Meeting Minutes –I&RS Review Board

CATEGORY	DESCRIPTION
Meeting Name:	DTCC I&RS Review Board
Facilitator	Jeanann Smith, Cory Stark
Scribe	Jeanann Smith
Date & Time:	June 4th, 2019 2pm – 3:30pm
Location:	Conference Call

Advisory Group Attendees

NAME	GROUP
Karen Mottley	ACORD
Sathya Srinivasan Monica Avery Harisudhan Janakiraman Christa Bustillos Adam Neumann	AIG
Weusi Sharp	Albridge
Zach Nyberg	Allianz
Susanne Kennedy	Athene
Cory Stark Jovani Munoz Jon Volpe Jeanann Smith	DTCC
Saul Herrera Bryan Holland Suzanne Dorman	EBIX
Krystina Spohn Ryan Brown	Edward Jones
Jennifer Yerly	Genworth
Stephen Kilbon Ellen Lester	Global Atlantic
Adam Ducorsky Andrew McMorris	iPipeline
Sarah Mudge Rene Fedewa	Jackson National
Dan Falco Sanjay Bharadia	John Hancock
Rob Hosier Dan Wilson Rich Short	Lincoln
Sarah Baraff	M Financial
Joe Procacini	Mass Mutual

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Carol Steele Katherine McIntyre Angela Thompson James Garrison	Merrill Lynch
Charles Evans Mark Watermiller Kelly Dinville	MetLife / Brighthouse
Joseph Wengler	Morgan Stanley
Matt Myers	Nationwide
Raksha V. Subramanian	New York Life
John Gretz Sarah Seifert Becky Reynolds	Ohio National
Danny Smith Liz Moore Randi Gordon	Pacific Life
Huy Pham Alana Feeken	Principal
Susan Sanders	Protective
April Ramos Mark Schwartzman Michael Syrett	Prudential
Stephen Terry	Raymond James
Sally Elton	Securian
Deb Hillebert	Security Benefit
Michelle Path	Sammons Financial
Kathi Carter Ryan Hoffman	Stifel
Chani Lu Heather Lyons Andrew Lombard Walter W	Symetra
Truda Wodke Daniel Reiss Emily Cole Brian Gossman	TransAmerica
Nick Jellings Anne Schoville Matthew Brant	Voya
Jeanne Adams-Taylor Gregory Searle Jonas Hellie Sherri Hancock Emily Pletsch	Wells Fargo

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Meeting Minutes

Enhancements Re-Reviewed:

No enhancements were re-reviewed during this meeting.

New Enhancements Reviewed:

No new enhancements were reviewed during this meeting.

Discussion Items:

- June Code List Release
  - LNA and LNA Vendor Training File: Add Course Type 'B' to represent Best Interest
  - POV: Add Rate Type Code 'IL' to represent Index Rate Lock
    - PSE – June 20
    - Production – June 27

The Review Board confirmed the addition of Course Type 'B' will represent Best Interest. The potential addition of business rules to support this type code was discussed. Business rules aligned with 'Course Type', 'Course Number', 'Product Training Category' and 'State' were mentioned. If needed, these rules will be expanded upon and implemented post June Code List Release.

- September 2019 Enhancement Release
  - Client Submitted Enhancement Requests
  - Producer Alternative Identifiers

The addition of 4 new alternative PII's was discussed and reaffirmed by the Review Board.

- Producer Alternative Identifier
  - Next Steps – September 2020

In preparation for September 2020, a working group composed of the Review Board members will be created later this Summer to address the next steps for Producer Alternative Identifier.

- Coming soon: Reg Sci weekend testing
  - September 14, 2019
  - October 26, 2019

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The Reg Sci testing will be the same process as previous years. A DTCC Corporate Communication email referencing the posted Important Notice was sent out last week. Insurance & Retirement Services – Important Notice a8713 – June 4, 2019

- POV – Deposit/Guaranteed Rate Type (item # 3155)
  - "CR" Current Rate – the current rate paid to the contract
  - Is this the current (today's) rate for a fund/product or the rate that was paid at issue?

The Review Board agreed that the Current Rate will be the current/today's rate.

Next Call:

Tuesday, July 2nd, 2019 at 2:00 – 3:30pm ET

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