**I&RS Review Board**

ENHANCEMENT REQUEST FORM

Request Number: IPS00682

Note: Do not complete gray shaded areas.

***Submission Date:*** ***Name/Phone #/E-mail Address:***

07/21/2021 Emily Cole / emily.cole@transamerica.com

***Requesting Company Name(s):*** ***Type of Change***: \_\_\_\_\_ New Field

Transamerica \_\_\_\_\_ Edit Change \_\_X\_\_\_ Code List Add

***Priority:*** \_\_X\_\_ Low (can wait until next release) \_\_\_\_ Medium (Sooner than next release) \_\_\_\_ High (Immediate action)

***When do you internally plan to implement this change?*** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

***When do you plan to test this change?*** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

***Line of Business (mark all that apply): \_***X***\_ Annuity \_\_ Life \_\_ Retirement \_\_ LTC***

***Other (write-in) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***

***Application:***

\_\_\_\_\_ ACATS/IPS \_\_X\_\_\_ Financial Activity Reporting (FAR)

\_\_\_\_\_ Access Platforms \_\_\_\_\_ InForce Transactions (IFT)\*

\_\_\_\_\_ Asset Pricing (AAP) \_\_\_\_\_ InForce Web Transactions (IFW)\*

\_\_\_\_\_ Applications/Sub Pay (APP/SUB) \_\_\_\_\_ Licensing & Appointments (LNA)\*

\_\_\_\_\_ Attachments (ATT)\* \_\_X\_\_\_ Positions and Valuations (POV)

\_\_\_\_\_ Commissions (COM) \_\_\_\_\_ Positions for Retirement (PRP)

\_\_\_\_\_ Commission Schedule Transmittal (CST)\* \_\_\_\_\_ Producer Management Portal (PMP)

\_\_\_\_\_ Fee & Expense Transmittal (FET)\* \_\_\_\_\_ Settlement Processing (STL)

\*For ATT, IFT, LNA and Insurance Profile please check the Access box if you want changes to be made to that platform as well.

***Date Reviewed by Board:*** 08/03/2021 ***Decision Date:*** 08/03/2021

1. ***Overall Scope of the Enhancement (should include the Business Reason for the change):***

We receive a ‘9’ Estate Contract Party Role Qualifier on APP/SUB, however, there is not a corresponding ‘9’ Estate for the POV and FAR files. We are currently sending these as “4” Corporation, which is not technically correct.

1. ***Recommended Record Layout Changes:***

Add the Contract Party Role Qualifier of ‘9’ Estate to the Code List (3816) for the POV and FAR files.

1. ***Business Scenario – (Used to determine Best Practices)***
2. ***Standard Usage – (Should include specific field/code usage)***
3. ***Status Update:***

08/3/2021 status:

Review Board approved to add a new code to represent ‘Estate’.

* Within POV: code 9 (Estate) will be added to: Contract Party Role Qualifier (item 3816)
* Within FAR: code 9 (Estate) will be added to: Contract Entity Type Code (item 5029)

DTCC will review internally to determine if these could be added and aligned with the September 2021 enhancement release. Actual migration date is TBD.

**Enhancement approved for next Code List Release**

**Revision History**

 Date By Version Description

07/26/2021 Jovani Munoz 0.1 Added enhance# and review date

08/03/2021 Jovani Munoz 0.2 Updated status and decision date