

**INSURANCE & RETIREMENT SERVICES CODE LIST MODIFICATIONS**

production date: August 2021

# CODE LIST MODIFICATIONS

This document provides the detailed modifications made to the I&RS code lists as part of the bi-monthly code list edits. These modifications are based on submitted recommendations made by I&RS participants. All modifications were reviewed and approved by the I&RS Review Board.

The enhancement requests can be found in the Participant Section of our website (http://www.dtcc.com/products/insurance) under Enhancements, and then Current Release. The enhancement numbers are listed (IPS00###) next to each request in this document.

## Product(s) Included in this EDIT RELEASE

APP/SUB - Applications and Subsequent Premiums

IFT – InForce Transactions

POV – Positions & Valuations

## Test & Production

Code lists will be updated in PSE on Thursday, July 22nd and in Production on Thursday, August 5th.

## Scheduled Code List Edits

Updated Record Layout documentation will be available on the [I&RS website](http://www.dtcc.com/wealth-management-services/insurance-and-retirement-services/record-layouts).

**APPLICATIONS and Subsequent premiums**

1. Please add the below codes to the Contract Date Qualifier code list (item# 4278) on the Application Date record (33/02).
   * 07 – Owner Signed Date
   * 08 – Joint Owner Signed Date
   * 09 – Annuitant Signed Date
   * 10 – Joint Annuitant Signed Date
   * 11 – Primary Agent Signed Date
   * 12 – Trustee Signed Date

**InForce transactions**

1. Please add new code to the Transaction Status Reason code list (item# 7036) on the Contract Record (73/01) under General Reasons.
   * 811 – Requested Transaction Type is Not Supported

**Positions & Valuations**

1. Please add the below codes to the Contract Value Qualifier code list (item# 3102) on the Contract Valuation record (13/02).
   * YWC – YTD Withdrawals (Calendar)
   * YWP – YTD Withdrawals (Policy/Contract)

Document Revision History

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| --- | --- | --- | --- |
| **Date** | **Author** | **Version** | **Change Description** |
| 6/3/2021 | Jovani Munoz | 1.0 | Draft |
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