

The Depository Trust & Clearing Corporation

CTM™ AND CALYPSO

OVERVIEW

AN END-TO-END SOLUTION FOR POST-TRADE AUTOMATION

The Depository Trust & Clearing Corporation (DTCC) and Calypso offer investment managers a complete STP solution designed to meet the needs of market participants. By joining forces, DTCC and Calypso are able to offer collective tools to manage the entire lifecycle of a trade — all from one single platform, resulting in greater operations efficiency.

CERTIFIED INTERFACE TO THE CTM PLATFORM

Investment managers can access the CTM platform, via the Calypso Investment Management Solution to automate domestic and cross-border trades. The integrated solution provides exception-only processing, automated settlement instruction enrichment and notification to custodians, settlement agents, and interested third parties.

BENEFITS

- The CTM service is an industry owned and governed solution
- High transparency and consistency for your post-trade processes
- Connecting to the CTM platform means connecting to almost 2000 counterparties in 52 countries
- Support of multiple asset classes on one platform, such as Equities, Fixed Income, Repurchase Agreements (Repos), Synthetic Equity Swaps and Exchange Traded Derivatives
- Incorporation of industry best practices, such as SMPG, AFME, ISITC and user community best practices
- Improved regulatory compliance and risk management
- Direct links to depositories in Canada (CDS), in Chile (DCV), in Korea (KSD) and in the US (DTC)
- Exempt clearing agency status for the central matching of trades in the US

CTM

DTCC's strategic CTM platform facilitates the central matching of cross-border and domestic transactions automating the trade confirmation process across multiple asset classes. Given today's challenging economic environment, market participants have never been under greater pressure to lower cost and increase operational efficiency. The CTM service allows market participants to not only process trades faster, but process trades better. Industry experts agree that central matching via the CTM platform is the most efficient way to streamline trade flows and achieve higher levels of automation.

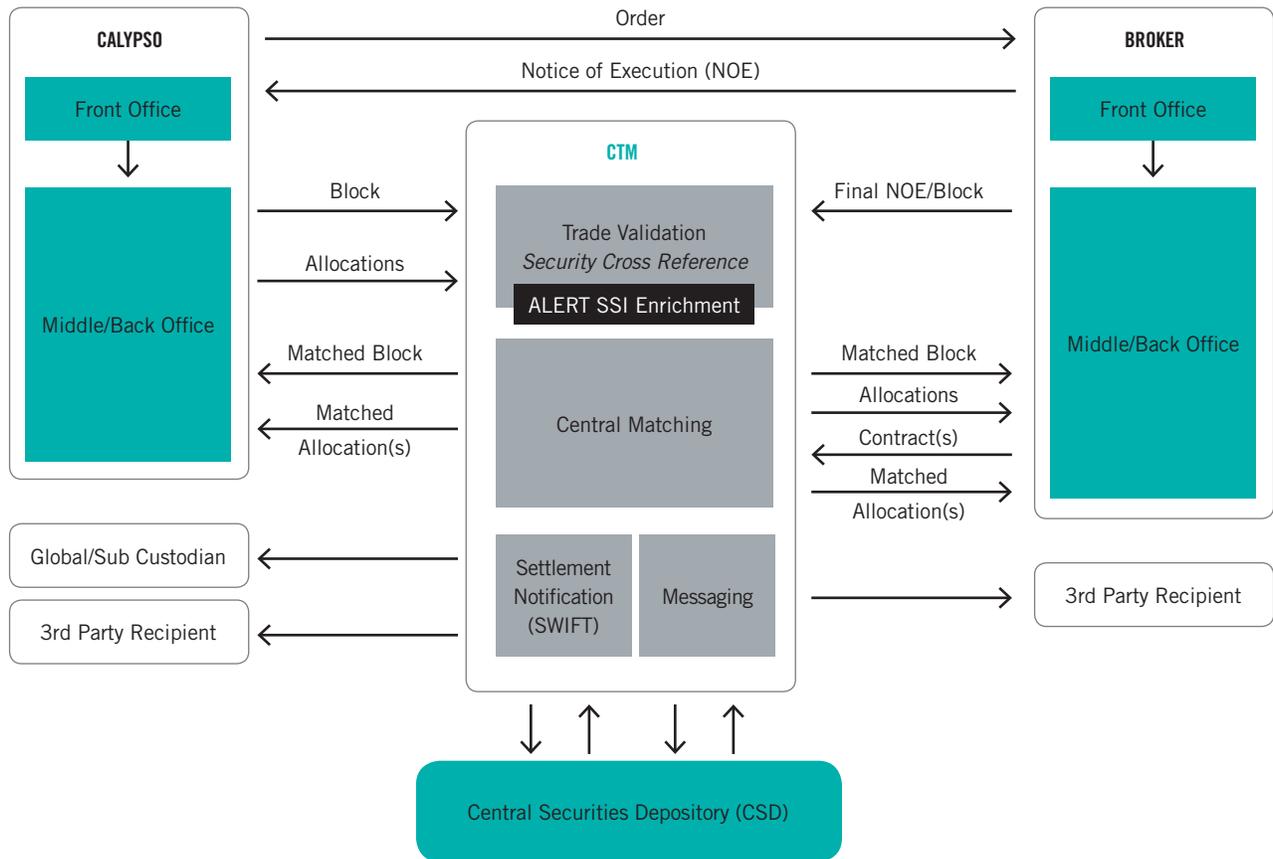
CALYPSO TRADING PLATFORM

Calypso's award winning cross-asset front-to-back platform for trading, processing, and risk management is designed to handle all aspects of cleared and OTC derivatives markets. Our solutions enable customers to meet the fast changing demands of our industry without imposing costly technology developments.

The Calypso platform offers full support for capital markets trading as well as complete solutions for Investment Management, Clearing, Collateral and Treasury & Liquidity.

Native cross-asset coverage of cleared and bilateral cash and derivative products include:

- FX and Money Markets
- Rates
- Credit
- Fixed Income
- Equities
- Commodities
- Structured Products
- Precious Metals



ABOUT CALYPSO

Calypso is the leading provider of front-to-back technology solutions for the financial markets. With 19 years of experience delivering cross-asset solutions for trading, processing, risk management and accounting, we are able to focus our significant resources on customer problems, bringing simplicity to the most complex business and technology issues. The constant pressures for better allocation of capital and improved risk management, matched by an ever changing regulatory landscape in the financial markets demand technology solutions that are reliable, adaptable and scalable. In response Calypso provides customers with a single platform designed from the outset to enable consolidation, innovation and growth.

Calypso is used by over 34,000 market professionals in over 60 countries. Representing more than 180 financial institutions, our customers operate in a diverse range of developed and emerging markets across Asia, Americas, Europe, Middle East and Africa. Calypso has over 700 staff in 21 global offices, with headquarters in San Francisco, California.

ABOUT DTCC

With more than 40 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 16 countries, DTCC, through its subsidiaries, automates, centralizes and standardizes the processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management and information services across asset classes, bringing increased security and soundness to financial markets. In 2016, DTCC's subsidiaries processed securities transactions valued at more than U.S. \$1.5 quadrillion. Its depository provides custody and asset servicing for securities issues from over 130 countries and territories valued at U.S. \$49.2 trillion. DTCC's Global Trade Repository maintains approximately 40 million open OTC positions per week and processes over one billion messages per month. To learn more, please visit us at www.dtcc.com or connect with us on LinkedIn, Twitter, YouTube and Facebook.

FOR MORE INFORMATION

Please email us at solutions@dtcc.com
or visit us on the web at www.dtcc.com/ctm

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