



# DTCC MUTUAL FUND SERVICES

## 2023 HOLIDAY PROCESSING SCHEDULE

The following is a summary of 2023 holidays and the changes in operations and schedules for Mutual Fund Services. Please note that this schedule applies to Mutual Fund Services only. Mutual Fund Services are an offering of DTCC’s National Securities Clearing Corporation.

If you have any questions, please contact your relationship manager or Wealth Management Services at (212) 855-8877 or [WMSconnect@dtcc.com](mailto:WMSconnect@dtcc.com).

### MUTUAL FUND SERVICES WILL BE CLOSED ON THE FOLLOWING HOLIDAYS:

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed. **No activity, with the exception of MF Info Xchange, will be accepted and no settlement will occur on these days.**

Martin Luther King, Jr. Day .....	Monday, January 16
Presidents’ Day .....	Monday, February 20
Memorial Day .....	Monday, May 29
Juneteenth .....	Monday, June 19
Independence Day .....	Tuesday, July 4
Labor Day .....	Monday, September 4
Thanksgiving Day .....	Thursday, November 23
Christmas Day .....	Monday, December 25
New Year’s Day (2024) .....	Monday, January 1

### MUTUAL FUND SERVICES WILL BE CLOSED ON THE FOLLOWING EXCHANGE HOLIDAY:

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges will be closed. **No activity, with the exception of MF Info Xchange, will be accepted and no settlement will occur on this day.**

Good Friday .....	Friday, April 7
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## MUTUAL FUND SERVICES WILL BE OPEN ON THE FOLLOWING BANK HOLIDAY:

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges will be open. **Activity will be accepted but no settlement will occur on these days.**

Columbus Day ..... Monday, October 9

**Note:** Since Veterans Day falls on Saturday, November 11<sup>th</sup>, there will be no bank holiday in 2023 as banks will be open the preceding Friday (November 10<sup>th</sup>).

## 2023 HOLIDAY DETAILS

### MARTIN LUTHER KING, JR. DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed on Monday, January 16, 2023 in observance of Martin Luther King, JR. Day. The following changes in NSCC's operations and schedules will be in effect:

#### ACATS-FUND/SERV® INTERFACE:

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, January 16, 2023.

#### FUND/SERV®:

No Fund/SERV® activity will be accepted on Monday, January 16, 2023.

#### DTCC PAYMENT AXIS®:

No DTCC Payment aXis® activity will be accepted on Monday, January 16, 2023.

#### NETWORKING AND OMNI/SERV:

No Networking, or Omni/SERV activity will be accepted on Monday, January 16, 2023.

#### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

No MFPS I or MFPS II activity will be accepted on Monday, January 16, 2023.

#### MF INFO XCHANGE:

MF Info Xchange activity will be accepted on Monday, January 16, 2023.

## PRESIDENTS' DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed on Monday, February 20, 2023 in observance of Presidents' Day. The following changes in NSCC's operations and schedules will be in effect:

### ACATS-FUND/SERV® INTERFACE:

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, February 20, 2023.

### FUND/SERV®:

No Fund/SERV® activity will be accepted on Monday, February 20, 2023.

### DTCC PAYMENT AXIS®:

No DTCC Payment aXis® activity will be accepted on Monday, February 20, 2023.

### NETWORKING AND OMNI/SERV:

No Networking, or Omni/SERV activity will be accepted on Monday, February 20, 2023.

### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

No MFPS I or MFPS II activity will be accepted on Monday, February 20, 2023.

### MF INFO XCHANGE:

MF Info Xchange activity will be accepted on Monday, February 20, 2023.

## GOOD FRIDAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE, and NASDAQ Stock Exchanges will be closed on Friday, April 7, 2023 in observance of Good Friday. The following changes in NSCC's operations and schedules will be in effect:

### ACATS-FUND/SERV® INTERFACE:

No ACATS-Fund/SERV® Interface activity will be accepted on Friday, April 7, 2023.

### FUND/SERV®:

No Fund/SERV® activity will be accepted on Friday, April 7, 2023.

### DTCC PAYMENT AXIS®:

No DTCC Payment aXis® activity will be accepted on Friday, April 7, 2023.

DTCC Payment aXis® activity will be accepted on Saturday, April 8, 2023.

### NETWORKING AND OMNI/SERV:

No Networking or Omni/SERV activity will be accepted on Friday, April 7, 2023.

Networking and Omni/SERV activity will be accepted on Saturday, April 8, 2023.

### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

No MFPS I or MFPS II activity will be accepted on Friday, April 7, 2023.

### MF INFO XCHANGE:

MF Info Xchange activity will be accepted on Friday, April 7, 2023.

## MEMORIAL DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed on Monday, May 29, 2023 in observance of Memorial Day. The following changes in NSCC's operations and schedules will be in effect:

### ACATS-FUND/SERV® INTERFACE:

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, May 29, 2023.

### FUND/SERV®:

No Fund/SERV® activity will be accepted on Monday, May 29, 2023.

### DTCC PAYMENT AXIS®:

No DTCC Payment aXis® activity will be accepted on Monday, May 29, 2023.

### NETWORKING AND OMNI/SERV:

No Networking or Omni/SERV activity will be accepted on Monday, May 29, 2023.

### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

No MFPS I or MFPS II activity will be accepted on Monday, May 29, 2023.

### MF INFO XCHANGE:

MF Info Xchange activity will be accepted on Monday, May 29, 2023.

## JUNETEENTH HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed on Monday, June 19, 2023 in observance of Juneteenth. The following changes in NSCC's operations and schedules will be in effect:

### ACATS-FUND/SERV® INTERFACE:

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, June 19, 2023.

### FUND/SERV®:

No Fund/SERV® activity will be accepted on Monday, June 19, 2023.

### DTCC PAYMENT AXIS®:

No DTCC Payment aXis® activity will be accepted on Monday, June 19, 2023.

### NETWORKING AND OMNI/SERV:

No Networking or Omni/SERV activity will be accepted on Monday, June 19, 2023.

### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

No MFPS I or MFPS II activity will be accepted on Monday, June 19, 2023.

### MF INFO XCHANGE:

MF Info Xchange activity will be accepted on Monday, June 19, 2023.

## INDEPENDENCE DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed on Tuesday July 4, 2023 in observance of Independence Day. The following changes in NSCC's operations and schedules will be in effect:

### **ACATS-FUND/SERV® INTERFACE:**

No ACATS-Fund/SERV® Interface activity will be accepted on Tuesday, July 4, 2023.

### **FUND/SERV®:**

No Fund/SERV® activity will be accepted on Tuesday, July 4, 2023.

### **DTCC PAYMENT AXIS®:**

No DTCC Payment aXis® activity will be accepted on Tuesday, July 4, 2023.

### **NETWORKING AND OMNI/SERV:**

No Networking or Omni/SERV activity will be accepted on Tuesday, July 4, 2023.

### **MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):**

No MFPS I or MFPS II activity will be accepted on Tuesday, July 4, 2023.

### **MF INFO XCHANGE:**

MF Info Xchange activity will be accepted on Tuesday, July 4, 2023.

## LABOR DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed on Monday, September 4, 2023 in observance of Labor Day. The following changes in NSCC's operations and schedules will be in effect:

### **ACATS-FUND/SERV® INTERFACE:**

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, September 4, 2023.

### **FUND/SERV®:**

No Fund/SERV® activity will be accepted on Monday, September 4, 2023.

### **DTCC PAYMENT AXIS®:**

No DTCC Payment aXis® activity will be accepted on Monday, September 4, 2023.

### **NETWORKING AND OMNI/SERV:**

No Networking or Omni/SERV activity will be accepted on Monday, September 4, 2023.

### **MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):**

No MFPS I or MFPS II activity will be accepted on Monday, September 4, 2023.

### **MF INFO XCHANGE:**

MF Info Xchange activity will be accepted on Monday, September 4, 2023.

## COLUMBUS DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation and the NYSE & NASDAQ Stock Exchanges will be open, but all U.S. Federal banks will be closed on Monday, October 9, 2023 in observance of Columbus Day. The following changes in NSCC's operations and schedules will be in effect:

### ACATS-FUND/SERV® INTERFACE:

ACATS-Fund/SERV® Interface activity processed on Monday, October 9, 2023 will settle on Tuesday, October 10, 2023. Please note that funds will **not** receive Record Type 018 records on Friday, October 6, 2023 but will on Monday, October 9, 2023.

### DTCC PAYMENT AXIS®:

No settlement of DTCC Payment aXis® will occur on Monday, October 9, 2023. Activity submitted on Friday, October 6, 2023 through Monday, October 9, 2023 will settle on Tuesday, October 10, 2023.

### NETWORKING AND OMNI/SERV:

No Networking Dividend Cash or Activity settlement will occur on Monday, October 9, 2023.

Networking activity submitted on Friday, October 6, 2023 through Monday, October 9, 2023 will settle on Tuesday, October 10, 2023.

Omni/SERV activity will be accepted on Monday, October 9, 2023.

### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

MFPS I and MFPS II activity will be accepted on Monday, October 9, 2023.

### MF INFO XCHANGE:

Mutual Fund Info Xchange activity will be accepted on Monday, October 9, 2023.

### FUND/SERV®:

No settlement of Fund/SERV® activity will occur on Monday, October 9, 2023. Fund/SERV® activity will be accepted on Monday, October 9, 2023. The following changes will be made to Fund/SERV® output and settlement schedules for Columbus Day:

T+1 SETTLEMENT TRADE DATE (364 File)	FILE AVAILABLE ON	SETTLEMENT DATE
Fri - Oct. 6	Tues - Oct. 10	Tues - Oct. 10**
Mon - Oct. 9*	Tues - Oct. 10	Tues - Oct. 10**
Tues - Oct. 10	Wed - Oct. 11	Wed - Oct. 11

T+2 SETTLEMENT TRADE DATE (365 File)	FILE AVAILABLE ON	SETTLEMENT DATE
Thurs - Oct. 5	Mon - Oct. 9	Tues - Oct. 10
Fri - Oct. 6***	Tues - Oct. 10	Wed - Oct. 11**
Mon - Oct. 9	Tues - Oct. 10	Wed - Oct. 11**

\*Clients will receive a blank 364 Settlement file on Monday, October 9, 2023.

\*\*Double Day Settlement

\*\*\*Clients will receive a blank 365 Settlement file on Friday, October 6, 2023.

## THANKSGIVING DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the New York & NASDAQ Stock Exchanges and all U.S. Federal banks will be closed on Thursday, November 23, 2023 in observance of Thanksgiving Day. The following changes in NSCC's operations and schedules will be in effect:

### ACATS-FUND/SERV<sup>®</sup> INTERFACE:

No ACATS-Fund/SERV<sup>®</sup> Interface activity will be accepted on Thursday, November 23, 2023.

### FUND/SERV<sup>®</sup>:

No Fund/SERV<sup>®</sup> activity will be accepted on Thursday, November 23, 2023.

### DTCC PAYMENT AXIS<sup>®</sup>:

No DTCC Payment aXis<sup>®</sup> activity will be accepted on Thursday, November 23, 2023.

### NETWORKING AND OMNI/SERV:

No Networking or Omni/SERV activity will be accepted on Thursday, November 23, 2023.

### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

No MFPS I or MFPS II activity will be accepted on Thursday, November 23, 2023.

### MF INFO XCHANGE:

MF Info Xchange activity will be accepted on Thursday, November 23, 2023.

## CHRISTMAS DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges, and all U.S. Federal banks will be closed on Monday, December 25, 2023 in observance of Christmas Day. The following changes in NSCC's operations and schedules will be in effect:

### ACATS-FUND/SERV<sup>®</sup> INTERFACE:

No ACATS-Fund/SERV<sup>®</sup> Interface activity will be accepted on Monday, December 25, 2023.

### FUND/SERV<sup>®</sup>:

No Fund/SERV<sup>®</sup> activity will be accepted on Monday, December 25, 2023.

### DTCC PAYMENT AXIS<sup>®</sup>:

No DTCC Payment aXis<sup>®</sup> activity will be accepted on Monday, December 25, 2023.

### NETWORKING AND OMNI/SERV:

No Networking or Omni/SERV activity will be accepted on Monday, December 25, 2023.

### MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):

No MFPS I or MFPS II activity will be accepted on Monday, December 25, 2023.

### MF INFO XCHANGE:

MF Info Xchange activity will be accepted on Monday, December 25, 2023.

## **NEW YEAR'S DAY HOLIDAY SCHEDULE (2024)**

National Securities Clearing Corporation, the NYSE & NASDAQ Stock Exchanges, and all U.S. Federal banks will be closed on Monday, January 1, 2024 in observance of New Year's Day. The following changes in NSCC's operations and schedules will be in effect:

### **ACATS-FUND/SERV® INTERFACE:**

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, January 1, 2024.

### **FUND/SERV®:**

No Fund/SERV® activity will be accepted on Monday, January 1, 2024.

### **DTCC PAYMENT AXIS®:**

No DTCC Payment aXis® activity will be accepted on Monday, January 1, 2024.

### **NETWORKING AND OMNI/SERV:**

No Networking or Omni/SERV activity will be accepted on Monday, January 1, 2024.

### **MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II – PARTICIPANT, SECURITY, AND DISTRIBUTION):**

No MFPS I or MFPS II activity will be accepted on Monday, January 1, 2024.

### **MF INFO XCHANGE:**

MF Info Xchange activity will be accepted on Monday, January 1, 2024.