

SUPPLEMENT TO
TRADE INFORMATION WAREHOUSE
SYSTEM USER AGREEMENT – SETTLEMENT CUSTODIAN

The undersigned has executed a User Agreement (the “User Agreement”) providing for its participation in the Trade Information Warehouse (the “System”) operated by DTCC Deriv/SERV LLC and DTCC Derivatives Repository Plc (each, a “Company” and collectively, the “Companies”). By executing that User Agreement, the undersigned, each User, as defined in the User Agreement, and each Company agreed to be bound by the Operating Procedures of each respective Company, the Trade Information Warehouse (collectively, the “Operating Procedures”) and the Applicable Publications (as defined in the Operating Procedures), as each may be amended, supplemented or modified from time to time, beginning as of the date entered below (the “Effective Date”).

The undersigned, for and on behalf of all funds/entities (together with the undersigned, the “CLS Users”) listed on the Central Settlement Facility Settlement Service Request Form, as amended from time to time (“SSRF”) authorizes the relevant Custodian listed on the SSRF (the “Service Provider”) to access the CLS User’s information in the System via the Service Provider’s Computer-to Computer Link or Web Front End (as each is defined in the Operating Procedures). The Service Provider’s access on behalf of the CLS Users will be limited to those services selected in the Service Provider Authorization Elections by Product form attached hereto, as amended from time to time by the CLS Users. In addition, the CLS Users or their agents, including the Service Provider, may access the System’s Web Front End (as defined in the Operating Procedures) by means of digital certificate(s) and password(s), or other secure identifiers, assigned to the Service Provider or its affiliates. The CLS Users acknowledge that Service Provider’s Computer-to-Computer Links, as well as the digital certificates, or other secure identifiers, assigned to it, may be used by the Service Provider to access the System on behalf of other Users (as defined in the Operating Procedures), who may not be affiliated with the undersigned.

In light of the foregoing, the CLS Users specifically agree that the Companies shall have no liability for any failure of the Service Provider to act upon such messages or reports, or otherwise to properly use the System, either through Computer-to-Computer Links or the Web Front End.

It is understood and agreed that this document shall constitute a separate agreement of each fund/entity that is listed in the current SSRF, as if each such fund/entity has executed a separate document naming only itself as the CLS User, and that no fund/entity that is listed in the SSRF shall have any liability under this document for the obligations of any other fund/entity that is listed in the SSRF solely by reason of being listed in the SSRF.

This Supplement shall be considered a part of the User Agreement.

The undersigned for and on behalf of each fund/entity that is listed on the SSRF has executed this Supplement this __, day of ____.

By: _____, with an address at
[insert name of User]

[insert address]

By: _____
[signature]

Name:

Title:

TIW Service Provider Authorization: Elections By Product

- This section is to help you indicate which Trade Information Warehouse service(s) you are authorizing the service provider for, and how the provider will access the system on your firm's behalf. By filling out this section, you will enable us to configure your account(s) and the service provider in our system correctly.
- For each product, specify if you are authorizing access for Production, Test or both.

The Trade Confirmation services have a 'Copies of Trade Messages' option. You may choose this to authorize the provider to receive copies of trade message output for your positions in Deriv/SERV. Under this option, the provider will **not** be able to submit trades on your behalf. The Central Settlement service includes options to provide your service provider with messages, GUI access and reports. The provider will only be able to receive data for authorized accounts and will not be able to perform any updates or submissions to the system.

- For additional information please contact your Trade Information Warehouse Relationship Manager.

Service	Product	Service Authorized:	Production and/or Test
Central Settlement	Warehouse Payments	<input type="checkbox"/> Receive Warehouse Payment Messages <input type="checkbox"/> Receive Warehouse Settlement Messages <input type="checkbox"/> Enable Read Only GUI Access ¹ <input type="checkbox"/> Receive Suite of Settlement Reports via AutoRoute ²	<input type="checkbox"/> Production <input type="checkbox"/> Test <input type="checkbox"/> Production <input type="checkbox"/> Test <input type="checkbox"/> Production <input type="checkbox"/> Test <input type="checkbox"/> Production <input type="checkbox"/> Test
Credit Trade Confirmation:	All Credit Products	<input type="checkbox"/> Copies of Messages <input type="checkbox"/> Daily Full Reconciliation Report via AutoRoute ²	<input type="checkbox"/> Production <input type="checkbox"/> Test <input type="checkbox"/> Production <input type="checkbox"/> Test

¹ GUI Access includes Suite of Settlement Reports and Daily Full Reconciliation Report

² This output product requires AutoRoute – FTP or NDM over dedicated circuit

Firm Name _____, for and on behalf of all funds/entities listed on the SSRF.