



# TRADE CONFIRMATION SOLUTIONS FOR BRAZIL

## OVERVIEW

### AUTOMATION & STANDARDIZATION FOR THE BUY-SIDE

CTM™ is the global standard for post-trade matching of cross-border, domestic equity and fixed income trades. Given today's economic uncertainty, Brazilian trade participants are under pressure to lower cost and risk. DTCC provides world-class solutions that not only process trades faster, but process trades better. The largest global market participants view central matching via the CTM platform as the most efficient way to streamline trade flows and achieve higher levels of automation.

### WHY CHOOSE THE CTM SOLUTION?

Central matching is the securities industry's logical next step in the evolution of straight-through processing (STP). A streamlined central matching process accelerates the critical steps of the post-trade/pre-settlement process, giving your firm more time to proactively resolve exceptions. Central matching also enhances your ability to achieve same and/or next day settlement and increases your ability to handle volume peaks.

With the CTM service, you no longer need to rely on manual processes (fax or email) to communicate allocations to your broker/dealer and match/confirm trade details. The centralized CTM system facilitates electronic trade confirmation amongst all market participants, globally and locally. The solution also provides exception-only processing, real-time settlement instruction enrichment and automated settlement notification messaging to custodians, settlement agents, and interested third parties.

CTM central matching also facilitates significantly higher same day affirmation (SDA) rates.

### SIMPLIFIED EXCEPTION PROCESSING

The robust and browser-based CTM user interface (UI) puts the power in your hands. Instead of waiting for your counterparty to inform you of exceptions, the CTM platform enables you to instantly modify and repair trade exceptions to facilitate matching. Reject, amend and cancel trades, view trade statuses, and amend and cancel settlement instructions – all through a secure Internet connection. The print view and export to Excel options also give you the flexibility to work with your data outside of the CTM platform.

### END-TO-END EXCEPTION PROCESSING

Reduce manual processing and the maintenance costs of multiple applications and achieve STP of matched trades via a single platform. The CTM service provides seamless connectivity from execution to settlement including direct interfaces to all major buy-side vendor partners, as well as connectivity via the SWIFT network to a full

community of custodian banks for the purposes of settlement notification. And when used in conjunction with ALERT®, you can automatically append instructions to trades ensuring all account information is accurate.

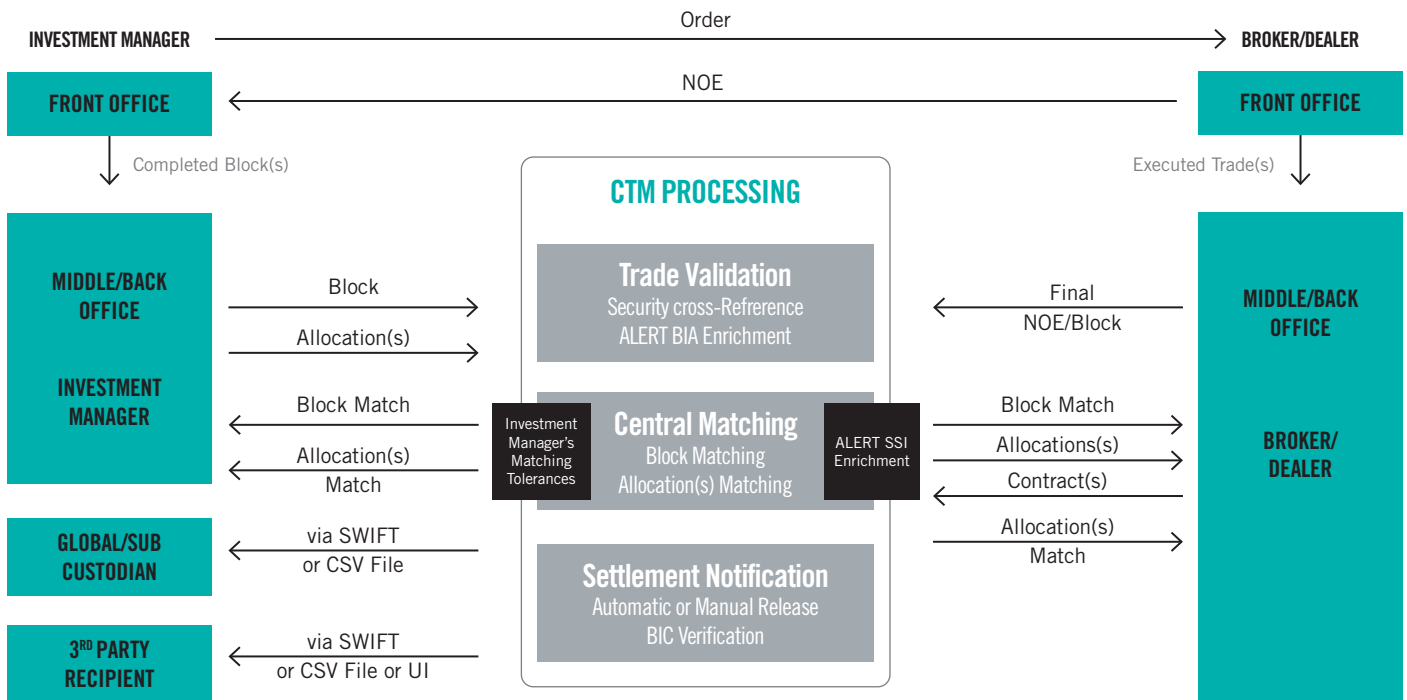
### SSI ENRICHMENT VIA ALERT

ALERT is the industry's largest and most compliant web-based global database for the maintenance and communication of settlement and account instructions (SSI). The industry estimates that 30 % of trade failures are a direct result of inaccurate settlement instructions. This number is largely fueled by firms using manual processes for the management of settlement instructions. ALERT significantly reduces trade failure by enabling a community of investment managers, broker/dealers and custodian banks to share accurate SSIs automatically worldwide. By automatically enriching your CTM transaction from ALERT, you ensure that the most accurate SSIs are included on your trade.

### BENEFITS OF AUTOMATION VIA DTCC

- Send your allocations quickly/easily to your broker counterparty in a standardized, automated format and centrally match /confirm using one central solution to reduce risk, cost and end of day time pressures
- Automatically enrich trades with settlement & account instructions (SSIs) via ALERT to decrease trade failure
- Resolve exceptions in a timely/efficient manner through the CTM user interface
- Access a vast, international CTM client community – over 1,100 brokers
- The CTM solution provides an online historical trade audit trail and enables your compliance with regulatory requirements
- Access to the CTM platform via all major buy-side vendor partners

## HOW THE SERVICE WORKS



## FOR MORE INFORMATION

Please email us at [solutions@dtcc.com](mailto:solutions@dtcc.com)

or visit us on the web at [www.dtcc.com/ctm](http://www.dtcc.com/ctm)

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