

Transfer Initiation Form (TIF) Standard Verbiage – 2/28/05

This verbiage has been recommended as sample verbiage to be used to expand the TIF to include insurance verbiage.

In reference to Custodial IRA changes and Automatic Programs:

If this is a retirement account, a custodial owner and custodial beneficiary change will be processed direct at the Insurance Company. If the insurance position has a systematic investment and/or withdrawal program running with the old custodian than you will need to have these programs reestablished with <enter Receiving B/D Name>.

Additional Verbiage to “Signature Confirmation” section:

Insurance Broker/Dealer Change. I/We acknowledge that by signing this transfer form, I/we authorize the change of broker/dealer for any insurance assets correlating to my/our above referenced brokerage account.