



F.A.Q. M2i & OMNIBUS TRADESUITE IDS

Q: WHY IS IT IMPORTANT TO OBTAIN A TRADESUITE ID AND STOP USING AN OMNIBUS TSID THROUGH A CUSTODIAN?

A: Using a unique TradeSuite ID will enable the investment manager to automatically affirm trades, resulting in higher SDA rates, which will facilitate compliance with the SEC Rules. It also enables you to have visibility into when a confirm has been received, whether it was affirmed, and when it was affirmed, which brings transparency throughout the post-trade lifecycle and supports a proactive approach with Custodians and Executing Brokers to reduce settlement friction.

Q: WHAT IS THE PROCESS TO APPLY FOR A TSID?

A: If you do not yet have a TSID, please contact us to determine the correct form of TradeSuite ID for your firm and get set up with a subscription. Once this is complete, you can set up your TSID by filling out a TradeSuite ID Application. Current clients can begin setting up their TSID today by completing a TradeSuite ID Application.

You can locate all the required forms on [MyDTCC](#):

1. Click on Support in the top left
2. Select Support Request
3. Select Product or Service
4. Under the Product or Service dropdown, select TradeSuite ID
5. Under Topic, select Other
6. Under the Subject dropdown, select Apply for TradeSuite ID
7. Click on the TradeSuite ID Application link. This will pull up a DocuSign page for you to fill out. Once filled out, the form will be sent to your email.

The Submitter is the one requesting the TradeSuite ID.

The Authorizer will receive a copy of completed DocuSign form and once it's authorized the form will be sent to ITP Entitlements Management. The Authorizer doesn't need to be a Product Administrator. Once email is sent, click on the "Review Documents" to pull up your request form. Both Existing and new clients will submit the form via DocuSign. Please allow 24 hours for ID set up.

Q: HOW SHOULD AN IM MANAGE THE PROCESS OF UPDATING FROM AN OMNIBUS TSID TO THEIR OWN TSID?

A: IMs or the GC on their behalf would load their new TSID into ALERT® with a future effective date relevant for each

Custodian. In addition to Alerts generated automatically to Brokers, the IM will need to communicate their new TSID to each Broker via email and confirm the go live date with each Custodian.

Q: HOW LONG DOES IT TAKE FOR THE ACTUAL TSID TO BE ASSIGNED AND BE READY FOR USE?

A: Once the forms have been signed, and our Global Entitlement Team has the completed forms, the Master File will be updated overnight, and the new TSID can be used the following day.

Q: IF AN IM WHO USES OMNIBUS TSIDS WITH MULTIPLE CUSTODIANS SWITCHES TO HAVING THEIR OWN TSID, SHOULD THEY MAKE THIS SWITCH WITH ALL THEIR CUSTODIANS ON THE SAME EFFECTIVE DATE?

A: It is not required to switch all Custodians on the same date. One or multiple per day is fine. However, the IM does have to move, all accounts with one Custodian on the same day. For IMs implementing the Match to Instruct (M2i) workflow, the IM will also need to communicate to each Custodian that the affirmation responsibility will move from the Custodian to the IM. The recommended best practice is that IMs move to become self-affirming. If an IM would like to continue to have the Custodian affirm on their behalf, they can still do that with their own TSID.

Q: CAN CUSTODIANS AND INVESTMENT MANAGERS REQUEST A LIST OF THE IM CLIENTS THAT USE OMNIBUS TSIDS?

A: Yes, these lists are available to Custodians upon request. Please reach out to M2isupport@dtcc.com. The lists include each Agent's omnibus ID with the Agent Internal Account (AIA) IDs of the clients associated with each omnibus ID. Investment Managers can look up this information in ALERT in the ID3 field for U.S. instructions.

FOR MORE INFORMATION

Please contact your Relationship Manager, the Client Center at 1-877-664-3625 and select 1,6, or create a case on [MyDTCC](#).