



## ATTACHMENTS (ATT)

Attachments (ATT) enables insurance distributors and carriers to electronically exchange digital (imaged) documents, signatures, forms and other types of unstructured data during the pre-sale, new business and post-issue processing of annuity and life insurance information.

### WHO CAN USE THIS SERVICE

Insurance carriers, distributors, banks and insurance agencies affiliated with distributors or banks and their agents are eligible for the service. Participants need to be full-service members of the National Securities Clearing Corporation (NSCC). Special Data-Services-Only (DSO) memberships are also available.

### BENEFITS

- Real-time response capability for providing attachment status.
- Support industry standard practice and standard formats adhering to the ACORD XML standard model for insurance processing using XML data messaging and web-services technology.
- Secure confidential data through DTCC's Securely Managed and Reliable Technology (SMART) network, which provides centralized, end-to-end managed communications for all post-trade clearance and settlement processing.
- Eliminate the need for a paper exchange of information and enable Straight-Through Processing (STP) when signatures are required at point of sale and/or original documentation is required for otherwise automated processes.
- Generate savings from reduced mailing costs and increased levels of service to distributors, carriers and customers through expedited document processing.
- Create an audit trail and eliminate lost paperwork.

### HOW THE SERVICE WORKS

Using their proprietary or vendor supported platforms, distributors and carriers can electronically process Attachments transactions as illustrated by the following:

- Firm (Sender) produces document internally and transforms document into an ACORD XML message.
- ACORD XML message is sent to DTCC including key data and attachment. DTCC validates key data and sends information to Recipient.
- Recipient replies to DTCC with a status confirm. DTCC sends status confirm to Sender.

*(please refer to schematic on the next page).*

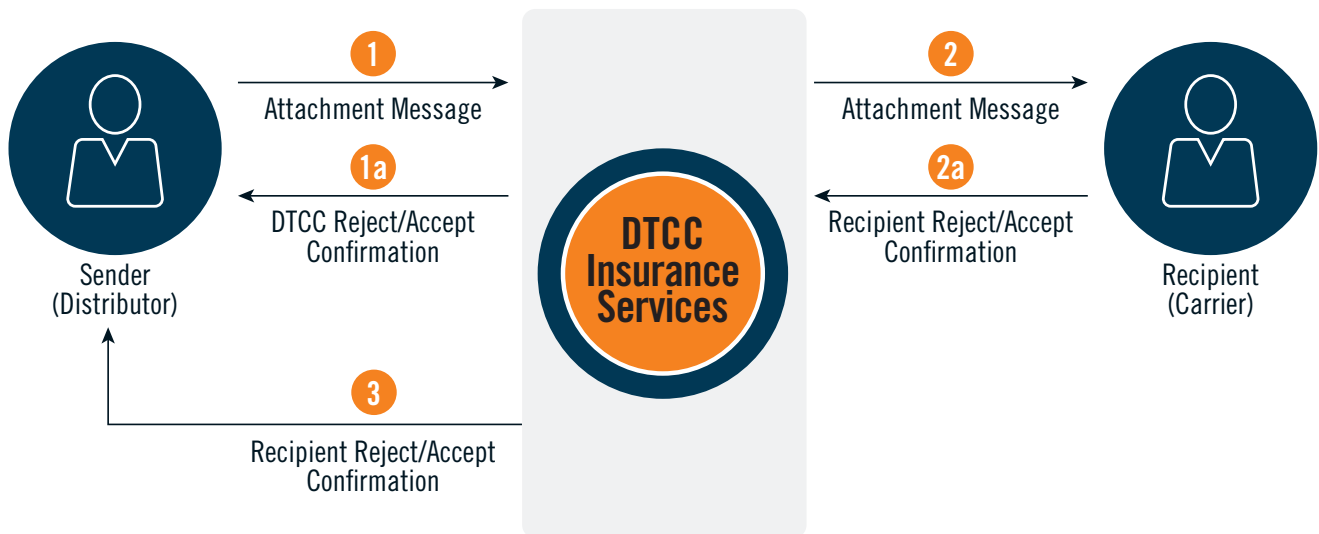
### FOR MORE INFORMATION

Please contact us at **1-888-382-2721** (options 5-5-3).

E-mail us at [WMSConnect@dtcc.com](mailto:WMSConnect@dtcc.com) or visit us on the web at [www.dtcc.com/insurance](http://www.dtcc.com/insurance).

## HOW THE SERVICE WORKS (CONT.)

### INSURANCE & RETIREMENT SERVICES Attachments (ATT)



Attachments is an offering of National Securities Clearing Corporation (NSCC), a wholly-owned DTCC subsidiary. Attachments is governed by applicable Rules, Procedures, and Service Guides of NSCC, which contain all applicable full terms, conditions, and limitations. The description provided herein is for informational purposes only, and NSCC reserves the right to make modifications. Copyright ©2022 The Depository Trust & Clearing Corporation. All rights reserved. DTCC, DTCC (Stylized), ADVANCING FINANCIAL MARKETS. TOGETHER, and the Interlocker graphic are registered and unregistered trademarks of The Depository Trust & Clearing Corporation. DTCC Public (White). 27771-GM03232022.