



DTCC

MARGIN TRANSIT UTILITY

Transforming settlement management for collateral calls
— a new, more efficient tool for simplifying the margining process

DTCC's Margin Transit Utility (MTU) was created to improve settlement efficiency and reduce operational complexity and risk for collateral call processing. By leveraging automation, MTU allows you to efficiently enrich, settle, report and monitor matched collateral calls globally while easily connecting to and sharing information with multiple counterparties. The service helps reduce operational risk by standardizing and automating the margin process from the point of agreement through to settlement. It also helps manage credit and liquidity risk by accelerating the distribution of settlement data to the relevant party. With the automated processing of margin calls and data aggregation, the industry can accommodate variation margin rules and the expansion of initial margin rules from phase 1 through to phase 6.

BENEFITS:

No more error-prone manual processes. Automated cash and security collateral settlement messaging.

Seamlessly connects to the service you need. Automatically connect to ALERT® to enrich the match with standing settlement instructions and SWIFT.

Eliminates fragmented workflows. Automated messaging to bilateral counterparties and their custodians, as well as to triparty agents. No more faxes or portals to navigate.

HOW IT WORKS:

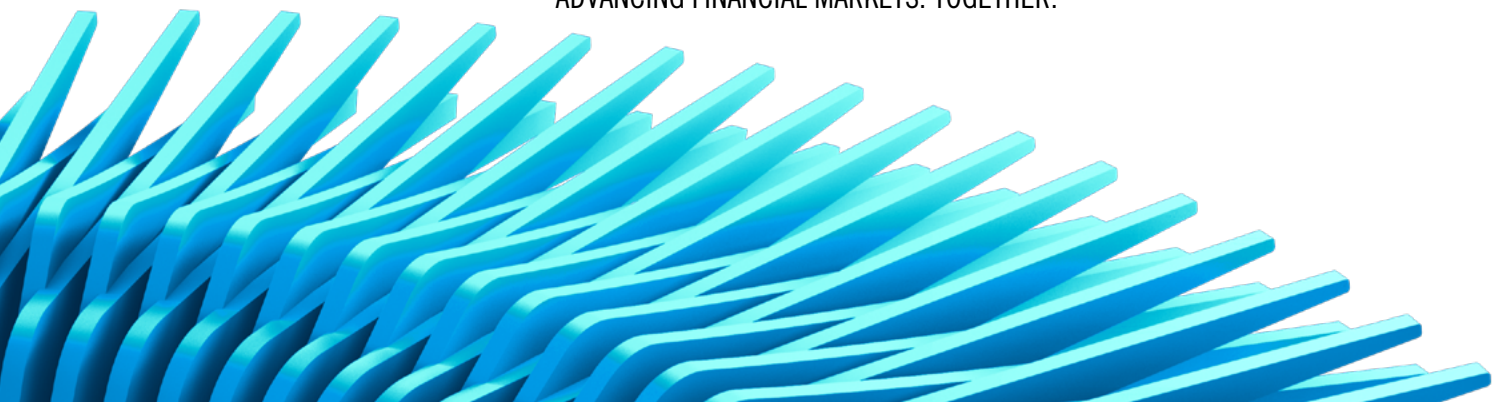
After margin calls are matched in AcadiaSoft's Margin Manager, MTU enriches the match with automated settlement instructions via DTCC's ALERT - the industry's SSI utility - and provides notification to confirm the settlement in real-time. Additionally, MTU provides real-time confirmation of settlement and end-of-day position reports in a standardized format. It also includes connectivity to a number of complementary collateral offerings, including CloudMargin, VERMEG (Colline) and TriOptima (triResolve Margin).

Choose how you receive status updates from MTU. Select from SWIFT, MQ channel, AcadiaSoft's Margin Manager (API or GUI), or the MTU GUI.

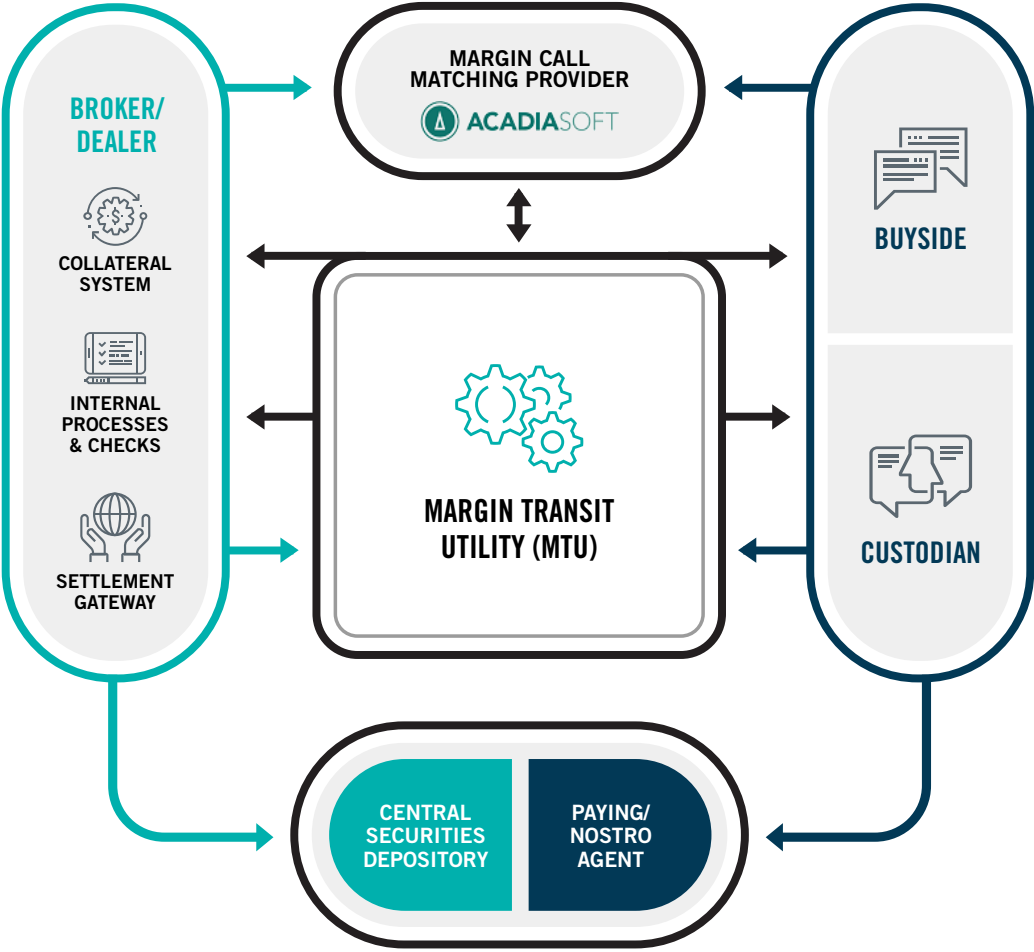
Don't 'assume' settlement - get notified! Settlement confirmation is delivered to both trading parties enabling real-time collateral booking.

Collateral Management Ecosystem: The collateral settlement process begins when both counterparties agree on the margin call amount and either the counterparties or the triparty provider allocate the collateral. In a bilateral or third-party arrangement, the counterparties agree to the margin amount and affirm the collateral to be posted/received via Margin Manager. Then a pledge accept message is sent from Margin Manager to MTU.

ADVANCING FINANCIAL MARKETS. TOGETHER.™



MARGIN TRANSIT UTILITY WORKFLOW



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