

Mutual Fund Profile Security

DTCC

Below are milestones highlighting key moments in Profile Security's history since its inception in 1998.

Profile Security Issue Database enhanced service to systematically source data from prospectuses and other documents filed within the SEC's EDGAR database.



Profile Security Issue Database was enhanced with a modernized, cognitive sourcing engine and new user interface, utilizing AI and machine learning for data sourcing.



Profile Security Issue Database enhanced the specification report process allowing Intermediaries to create customized reporting templates based on their business needs.



1998

2012

2014

2017

2019

2022

2024



Profile Security Issue and Distribution Database was introduced to provide an essential repository of prospectus, operational processing rules, and distribution data for mutual fund securities.



Profile Security Issue Database introduced a comparison tool and scorecard identifying variances between existing information in Profile Security vs. a prospectus and other source documents filed in the SEC's EDGAR database, requiring review from the Funds to ensure data accuracy.



Profile Security Issue Database introduced systematic generation of specification reports leveraging data populated within Profile Security, eliminating manual data entry, and reducing reporting errors.



Profile Security Issue Database expanded securities to include Fund/SERV Security Master File information, providing key data points in support of order and correction processing. Enhanced to include additional operational data points and functionality to support 529 plans.