

## **SFTR REPORTING SERVICE – INTRODUCTORY SERVICE FEE SCHEDULE**

### **1. DEFINITIONS**

- 1.1. The definitions listed in the DTCC Data Repository (Ireland) Plc Operating Procedures shall apply in this Fee Schedule including paragraph 12 of the SFTR Reporting Service Fee Schedule.

### **2. EFFECTIVE DATE**

- 2.1. This Fee Schedule shall take effect on 23 December 2020 (i.e. the date DDRIE was approved as a Trade Repository to provide trade reporting services under the SFTR by ESMA).

### **3. INTRODUCTORY FEES**

- 3.1. An Introducer is responsible for all Fees incurred by or on behalf of its End Users under this Fee Schedule.

### **4. ACCOUNT MANAGEMENT FEE**

- 4.1. An Introducer shall pay the following Account Management Fee in respect of each of their End Users which is billable for each month or part thereof and not refundable in the event of termination of the SFTR Reporting Service, End User Agreement or User Agreement during a month. The Account Management Fee is subject to the application of the fee caps in paragraphs 4.1, 5.1 and 6.1, 7.1 and 9.1 (if applicable) of the SFTR Reporting Service Fee Schedule.

#### **User Account Management Fee**

<b>Total number of End Users</b>	<b>Account Management Fee (USD)</b>
0 - 200	\$220 per month
201 +	\$110 per month

### **5. REPORTING FEES FOR END USERS**

- 5.1. Fees for Submissions by or on behalf of the End Users are charge in accordance with the SFTR Reporting Service Fee Schedule. The Fees for End Users are subject to the application of the fee caps in paragraphs 4.1, 5.1 and 6.1, 7.1 and 9.1 (if applicable) of the SFTR Reporting Service Fee Schedule.

## 6. GUIDANCE NOTES

- 6.1 Further guidance on this Fee Schedule may be located in the GTR Clients' Learning Centre of the DTCC website located here: <http://dtcclearning.com/membership/gtr-onboarding/gtr-onboarding-europe.html> (please login in order to be able to see the Billing section – registration is free). The guidance and any examples provided in the GTR Client Learning Centre on this Fee Schedule is for assistance and information only. It shall not form part of nor be used in the interpretation of any of the User Agreement, Operating Procedures, Appendices or Schedules.

**Explanatory Example:** An Introducer with 250 end users will pay \$220 per month for the first 200 end users (\$220 x 200) and pay \$110 per month for the next 50 end users (\$110 x 50).