

Insurance Account Product Detail by Holding Company-Active Distributors

Holding Company	Account Type	Account Number	Account Name	Applications	Asset Pricing (I&RS)	Commissions (I&RS)	Financial Activity Reporting	IFT Access	In-Force Transactions	Licensing & Appointments	LNA Access	Positions & Valuations Focused Refresh	Positions & Valuations Full Refresh	Positions & Valuations New Business	Subsequent Premiums
ADVANCED ADVISOR GROUP, LLC	NSCPRT	00006015	ADVANCED ADVISOR GROUP, LLC			L	L					L	L		
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000169	FSC SECURITIES CORPORATION	L	L	L	L			L		L	L	L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000191	FSC SECURITIES CORPORATION/ADVANTAGE CAPITAL CORPORATION			L	L			L		L	L		
ADVISORS EXCEL, LLC	NSCPRT	00008398	DTCC SOLUTIONS, LLC/ADVISORS EXCEL, LLC			L	L					L	L	L	
AIMCOR GROUP LLC	NSCPRT	00003200	AIMCOR GROUP, LLC				L								
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000427	ROYAL ALLIANCE ASSOCIATES, INC./SIGNATOR FINANCIAL			L	L					L	L	L	
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000556	SAGEPOINT FINANCIAL, INC./FA2		L	L	L								
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000542	VALIC FINANCIAL ADVISORS, INC.			L	L			L					
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000372	ROYAL ALLIANCE ASSOCIATES, INC./SIGNATOR INVESTORS		L	L	L		L	L		L	L	L	
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000182	SAGEPOINT FINANCIAL, INC./FA1			L	L								
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000089	ROYAL ALLIANCE ASSOCIATES, INC.	L	L	L	L			L		L	L	L	
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000144	SAGEPOINT FINANCIAL, INC.	L	L	L	L		L	L		L	L	L	
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000522	SAGEPOINT FINANCIAL, INC./FAB			L	L					L	L		
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00003373	VALIC FINANCIAL ADVISORS INC./AMERICAN GENERAL INSURANCE AGENCY			L									
AMERICAN PORTFOLIOS HOLDINGS, INC.	NSCPRT	00000555	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.		L	L	L		L			L	L	L	
AMERICAN UNITED MUTUAL INSURANCE HOLDING COMPANY	NSCPRT	00005315	ONE AMERICA SECURITIES, INC.			L	L			L		L	L	L	
AMERIPRISE FINANCIAL, INC.	NSCPRT	00004618	AMERICAN ENTERPRISE INVESTMENT SRVCS, INC/ANTY BUS		L	L	L					L	L	L	
AMERIPRISE FINANCIAL, INC.	NSCPRT	00000756	AMERICAN ENTERPRISE INVESTMENT SERVICES INC.	L	L	L	L		L	L		L	L	L	L
ANNEXUS MANAGEMENT COMPANY, LLC	NSCPRT	00008201	DTCC SOLUTIONS, LLC/ANNEXUS MANAGEMENT COMPANY, LLC	L		L	L			L		L	L	L	
APEX CLEARING SOLUTIONS, LLC	NSCPRT	00000158	APEX CLEARING CORPORATION		L	L	L					L	L	L	
APW CAPITAL, INC.	NSCPRT	00000360	APW CAPITAL, INC.		L	L	L					L	L	L	
ARETEC GROUP, INC.	NSCPRT	00005912	FIRST ALLIED SECURITIES INC / FFP SECURITIES			L	L								
ARETEC GROUP, INC.	NSCPRT	00000146	FIRST ALLIED SECURITIES, INC.		L	L	L					L	L	L	
ARIA RETIREMENT SOLUTIONS, INC.	NSCPRT	00008389	ARIA RETIREMENT SOLUTIONS, INC.			L	L					L	L	L	
ARVEST BANK GROUP, INC.	NSCPRT	00004988	ARVEST INVESTMENTS, INC.		L	L	L					L	L	L	
ASH BROKERAGE CORPORATION	NSCPRT	00008912	DTCC SOLUTIONS, LLC/ASH BROKERAGE LLC									L	L	L	
ASSET MARKETING SYSTEMS INSURANCE SERVICES LLC	NSCPRT	00006428	MADISON AVENUE SECURITIES, INC			L	L			L		L	L	L	
ASSOCIATED BANC-CORP	NSCPRT	00007192	ASSOCIATED INVESTMENT SERVICES, INC.			L	L					L	L	L	
ATRIA WEALTH SOLUTIONS, INC	NSCPRT	00000635	WESTERN INTERNATIONAL SECURITIES, INC.			L									
ATRIA WEALTH SOLUTIONS, INC	NSCPRT	00000329	CUSO FINANCIAL SERVICES, L.P.	L	L	L	L		L	L		L	L	L	
ATRIA WEALTH SOLUTIONS, INC	NSCPRT	00000241	CADARET, GRANT & CO., INC.		L	L	L		L	L		L	L	L	
ATRIA WEALTH SOLUTIONS, INC	NSCPRT	00000346	SORRENTO PACIFIC FINANCIAL, LLC			L	L		L	L		L	L	L	
AUSDAL FINANCIAL PARTNERS, INC.	NSCPRT	00006905	AUSDAL FINANCIAL PARTNERS, INC.		L	L	L			L		L	L	L	
AVIVA PLC	NSCPRT	00000249	AMERITAS INVESTMENT CORP		L	L	L			L		L	L	L	
AXA DISTRIBUTORS, LLC	NSCPRT	00003565	AXA DISTRIBUTORS, LLC			L									
BAIRD FINANCIAL GROUP, INC.	NSCPRT	00000768	ROBERT W. BAIRD & CO. INCORPORATED/HILLIARD LYONS		L	L									
BAIRD FINANCIAL GROUP, INC.	NSCPRT	00000547	ROBERT W. BAIRD & CO. INCORPORATED	L	L	L	L		L	L		L	L	L	L
BANCO BILBAO VIZCAYA ARGENTARIA, S.A.	NSCPRT	00007227	BBVA SECURITIES INC.			L	L					L	L	L	
BANK OF AMERICA CORPORATION	NSCPRT	00000424	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED/BAI	L	L	L	L			L		L	L	L	L
BANK OF AMERICA CORPORATION	NSCPRT	00000671	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	L	L	L	L			L		L	L	L	L
BANK OF MONTREAL	NSCPRT	00002697	BMO HARRIS BANK NA												
BANK OF MONTREAL	NSCPRT	00005152	BMO HARRIS FINANCIAL ADVISORS, INC.			L			L						
BNP PARIBAS	NSCPRT	00006130	BANCWEST INVESTMENT SERVICES			L	L		L	L		L	L	L	
BOLTON GLOBAL CAPITAL, INC.	NSCPRT	00005870	BOLTON GLOBAL CAPITAL INC.			L	L								
BPU INVESTMENT MANAGEMENT, INC.	NSCPRT	00001413	BPU INVESTMENT MANAGEMENT, INC.			L						L	L	L	
BROADRIDGE FINANCIAL SOLUTIONS INC	NSCPRT	00005954	MATRIX TRUST COMPANY		L	L	L								
BROKERS INTERNATIONAL FINANCIAL SERVICES, LLC	NSCPRT	00008239	DTCC SOLUTIONS, LLC/BROKERS INTERNATIONAL FINANCIAL SERVICES, LLC			L	L					L	L	L	
BROKERS INTERNATIONAL, LTD.	NSCPRT	00004025	BROKERS INTERNATIONAL, LTD.			L	L					L	L	L	
CALTON & ASSOCIATES, INC.	NSCPRT	00007024	CALTON & ASSOCIATES, INC.			L	L					L	L	L	
CANTELLA MANAGEMENT CORPORATION	NSCPRT	00000699	CANTELLA & CO., INC.		L	L	L		L	L		L	L	L	
CAPITAL INVESTMENT BROKERAGE, INC.	NSCPRT	00000588	CAPITAL INVESTMENT BROKERAGE, INC.			L	L					L	L	L	
CAPITAL INVESTMENT GROUP, INC.	NSCPRT	00000545	CAPITAL INVESTMENT GROUP, INC.			L	L					L	L	L	
CBIZ, INC.	NSCPRT	00004193	CBIZ FINANCIAL SOLUTIONS, INC./CBIZ LIFE INSURANCE SOLUTIONS INC.									L	L		
CBIZ, INC.	NSCPRT	00000682	CBIZ FINANCIAL SOLUTIONS, INC.		L	L	L								
CEROS HOLDING AG	NSCPRT	00005331	CEROS FINANCIAL SERVICES, INC.			L						L	L		
CETERA FINANCIAL GROUP, INC.	NSCPRT	00000343	CETERA ADVISOR NETWORKS LLC	L	L	L	L					L	L	L	L
CETERA FINANCIAL GROUP, INC.	NSCPRT	00000701	CETERA INVESTMENT SERVICES LLC	L	L	L	L		L	L		L	L	L	L
CETERA FINANCIAL GROUP, INC.	NSCPRT	00000466	CETERA ADVISORS LLC	L	L	L	L					L	L	L	L
CETERA FINANCIAL SPECIALISTS SERVICES LLC	NSCPRT	00000471	CETERA FINANCIAL SPECIALISTS LLC	L	L	L	L			L		L	L	L	L
CFD INVESTMENTS, INC.	NSCPRT	00008255	CFD INVESTMENTS, INC.			L	L					L	L	L	
CITIGROUP INC.	NSCPRT	00000418	CITIGROUP GLOBAL MARKETS, INC./CORRESPONDENT CLEARING			L						L	L		
CMFG LIFE INSURANCE COMPANY	NSCPRT	00000239	CUNA BROKERAGE SERVICES, INC.			L	L					L	L	L	
COFRANESCO ENTERPRISES, INC.	NSCPRT	00003280	INTERNATIONAL ASSETS ADVISORY, LLC			L						L	L	L	
COMMUNITYAMERICA CREDIT UNION	NSCPRT	00008386	COMMUNITYAMERICA FINANCIAL SOLUTIONS, LLC		L	L	L					L	L	L	
CONCORDE HOLDINGS, INC.	NSCPRT	00003773	CONCORDE INVESTMENT SERVICES, LLC			L	L					L	L	L	
COVR FINANCIAL TECHNOLOGIES LLC	NSCPRT	00007487	DTCC SOLUTIONS, LLC/COVR FINANCIAL TECHNOLOGIES LLC									L	L	L	
CREATIVE ONE MARKETING CORPORATION	NSCPRT	00008872	DTCC SOLUTIONS LLC/CREATIVE ONE MARKETING CORPORATION				L					L	L	L	
CS FINANCIAL GROUP, INC.	NSCPRT	00008435	DTCC SOLUTIONS, LLC/CAPITOL SECURITIES MANAGEMENT, INC				L					L	L	L	
D. L. HARRIS AND ASSOCIATES INSURANCE SERVICES, INC.	NSCPRT	00003956	D.L. HARRIS & ASSOCIATES INSURANCE SERVICES, INC.		L							L	L	L	
D.A. DAVIDSON COMPANIES	NSCPRT	00000361	D. A. DAVIDSON & CO.			L	L					L	L	L	
DAVENPORT & CO. OF VIRGINIA, INC.	NSCPRT	00000715	DAVENPORT & COMPANY LLC			L	L					L	L	L	
DAVID A. NOYES & COMPANY	NSCPRT	00007552	SANCTUARY SECURITIES, INC.			L						L	L	L	
DEMPSEY LORD SMITH LLC	NSCPRT	00009458	DEMPSEY LORD SMITH, LLC			L	L					L	L	L	
DEUTSCHE BANK AG	NSCPRT	00000573	DEUTSCHE BANK SECURITIES INC.		L							L	L	L	
DST SYSTEMS INC.	NSCPRT	00000626	DST MARKET SERVICES, LLC						L						
EQUITABLE HOLDINGS, INC.	NSCPRT	00007123	AXA NETWORK, LLC	L	L	L	L		L	L		L	L	L	
FAIRFIELD COUNTY BANK CORP	NSCPRT	00000113	INFINEX INVESTMENTS, INC.	L	L	L	L		L	L		L	L	L	L
FEDERATION OF FINANCIAL SERVICES, INC.	NSCPRT	00006941	CENTAURUS FINANCIAL, INC.		L		L					L	L	L	
FIFTH THIRD BANCORP	NSCPRT	00000322	FIFTH THIRD SECURITIES, INC.		L	L	L					L	L	L	
FINANCIAL INDEPENDENCE GROUP, LLC	NSCPRT	00003643	DTCC SOLUTIONS, LLC/FINANCIAL INDEPENDENCE GROUP, LLC				L					L	L	L	
FIRST CITIZENS BANCSHARES, INC	NSCPRT	00006347	FIRST CITIZENS INVESTOR SERVICES, INC.			L	L		L	L		L	L	L	
FIRST COMMAND FINANCIAL SERVICES INC	NSCPRT	00000502	FIRST COMMAND FINANCIAL PLANNING, INC.			L	L					L	L	L	
FIRST HEARTLAND CAPITAL, INC	NSCPRT	00000336	FIRST HEARTLAND CAPITAL, INC.	L	L	L	L			L		L	L	L	
FIRST HORIZON NATIONAL CORPORATION	NSCPRT	00000192	FIRST HORIZON ADVISORS, INC.			L	L		L	L		L	L	L	
FLEXIBLE PLAN INVESTMENTS LTD	NSCPRT	00006819	FLEXIBLE PLAN INVESTMENTS, LTD			L						L	L	L	
FMR,LLC	NSCPRT	00000226	NATIONAL FINANCIAL SERVICES LLC	L	L	L	L		L	L		L	L	L	L
FOUNDERS FINANCIAL, INC.	NSCPRT	00007044	FOUNDERS FINANCIAL SECURITIES, LLC			L			L						
GLEN EAGLE ADVISORS, LLC	NSCPRT	00006954	GLEN EAGLE WEALTH, LLC			L	L					L	L	L	
GLOBAL FINANCIAL PRIVATE CAPITAL, LLC	NSCPRT	00006934	DTCC SOLUTIONS, LLC/GLOBAL FINANCIAL PRIVATE CAPITAL, LLC				L					L	L	L	
GRADIENT SECURITIES, LLC	NSCPRT	00003149	GRADIENT SECURITIES, LLC			L						L	L	L	
GUARDIAN INSURANCE & ANNUITY COMPANY, INC.	NSCPRT	00000496	PARK AVENUE SECURITIES, LLC			L	L					L	L	L	
GWM HOLDINGS INC	NSCPRT	00000244	GENEOS WEALTH MANAGEMENT, INC.		L	L	L		L	L		L	L	L	
HANCOCK HOLDING COMPANY	NSCPRT	00003096	HANCOCK WHITNEY INVESTMENT SERVICES, INC.			L	L		L			L	L	L	
HANTZ GROUP, INC	NSCPRT	00000614	HANTZ FINANCIAL SERVICES, INC.	L		L	L					L	L	L	
HEIM, YOUNG & ASSOCIATES, INC.	NSCPRT	00006021	HEIM, YOUNG & ASSOCIATES, INC.			L									

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HIGHTOWER HOLDING, LLC	NSCPRT	00006017	HIGHTOWER SECURITIES, LLC			L	L			L		L	L	L	
HILLTOP HOLDINGS INC	NSCPRT	0000279	HILLTOP SECURITIES INC.	L		L	L	L				L	L	L	L
HSBC HOLDINGS PLC	NSCPRT	00002049	HSBC BANK USA, N.A./ BUFFALO IPS	L		L	L			L		L	L	L	
HSBC HOLDINGS PLC	NSCPRT	00002165	HSBC BANK USA, NATIONAL ASSOCIATION				L					L	L	L	
HUNTINGTON BANCSHARES INCORPORATED	NSCPRT	00002305	THE HUNTINGTON NATIONAL BANK		L	L	L		L	L		L	L	L	
HUNTINGTON BANCSHARES INCORPORATED	NSCPRT	00005383	HUNTINGTON NATIONAL BANK/TRADITIONAL INSURANCE			L	L					L	L	L	
HUNTLEIGH SECURITIES CORPORATION	NSCPRT	00006822	HUNTLEIGH SECURITIES CORPORATION			L	L					L	L	L	
IC FINANCIAL SERVICES, INC.	NSCPRT	00000318	THE INVESTMENT CENTER, INC.			L	L			L		L	L	L	
ILLINOIS AGRICULTURAL ASSOCIATION	NSCPRT	00007937	COUNTRY CAPITAL MANAGEMENT COMPANY			L	L					L	L	L	
INDEPENDENT FINANCIAL GROUP, INC.	NSCPRT	00003061	INDEPENDENT FINANCIAL GROUP, LLC			L	L					L	L	L	
INDEPENDENT FINANCIAL GROUP, INC.	NSCPRT	00008265	DTCC SOLUTIONS, LLC/INDEPENDENT FINANCIAL GROUP, INC	L			L					L	L	L	
ING GROEP N.V.	NSCPRT	00000465	VOYA FINANCIAL ADVISORS, INC./LSSI		L	L	L		L			L	L	L	
ING GROEP N.V.	NSCPRT	00000492	VOYA FINANCIAL ADVISORS, INC.		L	L	L		L			L	L	L	
INTL FCSTONE INC.	NSCPRT	00000750	STONEX FINANCIAL INC.	L	L	L	L		L			L	L	L	L
J.W. COLE FINANCIAL, INC.	NSCPRT	00005293	J.W. COLE FINANCIAL, INC.			L	L					L	L	L	
JPMORGAN CHASE & CO.	NSCPRT	00000352	J.P. MORGAN SECURITIES LLC/JPMC	L		L	L					L	L	L	
JPMORGAN CHASE & CO.	NSCPRT	00000432	J.P. MORGAN SECURITIES LLC/CISC	L		L	L		L	L		L	L	L	
JPMORGAN CHASE & CO.	NSCPRT	00000470	J.P. MORGAN SECURITIES LLC/CHASE INSURANCE GROUP			L	L					L	L	L	
JPMORGAN CHASE & CO.	NSCPRT	00000060	J.P. MORGAN SECURITIES LLC/NYSE			L	L			L		L	L	L	
JPMORGAN CHASE & CO.	NSCPRT	00000406	J.P. MORGAN SECURITIES LLC/PRIVATE CLIENT SERVICES DIV			L	L					L	L	L	
K.W. CHAMBERS & CO.	NSCPRT	00008234	K.W. CHAMBERS & CO.			L	L					L	L	L	
KALOS CAPITAL, INC.	NSCPRT	00006926	KALOS CAPITAL, INC		L		L					L	L	L	
KESTRA FINANCIAL, INC.	NSCPRT	00000481	KESTRA INVESTMENT SERVICES, LLC	L	L	L	L		L	L		L	L	L	L
KFG ENTERPRISES, INC.	NSCPRT	00006011	PRIVATE CLIENT SERVICES, LLC			L	L			L		L	L	L	
KMS FINANCIAL SERVICES, INC.	NSCPRT	00004835	KMS FINANCIAL SERVICES, INC.		L	L	L					L	L	L	
KOVACK FINANCIAL LLC	NSCPRT	00000105	KOVACK SECURITIES INC.		L	L	L					L	L	L	
L.M. KOHN & COMPANY	NSCPRT	00000194	L.M. KOHN & COMPANY			L	L					L	L	L	
LADENBURG THALMANN FINANCIAL SERVICES INC	NSCPRT	00000590	SECURITIES AMERICA, INC.		L	L	L					L	L	L	
LADENBURG THALMANN FINANCIAL SERVICES INC	NSCPRT	00006430	TRIAD ADVISORS, LLC			L	L			L		L	L	L	
LADENBURG THALMANN FINANCIAL SERVICES INC	NSCPRT	00000138	INVESTACORP INC.		L		L					L	L	L	
LEIGH BALDWIN & CO.	NSCPRT	00006013	LEIGH BALDWIN & CO., LLC		L	L	L					L	L	L	
LINCOLN INVESTMENT PLANNING INC	NSCPRT	00000145	LINCOLN INVESTMENT PLANNING, LLC		L	L	L					L	L	L	
LINCOLN NATIONAL CORPORATION	NSCPRT	00000478	LINCOLN FINANCIAL ADVISORS CORPORATION		L	L	L					L	L	L	L
LINCOLN NATIONAL CORPORATION	NSCPRT	00000211	LINCOLN FINANCIAL SECURITIES CORPORATION		L	L	L					L	L	L	
LION STREET FINANCIAL, LLC	NSCPRT	00007019	LION STREET FINANCIAL, LLC			L	L					L	L	L	
LLK INSURANCE BROKERAGE SERVICES, LLC	NSCPRT	00006009	LLK INSURANCE BROKERAGE SERVICES, LLC		L	L	L					L	L	L	
LPL FINANCIAL HOLDINGS INC.	NSCPRT	00000392	LPL FINANCIAL LLC/WS GRIFFITH SECS INC.			L	L					L	L	L	
LPL FINANCIAL HOLDINGS INC.	NSCPRT	00000075	LPL FINANCIAL LLC		L	L	L		L	L		L	L	L	L
LPL FINANCIAL HOLDINGS INC.	NSCPRT	00004747	LPL FINANCIAL LLC/LPL INSURANCE			L	L					L	L	L	
LPL HOLDINGS INC	NSCPRT	00006358	APLIF, INC.		L	L	L					L	L	L	L
M FINANCIAL HOLDINGS INCORPORATED	NSCPRT	00000757	M HOLDINGS SECURITIES, INC.		L	L	L					L	L	L	
M&T BANK CORPORATION	NSCPRT	00000040	M&T SECURITIES, INC.			L	L					L	L	L	
MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000255	MML INVESTORS SERVICES, LLC		L	L	L			L		L	L	L	
MATTHEW FLETCHER DEITCH 2010 FAMILY GIFT TRUST	NSCPRT	00000177	COMMONWEALTH EQUITY SERVICES LLC		L	L	L		L			L	L	L	
MCDERMOTT HOLDINGS I LP	NSCPRT	00000232	LASALLE ST. SECURITIES, L.L.C.			L	L					L	L	L	
MCDONALD PARTNERS, LLC	NSCPRT	00008425	DTCC SOLUTIONS, LLC/MCDONALD PARTNERS, LLC			L	L					L	L	L	
MID ATLANTIC CAPITAL GROUP INC	NSCPRT	00000684	MID ATLANTIC CAPITAL CORPORATION/INSURANCE		L	L	L					L	L	L	
MITSUBISHI UFJ FINANCIAL GROUP, INC.	NSCPRT	00003121	MUFG UNION BANK NA/UBIS			L	L		L	L		L	L	L	
MONEY CONCEPTS CAPITAL CORP.	NSCPRT	00006925	DTCC SOLUTIONS, LLC/MONEY CONCEPTS CAPITAL CORP.			L	L					L	L	L	
MORGAN STANLEY	NSCPRT	00000015	MORGAN STANLEY SMITH BARNEY LLC		L	L	L		L	L		L	L	L	L
MUTUAL OF OMAHA HOLDINGS, INC	NSCPRT	00000398	MUTUAL OF OMAHA INVESTOR SERVICES, INC.			L	L		L	L		L	L	L	L
NATIONAL HOLDINGS CORPORATION	NSCPRT	00006472	NATIONAL SECURITIES CORPORATION			L	L			L		L	L	L	
NATIONWIDE MUTUAL INSURANCE COMPANY	NSCPRT	00000605	NATIONWIDE SECURITIES, LLC		L	L	L					L	L	L	
NLV FINANCIAL CORPORATION	NSCPRT	00000560	EQUITY SERVICES, INC.			L	L					L	L	L	
NORTHSTAR FINANCIAL SERVICES GROUP, LLC	NSCPRT	00003913	CLS INVESTMENT FIRM, LLC		L		L					L	L	L	
NYLIFE LLC	NSCPRT	00000368	NYLIFE SECURITIES LLC			L	L					L	L	L	
OHIO NATIONAL MUTUAL HOLDINGS, INC	NSCPRT	00004753	THE O.N. EQUITY SALES COMPANY/OHIO NATIONAL		L	L	L					L	L	L	
OHIO NATIONAL MUTUAL HOLDINGS, INC	NSCPRT	00000092	THE O.N. EQUITY SALES COMPANY		L	L	L			L		L	L	L	
ONE RESOURCE GROUP CORPORATION	NSCPRT	00001159	ONE RESOURCE GROUP CORPORATION			L	L					L	L	L	
OPPENHEIMER HOLDINGS INC.	NSCPRT	00000571	OPPENHEIMER & CO. INC.		L	L	L					L	L	L	
OPUS BANK	NSCPRT	00000579	PENSCO TRUST COMPANY LLC			L	L					L	L	L	
PALM BEACH CAPITAL	NSCPRT	00006407	INSURANCE TECHNOLOGIES, LLC		L		L					L	L	L	
PARSONEX INSURANCE AGENCY, INC.	NSCPRT	00008440	DTCC SOLUTIONS LLC/PARSONEX INSURANCE AGENCY, INC.			L	L					L	L	L	
PAULSON CAPITAL CORP	NSCPRT	00006906	P.J. ROBB VARIABLE CORPORATION				L					L	L	L	
PEACHTREE CAPITAL CORPORATION	NSCPRT	00001396	PEACHCAP SECURITIES, INC.			L	L					L	L	L	
PEAK BROKERAGE SERVICES, LLC	NSCPRT	00008467	DTCC SOLUTIONS LLC/PEAK BROKERAGE SERVICES, LLC			L	L					L	L	L	
PEOPLE'S UNITED FINANCIAL INC	NSCPRT	00000220	PEOPLE'S SECURITIES, INC.		L	L	L		L			L	L	L	L
PLANMEMBER FINANCIAL CORPORATION	NSCPRT	00000489	PLANMEMBER SECURITIES CORPORATION		L	L	L			L		L	L	L	
PRIMERICA, INC.	NSCPRT	00000468	PFS INVESTMENTS INC.		L	L	L					L	L	L	
PRINCIPAL FINANCIAL GROUP INC	NSCPRT	00004744	PREFERRED PRODUCT NETWORK, INC.			L	L					L	L	L	
PRINCIPAL FINANCIAL GROUP, INC.	NSCPRT	00000375	PRINCIPAL SECURITIES, INC.			L	L					L	L	L	
PROSPERA FINANCIAL SERVICES, INC.	NSCPRT	00003650	PROSPERA FINANCIAL SERVICES, INC.			L	L					L	L	L	
PROTECTIVE LIFE CORPORATION	NSCPRT	00006326	PROEQUITIES, INC.		L	L	L			L		L	L	L	
PROTECTIVE LIFE CORPORATION	NSCPRT	00007007	PROEQUITIES, INC./INSURANCE PROCESSING DIVISION		L	L	L					L	L	L	
RAYMOND JAMES FINANCIAL, INC.	NSCPRT	00000725	RAYMOND JAMES & ASSOCIATES, INC.		L	L	L		L	L		L	L	L	L
REGIONS FINANCIAL CORPORATION	NSCPRT	00006359	REGIONS INVESTMENT SERVICES, INC.		L	L	L					L	L	L	L
REGULUS ADVISORS, LLC	NSCPRT	00003214	REGULUS ADVISORS, LLC.			L	L					L	L	L	
R.J.L. HOLDING COMPANY, LLC	NSCPRT	00006900	LUCIA SECURITIES, LLC		L	L	L					L	L	L	
ROBERT FRANCIS KERRIS	NSCPRT	00006317	EBIX, INC.			L	L					L	L	L	
ROYAL BANK OF CANADA	NSCPRT	00000235	RBC CAPITAL MARKETS, LLC		L	L	L		L	L		L	L	L	L
SAMMONS FINANCIAL GROUP INC	NSCPRT	00005347	PARKLAND SECURITIES, LLC			L	L					L	L	L	
SAXONY HOLDINGS, INC.	NSCPRT	00003358	SAXONY SECURITIES, INC.		L	L	L					L	L	L	
SCHWARTZ FAMILY TRUST	NSCPRT	00000742	CAMBRIDGE INVESTMENT RESEARCH, INC.			L	L					L	L	L	
SECURIAN FINANCIAL GROUP, INC.	NSCPRT	00000731	H. BECK, INC.		L	L	L		L	L		L	L	L	
SECURIAN FINANCIAL SERVICES, INC.	NSCPRT	00008411	SECURIAN FINANCIAL SERVICES, INC.			L	L					L	L	L	
SIGMA FINANCIAL CORPORATION	NSCPRT	00005346	SIGMA FINANCIAL CORPORATION			L	L					L	L	L	
STIFEL FINANCIAL CORP.	NSCPRT	00000793	STIFEL, NICOLAUS & COMPANY, INCORPORATED		L	L	L		L	L		L	L	L	L
SYNOVUS FINANCIAL CORPORATION	NSCPRT	00007978	SYNOVUS SECURITIES, INC.			L	L		L	L		L	L	L	
THE ALLSTATE CORPORATION	NSCPRT	00000222	ALLSTATE FINANCIAL SERVICES, LLC			L	L			L		L	L	L	
THE ALLSTATE CORPORATION	NSCPRT	00005341	ALLSTATE FINANCIAL SERVICES, LLC/ALLSTATE FINANCIAL ANNUITIES			L	L					L	L	L	
THE BANK OF NEW YORK MELLON CORPORATION	NSCPRT	00006322	BNY MELLON INSURANCE AGENCY, INC.			L	L					L	L	L	
THE BANK OF NEW YORK MELLON CORPORATION	NSCPRT	00000443	PERSHING LLC		L	L	L		L	L		L	L	L	L
THE CHARLES SCHWAB CORPORATION	NSCPRT	00000188	TD AMERITRADE CLEARING, INC.		L	L	L					L	L	L	
THE CHARLES SCHWAB CORPORATION	NSCPRT	00000164	CHARLES SCHWAB & CO., INC.		L	L	L					L	L	L	L
THE DAVID L COFFEY GRANTOR RETAINED ANNUITY TRUST	NSCPRT	00000247	SECURITIES SERVICE NETWORK, INC.		L	L	L					L	L	L	
THE GOVERNMENT OF THE UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND	NSCPRT	00006313	CITIZENS SECURITIES, INC.			L	L		L			L	L	L	
THE IMPACT PARTNERSHIP, LLC	NSCPRT	00004063	THE IMPACT PARTNERSHIP, LLC									L	L	L	
THE JONES FINANCIAL COMPANIES, L.L.P.	NSCPRT	00000057	EDWARD D. JONES & CO.		L	L	L		L	L		L	L	L	L
THE LEADERS GROUP, INC	NSCPRT	00008289	DTCC SOLUTIONS, LLC/THE LEADERS GROUP, INC			L	L					L	L	L	

Holding Company	Account Type	Account Number	Account Name	Applications	Asset Pricing (I&RS)	Commissions (I&RS)	Financial Activity Reporting	IFT Access	In-Force Transactions	Licensing & Appointments	LNA Access	Positions & Valuations Focused Refresh	Positions & Valuations Full Refresh	Positions & Valuations New Business	Subsequent Premiums
THE NORTHWESTERN MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000171	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC			L	L					L	L	L	
THE PENN MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000374	JANNEY MONTGOMERY SCOTT LLC	L		L	L		L	L		L	L	L	L
THE PENN MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000326	HORNOR, TOWNSEND & KENT, LLC		L	L	L		L			L	L	L	
THE PNC FINANCIAL SERVICES GROUP, INC.	NSCPRT	00002317	PNC BANK N.A./IPS	L	L	L	L		L	L		L	L	L	
THRIVENT FINANCIAL HOLDINGS, INC.	NSCPRT	00007243	THRIVENT INVESTMENT MANAGEMENT INC.			L	L					L	L	L	
TIME EQUITIES INC.	NSCPRT	00000729	TRANSAMERICA FINANCIAL ADVISORS, INC./WORLD GROUP SECURITIES, INC.			L	L					L	L	L	
TIME EQUITIES INC.	NSCPRT	00000224	TRANSAMERICA FINANCIAL ADVISORS, INC./II		L	L	L			L		L	L	L	
TIME EQUITIES INC.	NSCPRT	00000285	TRANSAMERICA FINANCIAL ADVISORS, INC.	L	L	L	L					L	L	L	
TRUIST FINANCIAL CORP.	NSCPRT	00003376	TRUIST BANK/CRUMP LIFE INSURANCE SERVICES			L	L					L	L	L	
TRUIST FINANCIAL CORP.	NSCPRT	00000153	SUNTRUST INVESTMENT SERVICES, INC.			L	L					L	L	L	
TRUIST FINANCIAL CORP.	NSCPRT	00000702	BB&T SECURITIES, LLC	L		L	L		L			L	L	L	L
TRUSTMONT FINANCIAL GROUP, INC	NSCPRT	00008195	DTCC SOLUTIONS, LLC/TRUSTMONT FINANCIAL GROUP, INC.			L	L					L	L	L	
U.S. BANCORP	NSCPRT	00000280	U.S. BANCORP INVESTMENTS, INC.	L	L	L	L		L	L		L	L	L	L
UBS GROUP AG	NSCPRT	00000221	UBS FINANCIAL SERVICES INC.	L	L	L	L		L	L		L	L	L	L
UMB FINANCIAL CORPORATION	NSCPRT	00005988	UMB BANK, NATIONAL ASSOCIATION/UMB FINANCIAL SERVICES, INC.			L	L					L	L	L	
UNITED BANKSHARES, INC.	NSCPRT	00001723	UNITED BROKERAGE SERVICES, INC.			L	L					L	L	L	
UNITED PLANNERS' GROUP INC.	NSCPRT	00006008	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA, L.P.		L	L	L					L	L	L	
VALMARK SECURITIES, INC.	NSCPRT	00000121	VALMARK SECURITIES, INC.			L	L			L		L	L	L	
WADDELL & REED FINANCIAL, INC.	NSCPRT	00000687	WADDELL & REED, INC.		L	L	L			L		L	L	L	
WBC HOLDINGS LP	NSCPRT	00000771	WILLIAM BLAIR & COMPANY, L.L.C.									L	L	L	
WEDBUSH INC	NSCPRT	00000103	WEDBUSH SECURITIES INC.			L	L					L	L	L	
WELLS FARGO & COMPANY	NSCPRT	00000268	AVANTAX INVESTMENT SERVICES, INC.	L		L	L			L		L	L	L	
WELLS FARGO & COMPANY	NSCPRT	00000141	WELLS FARGO CLEARING SERVICES, LLC	L	L	L	L		L	L		L	L	L	L
WEST COAST STOCK TRANSFER, INC.	NSCPRT	00000510	WOODBURY FINANCIAL SERVICES, INC.	L	L	L	L			L		L	L	L	
WEST COAST STOCK TRANSFER, INC.	NSCPRT	00000332	WINSLOW, EVANS & CROCKER, INC.			L	L					L	L	L	
WINTRUST FINANCIAL CORPORATION	NSCPRT	00000196	WINTRUST INVESTMENTS, LLC	L		L	L			L		L	L	L	
WORLD EQUITY GROUP, INC.	NSCPRT	00000460	WORLD EQUITY GROUP, INC.		L	L	L					L	L	L	
WUNDERLICH INVESTMENT COMPANY INC	NSCPRT	00000926	WUNDERLICH SECURITIES, INC.			L	L					L	L	L	