

The Depository Trust & Clearing Corporation

# ATTACHMENTS (ATT)

Attachments (ATT) enables insurance distributors and carriers to electronically exchange digital (imaged) documents, signatures, forms and other types of unstructured data during the pre-sale, new business and post-issue processing of annuity and life insurance information.

## WHO CAN USE THE SERVICE

Insurance carriers, distributors, banks and insurance agencies affiliated with distributors or banks and their agents are eligible for the service. Participants need to be full-service members of the National Securities Clearing Corporation (NSCC). Special Data-Services-Only (DSO) memberships are also available.

## BENEFITS

- Real-time response capability for providing attachment status.
- Support industry standard practice and standard formats adhering to the ACORD XML standard model for insurance processing using XML data messaging and web-services technology.
- Secure confidential data through DTCC's Securely Managed and Reliable Technology (SMART) network, which provides centralized, end-to-end managed communications for all post-trade clearance and settlement processing.
- Eliminate the need for a paper exchange of information and enable straight-through processing (STP) when signatures are required at point of sale and/or original documentation is required for otherwise automated processes.
- Generate savings from reduced mailing costs and increased levels of service to distributors, carriers and customers through expedited document processing.
- Create an audit trail and eliminate lost paperwork.

## HOW THE SERVICE WORKS

Using their proprietary or vendor supported platforms, distributors and carriers can electronically process Attachments transactions as illustrated by the following:

- Firm (Sender) produces document internally and transforms document into an ACORD XML message.
- ACORD XML message is sent to DTCC including key data and attachment. DTCC validates key data and sends information to recipient.
- Recipient replies to DTCC with a status confirm. DTCC sends status confirm to Sender.

## FOR MORE INFORMATION

Please contact Insurance & Retirement Services at 1-888-382-2721. Email us at [insurance@dtcc.com](mailto:insurance@dtcc.com).



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