description, which DTCC Derivatives Repository Plc may, at its sole discretion, revise from time-to-time.

The Access Fee is payable by the Introducer for each End Client they act on behalf of. This fee is graduated depending on the number of End Clients the Introducer acts on behalf of. The lower Access Fee, if applicable, will apply to the entire population of End Clients introduced by the Introducer once the threshold indicated above is exceeded.

The Access Fee is payable for each End Client for each calendar month or part thereof and not refundable in the event of the termination of an End Client during a month.

For an End Client with 6 or more open OTC Derivative (including OTC FX and Forward) positions or 6 or more ETD transactions the Introducer shall pay the Standard Access Fee. For an End Client with 5 or less open OTC Derivative (including OTC FX and Forward) positions and 5 or less ETD transactions the Introducer shall pay the Value Access Fee. If an End Client crosses the 5 OTC Derivative (including OTC FX and Forward) positions or 5 ETD transactions limits in any month in a calendar year, the Introducer will automatically be billed at the higher Standard tier for that month and subsequent months in that calendar year for that End Client.

An “OTC Derivative” is any OTC derivative product that is not a Commodity Forward, FX Forward, FX Swap, CFD or PSA. An “OTC FX and Forward” is any product that is a Commodity Forward, FX Forward, FX Swap, CFD, or PSA.

OTC Derivative and OTC FX and Forward fees are payable monthly and are calculated based on the number of positions reported for the End Client by the Introducer. All OTC Derivative lifecycle events and modifications are free of charge.

An “ETD” transaction is a record or report of the trade execution once cleared (commonly referred to as “listed cleared execution”).

ETD fees are payable monthly and are calculated based on the number of transactions reported for the End Client by the Introducer. There are no ETD charges for positions.

All valuation and collateral records are free of charge as they relate to existing positions.

All fees are exclusive of VAT or other applicable sales or value based taxes.

All fees are payable monthly in arrears.