

**INSURANCE & RETIREMENT SERVICES CODE LIST MODIFICATIONS**

production date: September 2021

# CODE LIST MODIFICATIONS

This document provides the detailed modifications made to the I&RS code lists as part of the bi-monthly code list edits. These modifications are based on submitted recommendations made by I&RS participants. All modifications were reviewed and approved by the I&RS Review Board.

The enhancement requests can be found in the Participant Section of our website (http://www.dtcc.com/products/insurance) under Enhancements, and then Current Release. The enhancement numbers are listed (IPS00###) next to each request in this document.

## Product(s) Included in this EDIT RELEASE

APP/SUB - Applications and Subsequent Premiums

COM – Commissions

FAR – Financial Activity Reporting

FET – Fee & Expense Transmittal

POV – Positions & Valuations

## Test & Production

Code lists will be updated in PSE on Thursday, September 9th and in Production on Thursday, September 16th.

## Scheduled Code List Edits

Updated Record Layout documentation will be available on the [I&RS website](http://www.dtcc.com/wealth-management-services/insurance-and-retirement-services/record-layouts).

**APPLICATIONS and Subsequent premiums**

1. Please add the below code to the Agent Role Type code list (item# 4153) on the Agent record (33/27).
   * IM – Independent Marketing Organization

**COmmissions**

1. Please add the below code to the Recipient Role code list (item# 2410) on the Contract Recipient record (22/05).
   * IM – Independent Marketing Organization
2. Please add the below codes to the Commission Basis Reason Code list (item# 2060) on the Contract Record #1 (22/01).

* CWC – State Withholding on Commissions
* PWC – Correction of State Withholding on Commissions

**Financial Activity Reporting**

1. Please add the below code to the Agent Role code list (item# 5119) on the Contract Agent record (43/04).

* IM – Independent Marketing Organization

1. Please add the below code to the Contract Entity Type Code list (item# 5029) on the Contract Entity record (43/02).

* 9 – Estate

**Fee & Expense Transmittal**

1. Please add the below codes to FeeMode (Request/Response)

* Weekly (6)
* Bi-Weekly (7)
* Semi-Monthly (5)
* Monthly (4)
* Daily (8)
* Single Payment (9)
* Quarterly (3)
* Semi-Annual (2)

1. XPath:
   * <TXLife/TXLifeRequest/OLifE/InvestProduct/Fee/FeeMode>
   * <TXLife/TXLifeResponse/OLifE/InvestProduct/Fee/FeeMode>
   * <TXLife/TXLifeRequest/OLifE/PolicyProduct/AnnuityProduct/FeatureProduct/FeatureOptProduct/Fee/FeeMode>
   * <TXLife/TXLifeResponse/OLifE/PolicyProduct/AnnuityProduct/FeatureProduct/FeatureOptProduct/Fee/FeeMode>
   * <TXLife/TXLifeRequest/OLifE/PolicyProduct/Fee/FeeMode>
   * <TXLife/TXLifeResponse/OLifE/PolicyProduct/Fee/FeeMode>
2. Please add the below codes to ProductTypeCode (Request/Response)

* RXA – Registered Indexed Annuity (56)
* IVA – Variable Indexed Annuity (57)

1. XPath:
   * <TXLife/TXLifeRequest/OLifE/InvestProduct/InvestProductTypeCode>
   * <TXLife/TXLifeResponse/OLifE/InvestProduct/InvestProductTypeCode>
   * <TXLife/TXLifeRequest/OLifE/PolicyProduct/PolicyProductTypeCode>
   * <TXLife/TXLifeResponse/OLifE/PolicyProduct/PolicyProductTypeCode>

**Positions & Valuations**

1. Please add the below code to the Agent Role code list (item# 3303) on the Contract Agent record (13/05).
   * IM – Independent Marketing Organization
2. Please add the below code to the Contract Party Role Qualifier code list (item# 3816) on the Contract Party record (13/09).

* 9 – Estate

Document Revision History

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| --- | --- | --- | --- |
| **Date** | **Author** | **Version** | **Change Description** |
| 8/12/2021 | Jovani Munoz | 1.0 | Draft |
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