

## Service Provider Authorization - Multiple Entity/Fund

DTCC Deriv/SERV LLC  
55 Water Street  
New York, New York 10041

DTCC Derivatives Repository Plc  
7th Floor Broadgate West  
One Snowden Street  
London United Kingdom EC2A 2DQ

Ladies and Gentlemen:

This authorization is being provided to you pursuant to the Important Legal Information provisions of your respective Operating Procedures which govern the terms pursuant to which you provide to the undersigned certain services and systems, as set forth in the Deriv/SERV and Repository User Agreement (“User Agreement”) we have previously executed with you. Capitalized terms not defined herein have the meanings set forth in the User Agreement.

This authorization is to be relied upon by each of you and any successors and/or affiliates which now or in the future may provide services in the United States and overseas (each, an “Addressee” and, collectively, the “Addressees”) in connection with our derivative trading activities.

The undersigned authorizes \_\_\_\_\_ (the “Service Provider”) to access the System and the undersigned’s Records as indicated in the Service Provider Authorization Elections Form attached hereto, as such form may be amended from time to time by the undersigned at such time as it is accepted by you, such acceptance deemed upon your implementation of the elections made herein.

The undersigned acknowledges that:

- a) it or its agents, including the Service Provider, may access the applicable system’s Web Front End by means of digital certificate(s) and password(s), or other secure identifiers, assigned to the Service Provider or its affiliates by you;
- b) the Service Provider’s interface may be used to provide access to your systems on behalf of other Users (as defined in the Operating Procedures), who may not be affiliated with the undersigned;
- c) regardless of any provisions to the contrary in the Applicable Operating Procedures, the undersigned agrees that for all purposes under the Applicable Operating Procedures, Transaction Records and/or Records, as applicable, that are submitted to the system as provided in the Applicable Operating Procedures that indicate the undersigned as the submitting party shall be conclusively presumed to have been duly authorized by the undersigned whenever such records are determined to have been submitted through the electronic interface established with the Service Provider, or through access of the Web

Front End by means of the digital certificate(s) and password(s), or other secure identifiers, assigned to the Service Provider;

- d) contractual matters between the Service Provider and the undersigned (including on performances and breaches) are matters between such Service Provider and the undersigned, and not between the undersigned and any of the Addressees or their affiliates or successors; and
- e) subject to Section 9 of the Important Legal Information section of the Applicable Operating Procedures, none of the Addressees shall have any liability for any failure of the Service Provider to submit correct Records to their systems, or otherwise to properly use their systems, through their electronic interface.

The undersigned hereby submits this authorization on behalf of itself and each entity/fund that (a) is listed in Annex I to Deriv/SERV and Repository User Agreement on behalf of such entities/funds and (b) that is added to Annex I to Deriv/SERV and Repository User Agreement, as it may be amended from time to time (in each case (a) or (b), "Annex I") as if each such entity/fund has executed a separate document naming only itself as the User. No entity/fund that is listed in Annex I shall have any liability under this document for the obligations of any other entity/fund that is listed in Annex I solely by reason of being listed in Annex I.

Capitalized terms used but not defined herein shall have the meaning specified in the User Agreement or the Applicable Operating Procedures.

Each entity/fund that is listed in Annex I (each such entity/fund individually, the "User")

Legal Name: \_\_\_\_\_,

*[Print the name of the company, partnership, etc. signing on behalf of the entity/fund (e.g., the name of Investment Company)]*

as \_\_\_\_\_

*[Insert authorized role/capacity of the company, partnership, etc. above (e.g., Investment Manager or Asset Manager)]*

with an address at

\_\_\_\_\_  
*[Insert address including the Country]*

By: \_\_\_\_\_  
*[Signature]*

Name: \_\_\_\_\_

Title: \_\_\_\_\_

### Service Provider Authorization Elections Form

- This section is to indicate which service(s) you are authorizing the Service Provider for, and how the Service Provider will access the Deriv/SERV and Repository systems on your firm's behalf. For each product, specify if you are authorizing access for Production & Test or only Test.
- For additional information, please contact your Account Manager
- Please submit this form to: TIW-Onboarding@dtcc.com

Deriv/SERV/Repository Service	Product	Service Authorized:	Production and/or Test
Deriv/SERV Trade Information Warehouse - Post-Trade Services	• Warehouse Payments	<input type="checkbox"/> Receive Warehouse Payment Messages or Suite of Payments Reports	<input type="checkbox"/> Production & Test <input type="checkbox"/> Test
	• Warehouse Central Settlement	<input type="checkbox"/> Receive Warehouse Settlement Messages or Suite of Settlement Reports	<input type="checkbox"/> Production & Test <input type="checkbox"/> Test
Repository Trade Information Warehouse - Warehouse Record	• Warehouse Records	<input type="checkbox"/> Submit Records and Receive Record Reports	<input type="checkbox"/> Production & Test <input type="checkbox"/> Test

Name of Firm: \_\_\_\_\_, for and on behalf of each entity/fund listed in Annex I