

Standard Usage for ACATS Time Expired (TEX) Transaction (ACATS only)
9/30/04

TEX Transaction is used in conjunction with ACATS to inform Distributors and Carriers when the ACATS transaction has expired. This transaction will only be initiated by IPS. See User Guide for detailed explanation of TEX.

Listed are the fields applicable to the TEX transaction. Fields not listed are not used for this transaction and will not be sent to you.

Standard Usage documents were reviewed and approved by the ACATS/IPS Pilot Working Group.

Field Name	Record	Field Item #	DTCC Edit	Description	Notes
System Code	73-01		R	D	D = DTCC
Record Type	73-01		R	73	
Sequence Number	73-01		R	01	
NSCC Control Number	73-01	7030	R	See Notes	DTCCYYYJJSSSSSSSSSS Since this transaction is initiated by IPS, the first 4 bytes of the NSCC control number will be DTCC. Example – NSCC Control Number sent on December 31, 2004 DTCC0043650000000001
Transaction Type	73-01	7033	R	TEX	
Transaction Status	73-01	7035	C	HRJ	IPS will send this transaction as a hard reject.
Transaction Status Reason	73-01	7036	C	900	900 = ACATS Control Number Has Expired
Contract Number	73-01	7037	R		IPS will populate contract number

CUSIP Number	73-01	7038	R		IPS will populate CUSIP number
System Code	73-04		R	D	D = DTCC
Record Type	73-04		R	73	
Sequence Number	73-04		R	01	
NSCC Control Number	73-04	7030	R	See Notes	It will be the same NSCC Control Number as in the 73-01 record.
ACATS Control Number	73-04	7100	R	See Notes	This number is generated from ACATS and will be provided on the TEX transaction. This is the ACATS Control Number that will expire. CCYYDDDNNNNNN CCYY = Year DDD = Julian Date NNNNNNN = Sequence Number
ACATS Asset Sequence Number	73-04	7101	R	Required for both Distributor and Request and Carrier Confirm	This number is generated from ACATS and will be provided on the TEX transaction. This identifies the asset with in the initial ACATS transfer. Example of this field 0000001

ACATS Start Date	73-04	7109	R	See Notes	Date in which ACAT lifecycle began YYYYMMDD
ACATS End Date	73-04	7110	R	See Notes	Expiration Date of ACATS transaction YYYYMMDD This date should match the date in which the TEX was sent.