Meeting Minutes –I&RS Review Board

CATEGORY	DESCRIPTION
Meeting Name:	DTCC I&RS Review Board
Facilitator	Jeanann Smith, Cory Stark
Scribe	Jeanann Smith
Date & Time:	April 2, 2019
Location:	Conference Call

Advisory Group Attendees

NAME	GROUP
Karen Mottley	ACORD
Sathya Srinivasan Adam Neumann Monica Avery	AIG
Weusi Sharp	Albridge
Zach Nyberg	Allianz
Carol Albright	Allstate
Susanne Kennedy Makayla Briggs	Athene
Kevin Bales	CUNA Mutual
Dawn Maffiolini	Delaware Life
Jeanann Smith Cory Stark	DTCC
Saul Herrera Suzanne Dorman Bryan Holland	EBIX
Krystina Spohn Ryan Brown	Edward Jones
Karen Brenneman	Fidelity
Ellen Lester Stephen Kilbon	Global Atlantic
Adam Ducorsky Andrew McMorris	iPipeline
Sarah Mudge Rene Fedewa	Jackson National
Sanjay Bharadia Dan Falco	John Hancock
Rob Hosier Dan Wilson	Lincoln
Sarah Baraff	M Financial
Joe Procacini	Mass Mutual

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Kelly Dinville Charles Evans Mark Watermiller Lisa Pins	MetLife / Brighthouse
Angela Thompson Carol Steele Katherine McIntyre James Garrison	Merrill Lynch
Joseph Wengler	Morgan Stanley
Matt Myers	Nationwide
Jayanthi Rao	New York Life
Nicole Breitenstein Harva Cope John Gretz Sarah Seifert	Ohio National
Mike Mccombs	Principal
Thomas Tofil April Ramos Mark Schwartzman Michael Syrett	Prudential
Stephen Terry	Raymond James
Deb Hillebert	Security Benefit
Shelley Wallace Kathi Carter Ryan Hoffman	Stifel
Wendell Tobiason Allie Floro Chani Lu	Symetra
Michael Sullivan	The Hartford
Truda Wodke Nancy Merryman Daniel Reiss Fran Forslund Emily Cole	TransAmerica
Nick Jellings	Voya
Jeanne Adams-Taylor Jim Gilmore Gregory Searle Jonas Hellie	Wells Fargo
Laura Mancini	Western & Southern

Meeting Minutes

<u>Enhancements Re-Reviewed:</u>

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No enhancements were re-reviewed during this meeting.

New Enhancements Reviewed:

IPS00625 – IFW – Remove Duplicate ChangeType Codes (Policy Admin)

Within the DTCC IFT web services schema, for Policy Administration (TXLife113) messages, there appears to be a duplication of available ChangeType type codes. Two of the four Changes Types listed below are referenced as extension-like values. The description of these codes are also represented by valid ACORD recognized ChangeType type code.

- 1000101131 (Change Beneficiary Percentage)
- 74 (Change Beneficiary Percentage)
- 1000101132 (Change Policy Annuitant)
- 75 (Change Policy Annuitant)

DTCC has researched these extensions and cannot find usage for the extensions identified in the schema as. There are no DTCC application business rules identifying the usage of these extension values.

It is DTCC's recommendation that the actual codes (74 and 75) be used within the 113 request and response message. In addition, we reached out to ACORD to confirm these extensions and these are extension defined as pre-defined by ACORD prior to be part of the schema version.

1000101131 and 1000101132 will be removed in the upcoming enhancement release.

Approved for inclusion in the upcoming September 2019 release.

Discussion Items:

- April 2019 Code List Release
  - One enhancement release will be included in this code list release. It is
  - PSE will be 4/11 and Production is scheduled for 4/18.

- Review Service Feature Options for Modifications
  - Review Examples from 2014 – this will be handled at a later date due to the upcoming data marketplace discussions.

- Regulatory Items

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- New York Reg 187
- California Consumer Privacy Act

- Producer PII –
  - Review Modifications
    - Adding four identifiers to all products & services, if not already in the file.
      - CRD Number
      - NPN
      - Carrier Assigned Agent ID
      - Distributor Assigned Agent ID
    - Removal of the Producer's SSN from non-business critical files
  - Timelines
    - Four identifiers will be added in September 2019 release
    - Removal of non-business critical SSN will be September 2020.

- Account Number Expansion - ongoing
  - 4 bytes –alphanumeric

Next Call:

Tuesday, May 7th, 2019 at 2:00 – 3:30pm ET

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