

Enabling U.S. T+1 Readiness with DTCC Institutional Trade Processing

RECOMMENDED BEST PRACTICES FOR THE SELL-SIDE

The countdown has started: in less than a year the industry will move to a T+1 settlement for transactions in U.S. cash equities, corporate debt, and unit investment trusts. To get to T+1, firms have rightly turned their attention on further automating institutional post-trade processes and DTCC's Institutional Trade Processing (ITP) is here to help.

We've prepared the below checklist with links to available resources to help you prepare for T+1 while maximizing your investment in our suite of services.

ACCOUNT CREATION:

- Review and update any U.S. standing settlement instructions (SSIs) in ALERT[®] following [U.S. Best Practices](#).
 - **Do you have a lot of SSI updates to make?** We can help, to have DTCC update your ALERT SSIs on your behalf, email ServiceBureau@dtcc.com.
- Advocate for manual counterparties to automate their SSIs by implementing one of the many available options to [leverage ALERT](#).

TRADE MATCHING & ENRICHMENT:

- Ensure ALERT enrichment via CTM[®] to support buy side PSET matching.
- Implement [CTM's Match to Instruct \(M2i\) workflow to enable auto-affirmation of US trades](#).
 - Please email UST1@dtcc.com to begin the implementation process.
 - If leveraging an OMS partner, contact them directly regarding support for CTM's M2i workflow.
- To support your implementation of CTM's M2i workflow, suppress sending confirms to TradeSuite ID[®].
 - Any requests to implement CTM's M2i workflow or TradeSuite Direct should be submitted no later than February 28th to secure the necessary resources needed to enable assess prior to T+1.
- Prioritize and advocate for low affirmation counterparties to implement CTM's M2i workflow.

ANALYTICS:

- Learn more about [ITP Data Analytics](#) Operational Metrics (including the T+1 scorecard) along with the key data it provide across Matching (CTM) and Affirmation (TradeSuite ID). Contact Sales or your Relationship Manager directly for more information.

Still unsure of where to start or have additional questions? We are here to help! Please email UST1@dtcc.com for additional information on how to prepare for T+1.

Financial Markets. Forward.[™]