ITP Services Catalog

OFFERED SUBJECT TO MSA AND APPLICABLE ANCILLARY DOCUMENTS

ITP SERVICE	ACCESS METHODS	OPTIONAL WORKFLOWS	ADD-ON / ANCILLARY SERVICES
CTM® CTM is a central matching platform used by end-user clients to allocate and centrally match transactions globally across multiple asset classes. CTM automates the trade confirmation process, including the option to enrich confirms with disclosures via inSITE (additional subscription). CTM also facilitates matching and straight-through processing by offering workflows where end-user clients provide their own Standing Settlement Instructions (SSIs), or enable SSI enrichment. CTM includes the option to access archival services (Confirm Archive) and automatically provides access to ITP Data Analytics Operational Metrics (including a "T+1 Scorecard"). A DTCC ITP end-user client can subscribe to CTM as a standalone service; additional functionality described may require additional subscription documentation.	**BROKER / INVESTMENT MANAGER** API, File, FIX, UI **BROKER / INVESTMENT MANAGER VIA 3RD PARTY (OUTSOURCER, STP PARTNER)** API, File, FIX, UI	• Match to Instruct (M2i) • CTM for Prime Broker • ALERT SSI Enrichment - ALERT Keys, ALERT Key Auto Select (AKAS) • Confirm Archive	• Settlement Instruction Manager • inSITE • ITP Data Analytics Benchmarking
TRADESUITE ID® TradeSuite ID automates the electronic distribution of trade details between counterparties for post-trade processing of DTC-eligible securities to facilitate electronic settlement and end-users' regulatory compliance, including the option to enrich confirms with disclosures via inSITE (additional subscription). TradeSuite ID also includes a matching functionality via the TradeMatch module of the system. Depending on subscription type, TradeSuite ID includes the option to access archival services (Confirm Archive) and automatically provides access to ITP Data Analytics Operational Metrics (including a "T+1 Scorecard"). A DTCC ITP end-user client can subscribe to TradeSuite ID as a standalone service; additional functionality described may require additional subscription documentation.	**BROKER / INVESTMENT MANAGER / CUSTODIAN** UI (TradeSuite ID Web, TradeSuite ID Limited, Participant Browser System (Broker / Custodians Only)), API, TradeHub (IMs / Custodians Only), SWIFT **BROKER / INVESTMENT MANAGER / CUSTODIAN VIA 3RD PARTY (OUTSOURCER, STP PARTNER)** UI (TradeSuite ID Web, TradeSuite ID Limited), API, TradeHub (IMs / Custodians Only)	• Confirm Archive	• inSITE
ALERT® ALERT is an online global database for the maintenance and communication of accounts and SSIs, available to end-user clients such as investment managers, investment manager outsourcers, brokers-dealers and custodian banks, who either input accounts and/or SSIs into ALERT or retrieve account and/or SSI data from ALERT. ALERT end-user clients may also subscribe to SSI enrichment workflows for their use of CTM; additional functionality described may require additional subscription documentation. *Our ALERT APIs enable end-user clients to retrieve account-specific SSIs in real-time and confirm account-specific SSI availability in support of timely transaction settlement. Market launch expected Q1 2024.*	**SSI INPUT** **CUSTODIAN / PRIME BROKER** UI, (Global Custodian Direct (GCD), Global Custodian Web, Regional Custodian Web, Prime Broker with Liftout (via DTCC Global Service Bureau (GSB)), API (GCD) **INVESTMENT MANAGER / OUTSOURCER** UI, IM or IMO ALERT Plus, IM SSI Liftout (via GSB), IM Outsourcer SSI Liftout (via GSB)) **SSI RETRIEVAL** **INVESTMENT MANAGER / OUTSOURCER** UI, API* **BROKER** UI, API OR via a 3RD PARTY (STP PARTNER / VENDOR) — API **ACCOUNT-SPECIFIC SSI AVAILABILITY** **INVESTMENT MANAGER / OUTSOURCER** UI, API* **BROKER**— UI **STP PARTNER / VENDOR** — API*	N/A	N/A

DTCC

Additional ITP Services

ITP INTEGRATION BUSINESS SERVICES

ITP Integration Business Services are used for CTM, TradeSuite ID and ALERT, as applicable. They consist of professional services and support agreed upon by end-user client and DTCC ITP in a statement of work.

SETTLEMENT STATUS MANAGER

Settlement Status Manager (formerly known as DTCC Exception Manager) is a platform that enables end-user clients to monitor the settlement status of global securities transactions by aggregating data across various data submitters (for example, custodians, broker-dealers and/or prime brokers) which gives the end-user clients the ability to manage their operational workflow throughout the settlement lifecycle. By centralizing and standardizing views across a common shared platform, Settlement Status Manager enables faster resolution of settlement breaks. Specifically, end-user clients are able to use the functionality to identify any transactions that have an exception and require action on the end-user's part. The user takes action to work with their counterparty and/or custodian to resolve issues occurring prior to successful settlement.

*Please note, in Q1 2024, Settlement Status Manager will be consolidated into Settlement Instruction Manager. After this consolidation, end-user clients of Settlement Instruction Manager will have the ability to see the status for those instructions delivered using the Settlement Instruction Manager service. End-user clients of Settlement Status Manager will have the option to subscribe to Settlement Instruction Manager if they wish to receive status information.

DEFINITIONS:

ACCESS METHOD – The manner or method by which a Client accesses the Services, which may differ depending on Client's technology configuration, Client's entity type, and operational and technological setup.

- **API** – An application programming interface for direct connectivity to the Service.
- **UI** – A user interface for web-based use of a Service.

OPTIONAL WORKFLOW – Additional workflows within a Service that Client may elect and sign up for, from time to time.

ADD-ON / ANCILLARY SERVICE – Additional Services that Client may elect and sign up for, from time to time