



INSURANCE & RETIREMENT SERVICES CODE LIST MODIFICATIONS

PRODUCTION DATE: NOVEMBER 2020

CODE LIST MODIFICATIONS

This document provides the detailed modifications made to the I&RS code lists as part of the bi-monthly code list edits. These modifications are based on submitted recommendations made by I&RS participants. All modifications were reviewed and approved by the I&RS Review Board.

The enhancement requests can be found in the Participant Section of our website (<http://www.dtcc.com/products/insurance>) under Enhancements, and then Current Release. The enhancement numbers are listed (IPS00###) next to each request in this document.

Product(s) Included in this EDIT RELEASE

ATT – Attachments

IFT – In Force Transactions

Test & Production

Code lists will be updated in PSE on Thursday, November 12th and in Production on Thursday, November 19th.

Scheduled Code List Edits

Updated Record Layout documentation will be available on the [I&RS website](#).

ATTACHMENTS (ATT)

- 1) Please add new AttachmentType TC's to the allowable supported types in the Attachment schema.
 - a) Insurance Agent (Producer) Disclosure for Annuities (ACORD Form 660) – **tc = 545**
 - b) Idaho Annuity Disclosure (ACORD Form 960) – **tc = 546**
 - c) 1035 Exchange/Rollover/Transfer eForm (ACORD Form 951E) = **tc = 547**
 - d) Advisory Fee Adjustment – **TC = 548**
- 2) Please add the following code value to the OriginatingTransType code list:
 - a) Producer (Re) Assignment Submission – tc = 161.

IN FORCE TRANSACTIONS (IFT)

Please add a new reject code to Transaction Status Reason (7036) on the Contract Record (73/01).

- a) Representative is not identified on carrier system.
- b) Suggested code = 058

Document Revision History

Date	Author	Version	Change Description
10/15/2020	Jovani Munoz	1.0	Draft